

Editorial

ABC Transporters: Role in Modulation of Drug Pharmacokinetics and in Physiopathology and Therapeutic Perspectives

The superfamily of ABC transporters comprises 49 proteins in humans. They all use ATP hydrolysis as an energy source and share common structural features. In particular, the presence of 2 membrane-spanning domains made of 6 transmembrane segments and of 2 ATP binding cassettes is required to make them functional. Yet, the function of many of these proteins still needs to be elucidated and their substrates remain unknown, but for those that are best characterized, it is clear that they play a critical role in the maintenance of cell homeostasis, by modulating the cell concentration in xenobiotics or in physiological substrates.

In the present issue, we will examine the role of selected transporters in this superfamily, to illustrate their importance in drug disposition or in physiopathology. We will also discuss therapeutic perspectives related to modulation of their activity. The figure illustrates the transporters we will focus on, together with the indication of their function and of the pathology associated to their dysfunction.

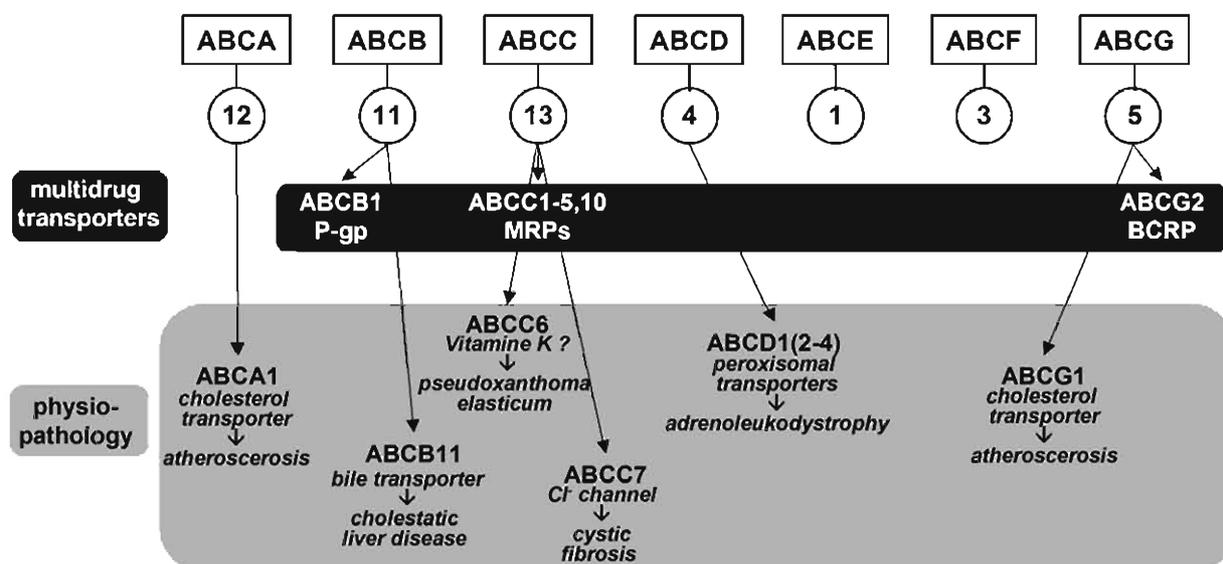


Figure: Families of ABC transporters (squared) with indication of the number of transporters in the family (circled).

Xenobiotic transporters catalyse the efflux of drugs, or metabolites thereof, out of the cells. In contrast to many other transporters, they all display very broad substrate specificity, recognizing their substrates based on physico-chemical properties rather than on specific molecular determinants, hence their appellation of 'Multidrug Transporters'. These transporters belong to 3 families, namely ABCB for P-glycoprotein, ABCC for MRPs (Multidrug-resistance Related Proteins), and ABCG for the half transporter BCRP (Breast Cancer Resistance Protein).

In this issue, we will review their specific role in modulating drug pharmacokinetics [1] or in conferring resistance to anticancer chemotherapy [2], and the interest of inhibitors to reverse their effect. We will also discuss for 2 of them (ABCB1 and ABCC2) how genetic polymorphisms can lead to variations in their transport capacity among individuals and therefore justify therapeutic monitoring of blood levels, or patient's genotyping for drugs with narrow therapeutic index [3].

Other ABC transporters rather transport physiological substrates that can be highly variable in their chemical nature (Chlorure ions for ABCC7 or cholesterol for ABCA1, for example). The physiological role of these transporters has been generally identified when discovering the molecular mechanism of the disease their defect can cause, which may explain why for most of them, it remains largely unknown.

In this issue, we will describe how the dysfunction in ABCA1 or ABCG1, ABCB11, ABCC6, ABCC7, or ABCD transporters can lead to atherosclerosis [4], cholestatic liver disease [5], Pseudoxanthoma elasticum [6], Cystic Fibrosis [7], or adrenoleukodystrophy [8], respectively. We will also examine the strategies that are developed to correct these defects in a therapeutic perspective.

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ABC Multidrug Transporters: Target for Modulation of Drug Pharmacokinetics and Drug-Drug Interactions

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Abstract: Nine proteins of the ABC superfamily (P-glycoprotein, 7 MRPs and BCRP) are involved in multidrug transport. Being localised at the surface of endothelial or epithelial cells, they expel drugs back to the external medium (if located at the apical side [P-glycoprotein, BCRP, MRP2, MRP4 in the kidney]) or to the blood (if located at the basolateral side [MRP1, MRP3, MRP4, MRP5]), modulating thereby their absorption, distribution, and elimination. In the CNS, most transporters are oriented to expel drugs to the blood. Transporters also cooperate with Phase I/Phase II metabolism enzymes by eliminating drug metabolites. Their major features are (i) their capacity to recognize drugs belonging to unrelated pharmacological classes, and (ii) their redundancy, a single molecule being possibly substrate for different transporters. This ensures an efficient protection of the body against invasion by xenobiotics. Competition for transport is now characterized as a mechanism of interaction between co-administered drugs, one molecule limiting the transport of the other, which potentially affects bioavailability, distribution, and/or elimination. Again, this mechanism reinforces drug interactions mediated by cytochrome P450 inhibition, as many substrates of P-glycoprotein and CYP3A4 are common. Induction of the expression of genes coding for MDR transporters is another mechanism of drug interaction, which could affect all drug substrates of the up-regulated transporter. Overexpression of MDR transporters confers resistance to anticancer agents and other therapies. All together, these data justify why studying drug active transport should be part of the evaluation of new drugs, as recently recommended by the FDA.

Keywords: P-glycoprotein, BCRP, MRP, ADME properties, drug-drug interactions.

INTRODUCTION

The proteins from the ATP-binding cassette (ABC) transporters superfamily share as common features a capacity to actively transport molecules through the membranes, and to use ATP hydrolysis as an energy source. They have been classified in seven subfamilies (ABCA to ABCG), according mainly to sequence homologies and structural organization [1]. The topology and nomenclature of ABC transporters have been extensively reviewed elsewhere [2-4] and will not be addressed here.

Most of the 48 human ABC transporters (without the truncated ABCC13 with still unknown function [5]) play a role in the export of physiological substrates (amino acids, peptides, lipids, inorganic ions...), but nine of them are rather associated to a Multi-Drug Resistance (MDR) phenotype, due to their ability to extrude out of the cells a large variety of xenobiotics.¹ These are the P-glycoprotein (ABCB1, P-gp), the Multidrug Resistance associated Proteins or MRPs (MRP1-MRP7, also referred to as ABCC1-6 and ABCC10), and the Breast Cancer Resistance Protein or BCRP (ABCG2). In addition, the intracellular transporter

ABCA3 has also been implicated in multidrug resistance in leukemia cells, as it can sequester drugs inside lysosomes [8]. The role of these MDR transporters, and of P-gp in particular, is well described in the context of resistance to anticancer drugs [9, 10]. Yet, as they are widely distributed in the organism [11], they also play an important role in the modulation of absorption, tissue distribution and elimination of their substrates or in the protection of sanctuaries, like the central nervous system (Fig. 1). MDR ABC transporters are therefore considered as a major intervenient in the pharmacokinetics of many drugs, which can in its turn modulate their pharmacological activity or their toxicity [12-14]. A first goal of this paper is to review the current knowledge on the role of MDR ABC transporters in drug transport and its consequences in terms of ADME properties.

A striking characteristic of these MDR transporters is the wide variety of apparently non chemically-related substrates they can accommodate. This is not yet fully understood, but the structure of the murine P-gp (Abcb1a) recently resolved at a 3.8 Å resolution [15], together with the structural models of different MDR ABC established by homology modeling using crystallographic structures from bacterial homologs [16-22], may be helpful in this respect. A pharmacological consequence of this broad substrate specificity is that co-administration of drug substrates may cause drug-drug interactions by competition for a same transporter. Moreover, drugs can also induce the expression of transporters, modifying thereby their capacity to transport their substrates [13]. A second goal of this paper is to examine how these recently described mechanisms of drug-drug interactions can affect drug pharmacokinetic properties.

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¹ Transporters involved in drug influx belong to another superfamily of transporters, namely the SLC (Solute-Linked Carrier) family (a family of secondary transporters that comprises the organic anion transporting polypeptides (OATPs), the organic anion transporters (OATs) or the organic cation transporters (OCTs)). These also play an important role in drug pharmacokinetics and drug-drug interactions [6, 7] but will not be discussed here.

PHYSIOLOGICAL FUNCTIONS OF MDR ABC TRANSPORTERS

Table 1 illustrates the localization, expression levels (at the mRNA or protein level), and physiological substrates of MDR ABC transporters. Caution is required however when data refers only to mRNA levels, as discrepancies between mRNA and protein levels may exist. For example, BCRP expression in kidney is low at mRNA level but higher at protein level [23]. Moreover, spliced mRNA variants do not always code for an entire, functional protein [24-27]. While some of these transporters, like P-gp, have a very broad tissue distribution, others are expressed only in a few organs, like MRP7. For the latter, this suggests specific roles in these organs, even though these have rarely been evidenced. Considering MRP7, for example, it is interesting to note that it is expressed in the heart and can transport leukotriene C4, a well known vasoconstrictor agent [28]. Likewise, MRP4 is highly expressed in prostate and expels cyclic nucleotides that control erectile function and smooth muscle activity in the urinary tract [29]. The expression level of a given transporter can also markedly vary from one organ to the other, depending of its specific role. P-gp for example is highly expressed at the apical membrane of many epithelial cells (enterocytes, renal tubules, canalicular membrane of hepatocytes) or brain capillary endothelium [11], in relation with its detoxification function. More intriguingly, some transporters can be found either at the apical or at the basolateral membrane, depending on the tissue. This is mostly the case for MRP4, which is usually located at the basolateral membrane but is found at the apical surface of renal epithelial cells and brain endothelial cells (for a review, refer to [30]). The basolateral transporters MRP1 and MRP5 have also been detected at the apical membrane of brain endothelial cells [31], although at low levels. This may

contribute to reinforce the protective effect of P-gp or BCRP on the brain.

MDR TRANSPORTERS AND MODULATION OF DRUG PHARMACOKINETICS

Fig. (1) illustrates the main role of MDR ABC transporters with respect to drug disposition in the organism. Those that are localized at the apical surface of the cells bordering the elimination organs will contribute to cell detoxification by expelling xenobiotics into the bile, the urine or the faeces; those that are expressed at the basolateral surface will rather contribute to drug (re)absorption by driving them from the intracellular medium to the blood [30, 32]. MRPs mainly transport Phase II metabolites (drug conjugates to glutathione, glucuronate or sulfate [33]) and constitute therefore the "Phase III" of drug elimination [34, 35].

At the level of barriers separating the blood from sanctuaries or vulnerable organs like the brain, the placenta or the testis, most transporters are oriented towards a transport from the organ to the blood, as a way to protect these fragile sites from foreign invasion [36, 37]. This role is best evidenced by the specific neurotoxicity of ivermectin in beagle dogs that are naturally deficient in P-glycoprotein [38]. In non-polarized cells, efflux pumps can contribute to reduce the cellular concentration of drugs and hence, their pharmacological activity if they act upon an intracellular target. This is well exemplified by the reduction in intracellular activity of fluoroquinolones, macrolides, or daptomycin against bacteria infecting macrophages expressing MDR transporters [39, 40] or of anti-HIV drugs in infected macrophages and lymphocytes [41]. *A fortiori*, overexpression of MDR transporters is a well established mechanism of resistance of cancer cells to chemotherapy [10, 42, 43].

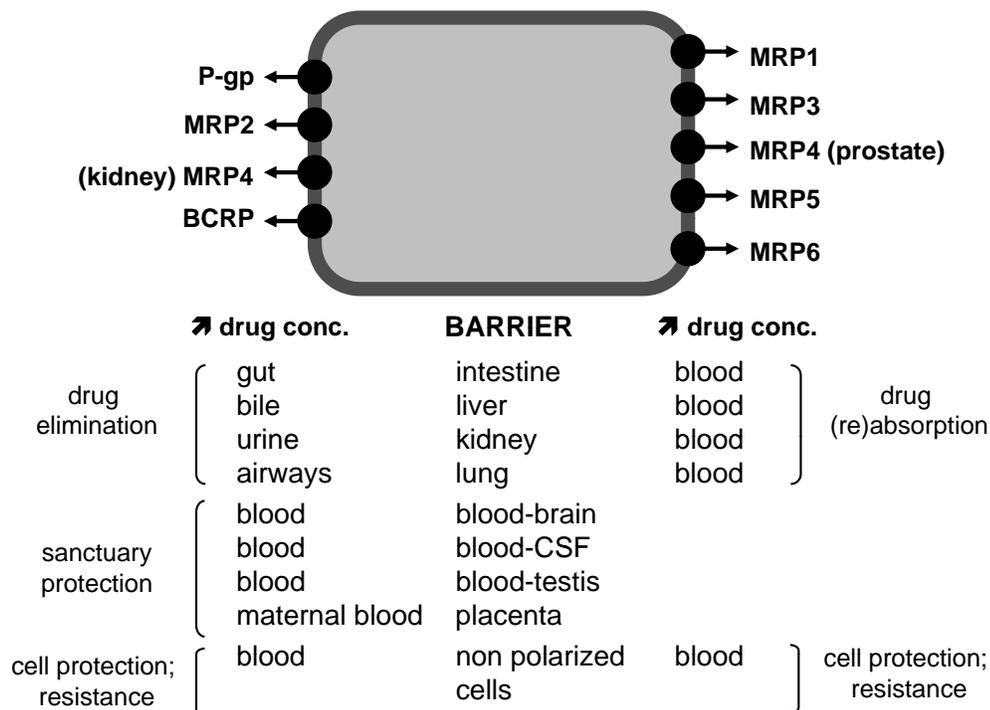


Fig. (1). Illustration of the role of MDR ABC transporters in the modulation of drug disposition when expressed at the apical or basolateral side of the cells bordering the main barriers in the body, or in non polarized cells.

(Table 2) Contd.....

ATC Code	Pharmacological Class	Drug	P-gp	MRP1	MRP2	MRP3	MRP4	MRP5	MRP6	MRP7	BCRP	Refs.			
C	10A	Lipid modifying agents	Ezetimibe	+		+							[253]		
			Atorvastatin	+*										[254-256]	
			Pitavastatin	+		+							+	[257]	
			Pravastatin			+ (r)			+					[258, 259]	
			Rosuvastatin	+		+							+	[260, 261]	
Systemic hormones															
H	02A	Corticosteroids	Dexamethasone	+								-*	[100, 241]		
Anti-infectives															
J	01A	Tetracyclines	Tetracycline	+				+					[258, 262]		
	01C	Beta-lactams ^a		+		+		+				+ (r)	[258, 263-265]		
	01F	Macrolides ^a		+	+	+ (r)								[266-268]	
		Ketolides	Telithromycin	+		+ (r)								[269]	
	01M	Fluoroquinolones ^a		+	+	+ (r)		+ (m)				+	[58, 268, 270-276]		
	01X	Nitrofuranes	Nitrofurantoin										+	[277]	
		Lipopeptides	Daptomycin	+										[40]	
	02A	Azole antifungals	Itraconazole	+ (m)									-*	[164, 278]	
	04A	Antimycobacterial antibiotics	Rifampicin	+ (m)										[279]	
	05A	Reverse transcriptase inhibitors	Adefovir	- (CHO)	-				+	+		+	+ (m)	[44, 228, 280-283]	
			Ganciclovir						+					[284]	
			Zidovudine (AZT)						+				+	[282, 285]	
		Protease inhibitors	Indinavir	+	+/-	+	-			-				-	[89, 162, 286-288]
Lopinavir			+	-	+								- (m)	[289]	
Nelfinavir			+	+									-*	[89, 162, 288]	
Ritonavir			+	+/-	+	-			-				-*	[162, 286-288]	
Saquinavir	+	+/-	+	-			-				-*	[89, 162, 286-288, 290]			
Antineoplastic and immunomodulating agents															
L	01B	Antimetabolites	Cladribine					+				+	[44, 291]		
			Methotrexate	+	+	+	+	+	+				+ ^b (and PG)	[292-299]	
	01C	Plant alkaloids	Docetaxel	+		+						+		[300-302]	
			Paclitaxel	+	-	+	-	-				+	-	[62, 228, 295, 300, 301, 303-305]	
			Etoposide	+	+/-	+ (GC)	+ (and GC)	-			+	+	-	[62, 228, 281, 295, 304, 306-310]	
			Vinblastine	+	+	+ [#]							+		[228, 301, 304, 311-313]
			Vincristine	+	+ [#]	+ [#]	+/-	-					+		[228, 295, 301, 303, 307, 310, 314, 315]

(Table 2) Contd.....

ATC Code	Pharmacological Class	Drug	P-gp	MRP1	MRP2	MRP3	MRP4	MRP5	MRP6	MRP7	BCRP	Refs.		
L	01D	Cytotoxic antibiotics	Actinomycin D	+	+							[304, 314]		
			Daurorubicin	+	+(GS)		-	-		+	+	+ ^b	[62, 228, 281, 295, 303, 304, 308, 314, 316, 317]	
			Doxorubicin	+	+(GS)	+	-	-		+		+ ^b	[62, 228, 295, 303, 304, 307, 308, 310, 316-318]	
			Mitoxantrone	+	+ [#]							+ ^b	[62, 316, 319, 320]	
	01X	Camptothecins	Irinotecan	+	+	+			+			+	[321-324]	
			Topotecan	+					+			+	[325-328]	
	-		Gimatecan	-		-		+				-	[329]	
	-	Platinum compounds	Cisplatin			+ [#]	-						[307, 310, 330]	
	-	Protein kinase inhibitors	Imatinib	+								+/-*	[331-334]	
			Lapatinib	+								+	[335]	
	-	-	Becatecarin									+	[336]	
	-	-	Flavopiridol	+(m) /-	-							+/-	[62, 245, 337]	
	04A	Immunosuppressants	Ciclosporin A	+*					-				-*	[100, 155, 324, 338]
Tacrolimus			+									-*	[155, 338]	
Musculo-skeletal system														
M	01A	Anti-inflammatory agents	Diclofenac	-		-						+	[142]	
	04A	Antigout agents	Colchicine	+		+						-	[339]	
Brain and nervous system														
N	02A	Opioid analgesics	Morphine	+(CHO)		+(GC)	+(GC) (m)					-	[340-342]	
			Oxycodone	+										[200]
	-	Analgesics	Asimadoline	+									[105]	
	03A	Antiepileptics	Phenobarbital	+	-	-			-					[343, 344]
			Phenytoin	+	-	-			-				-	[343-345]
			Topiramate	+	-	-			-					[346]
	04B	Antiparkinsonian drugs	Bromocriptine	+(m)										[347]
			Budipine	+(m)										[348]
			L-dopa	+										[349]
	05A	Antipsychotic drugs	Fluphenazine	+										[350]
			Perazine	+										[350]
			Risperidone	+										[351]
	06A	Antidepressants	Citalopram	+(m)										[352]
Trimipramine			+(m)										[352]	
Antiparasitic products														
P	01B	Antiparasitics	Chloroquine	-	+								[353, 354]	
			Mefloquine		+				+					[355]
			Quinine	+										[353]
	-		Quinacrine	+(m)									[356]	
	02C	Anthelmintics	Ivermectin	+									[100]	
-		Oxfendazole	-		-						+	[357]		

(Table 2) Contd.....

ATC Code	Pharmacological Class	Drug	P-gp	MRP1	MRP2	MRP3	MRP4	MRP5	MRP6	MRP7	BCRP	Refs.
Respiratory system												
R	06A	Antihistaminics	Cetirizine	+			+					[358]
			Fexofenadine	+		+						
Various												
V	03A	Antidotes (morphinic antagonist)	Methadone	+							-	[341, 361]

Drug are classified according to ATC codes (Anatomical Therapeutic Chemical classification system; <http://www.whooc.no/atc/>).

All data refer to studies with human transporters, except when specifically indicated: (m) mouse; (r) rat; (CHO) Chinese hamster ovary cells.

Key: +, substrate; -, non substrate; *, modulator/inhibitor [143]; #, transport is dependent upon the presence of glutathione; GS, glutathione conjugate; GC, glucuronide conjugate; PG, polyglutamate conjugate.

^a, does not apply to the whole class (some members are substrates, others, not); ^b, BCRP substrate specificity is affected by mutations at amino acid 482 [62].

Table 2 summarizes our current knowledge on the active transport of drugs by the main MDR ABC transporters. A first observation is that a single transporter can affect a very large number of molecules, belonging to a wide variety of pharmacological classes and presenting markedly remote chemical structures. P-gp substrates are mostly organic amphipathic molecules, ranging in size from less than 200 Da to almost 1900 Da. Most of them are neutral or basic compounds, but zwitterionic and negatively charged compounds (like methotrexate) can also be transported. Among MRPs, MRP4 and MRP5 have the particularity to transport cyclic nucleotides and purine analogues [44-46], but not anthracyclines, taxanes, or vinca alkaloids. BCRP shows a broad substrate specificity, with partial overlap with P-gp substrates. On the other hand, all drugs belonging to a same pharmacological class are not necessarily substrates for the same transporter. All together, these data suggest that recognition by MDR transporters depends on molecular determinants that have nothing in common with those defining the high specificity of drug-target interaction in most pharmacological models (classical model of the key-and-lock recognition [47]). Yet, converging evidence from experimental studies and molecular modeling tend to indicate that these are the global physico-chemical properties of the molecule rather than the presence of specific substituents that drive substrate recognition. Tentative 'pharmacophores' have been progressively built up that allow to predict possible interactions, mainly with P-glycoprotein, and are now used for *in silico* screening [48, 49]. The features identified include the presence of hydrogen bond acceptor, hydrophobic and aromatic areas, and positive ionizable group at appropriate distance from one another [50]. Another factor that can contribute to broad substrate specificity is the fact that MDR transporters possess several binding sites in the transmembrane domains, as demonstrated for P-gp [51-53], MRP2 [54] or BCRP [55], which can probably accommodate different substrates [56].

A second observation is that a single molecule can be substrate for different transporters. At the molecular level, this indicates that common features may dictate recognition by different transporters. In this respect, it is interesting to note that this may even apply to totally unrelated transporters, as those conferring resistance to antibiotics in bacteria. For example, ciprofloxacin but not moxifloxacin, is substrate of murine Mrp4 [57, 58] as well as of efflux pumps conferring resistance to fluoroquinolones in *Staphylococcus*

aureus, *Streptococcus pneumoniae*, or *Listeria monocytogenes* [59-61]. At the physiological level, this redundancy between transporters may compensate for the poor expression of a given transporter in a particular tissue and/or for alteration of activity in mutated proteins. Mutagenesis studies have indeed shown that substrate specificity can be affected by a single amino acid change (see for example [62] for BCRP or [63] for P-glycoprotein). Indeed, *in vivo* also, variations in ABC transporters expression between individuals is well documented [64, 65], as well as genetic polymorphisms (see for review [66] for P-gp and MRP2 and [67] for BCRP). These polymorphisms might however be clinically relevant only at certain drug doses.

A third observation is that P-glycoprotein seems by far to be the broadest spectrum transporter. This conclusion needs however to be taken with caution, as P-glycoprotein is also the most widely studied transporter. Empty cells in Table 2 need thus to be interpreted as an absence of data and not necessarily as an absence of transport. Other possible limitations of the data presented in this Table are that some of them have been performed in animal cells (exploring therefore transport capacity of the animal transporter), or in animal cells transfected with human transporter (but with the remaining background of the other transporters expressed by the animal cell) or using knockout animals. Transposition of the results to human needs therefore careful appreciation due to interspecies substrate discrepancies. Thus, whereas mouse Bcrp1 was functionally comparable with human BCRP in a murine fibroblast cell line [68], interspecies differences do exist between Bcrp1/BCRP in hepatocytes [69], as well as between murine and human MRP2/Mrp2 [70, 71], or P-gp [72, 73].

Consequences for Drug Absorption (Intestinal Barrier)

Drugs administrated by oral route must pass through several barriers before reaching their target site, the first one being the intestinal epithelium. Due to their high expression in the small intestine and to their co-localization at the apical membrane of enterocytes, P-gp, MRP2, and BCRP play a key role in limiting the absorption of drugs by expelling them back to the intestinal lumen [74, 75]. Expression of transporters along the small intestine is not uniform and regional differences have been reported (see for review [75]): whereas P-gp expression is higher in the ileum [76], MRP2 and BCRP expression are higher in jejunum [77, 78]. This will affect locally drug absorption at the intestinal

barrier. For example, a significant inverse correlation was found between ciclosporin A absorption and intestinal P-gp mRNA levels along the gastrointestinal tract [79].

To date, the role of P-gp is the most documented [80]. In the mice, however, Bcrp1 has been shown to limit the oral bioavailability of the anticancer drug topotecan [81], and to protect the animals against ingested dietary carcinogens (such as 2-amino-1-methyl-6-phenylimidazo[4,5-b]pyridine, PhIP) [82] or phototoxins like pheophorbide A [83]. On the contrary, MRP transporters expressed at the basolateral side of the cells may increase drug absorption. This has been demonstrated for ampicillin [84] or adefovir [85] using *in vitro* models of intestinal barrier.

The tools developed to study the role of P-gp in intestinal drug absorption consist of *in vitro* models of Caco-2 cell monolayers [86] and *in vivo* models with knockout mice [87]. Mice express two isoforms of P-gp, namely Mdr1a and Mdr1b, which both act as multidrug transporters; however, Mdr1b is not detected in the intestine. *Mdr1a* (-/-) mice allowed for example to demonstrate the major role of P-gp in the pharmacokinetics of paclitaxel [88] or HIV protease inhibitors (indinavir, nelfinavir and saquinavir [89]), since drug plasmatic concentrations were significantly higher in *Mdr1a* (-/-) mice than in WT mice (6-fold higher for paclitaxel, and 2- to 5-fold higher for HIV protease inhibitors). Studies with healthy volunteers allowed to confirm the importance of P-gp expression levels [79] or of the co-administration of pump inhibitors for drug absorption [90].

Moreover, detoxifying enzymes of cytochrome P450 family are likely to act in synergy with ABC transporters to decrease drug absorption [91, 92]. Cytochrome P450 3A4 (CYP3A4) accounts for nearly 70% of all CYP enzymes expressed in small intestine [93]. It displays a substantial overlap in substrate specificity and colocalizes with P-gp in enterocytes [94]. Recently developed models of *Mdr1a/1b* (-/-), *Cyp3a* (-/-), and *Cyp3a/Mdr1a/1b* (-/-) mice will thus be of prime interest to evaluate the respective importance of metabolism and efflux in drug disposition. Of high interest, recent data obtained with this model suggest that there is a high degree of synergy between Cyp3a and Mdr1a. For example, a >70-fold increase in systemic exposure to docetaxel is observed after oral administration to *Cyp3a/Mdr1a/1b* (-/-) mice vs. a 12-fold increase in *Cyp3a* (-/-) mice and a 3-fold increase in *Mdr1a/1b* (-/-) mice [95]. Mathematical models have been developed to predict the change in AUC mediated by each of these systems for drugs that are common substrates [96]. Yet, the observation of synergistic effects makes probably largely pointless evaluations of the individual contribution of each of these mechanisms with respect to modifications of drug bioavailability *in vivo*.

Consequences for Drug Distribution

ABC transporters located at the blood-brain barrier (BBB), the blood-CSF barrier, the blood-placental barrier, or the blood-testis barrier restrict the penetration of xenobiotics into the central nervous system, the foetus (via the placenta) or the testis. While this contributes to protect these vulnerable territories, it also compromises drug accessibility in pathological situations. This is most conspicuously the case for central nervous diseases (neurodegenerative dis-

eases, intracranial tumors, dementia, epilepsy, meningitis...). Two physiological barriers separate the brain from the bloodstream. The blood-brain barrier (BBB) is made of endothelial cells of the brain microvasculature that isolate the cerebral blood from the brain interstitial fluid. Tight junctions between these cells limit the paracellular flux of hydrophilic molecules across the BBB, so that only lipophilic molecules with low molecular weight can passively diffuse. The blood-cerebrospinal fluid (CSF) barrier is formed by a single layer of choroid plexus epithelial cells that separates the plexus blood from the CSF. BCRP and P-gp are the main ABC transporters expressed at the human BBB [97]; they are both localized at the apical (or luminal) pole of the BBB where they transport drugs from the brain to the blood. MRPs are also detected but with a lower expression; their functional role at the BBB still needs to be clearly determined [98].

The first studies investigating the influence of ABC transporters at the BBB were performed *in vitro*, using cultures of brain endothelial cells. These cells however do not always exhibit all the properties of *in situ* brain microvessel endothelial cells [98]. P-gp-knockout mice models were thereafter used to demonstrate the implication of P-gp to limit drugs entry into the brain, Mdr1a being the major P-gp isoform present at the BBB. The first studies with *Mdr1a* (-/-) mice showed that they were almost 100-fold more sensitive to the neurotoxic effects of ivermectin, an antiparasitic compound [87] than wild-type mice. Many other P-gp substrates, such as digoxin [99, 100], ciclosporin A [100], loperamide, domperidone and ondansetron [101], HIV protease inhibitors (indinavir, saquinavir, nelfinavir) [89], or paclitaxel [102] are accumulated in the brains of P-gp-deficient mice up to 35- or 40-fold higher than in WT mice, clearly documenting the role of P-gp as a gatekeeper at the luminal side of the BBB [103]. Several studies also evidenced a more marked implication of Mdr1a at the BBB than at the intestinal barrier by comparing the increase in drug concentration in the brain vs. the intestine of *Mdr1a* (-/-) or *Mdr1a/1b* (-/-) mice as compared to wild-type animals (4.4- to 9.6-fold vs. 2-fold for vinblastine [104]; 9-fold vs. no effect for asimadoline, an experimental analgesic [105]). More recently, positron emission tomography (PET) and single photon emission computed tomography (SPECT) imaging techniques [106] with radiolabeled efflux pump substrates have allowed non-invasive studies in animals and humans and a direct visualization of drug transporter function at the BBB [107, 108].

Although expressed at the BBB [109], Bcrp1 seems to have a moderate role in the transport of substances known to be BCRP substrates, such as imatinib [110] and mitoxantrone [111], or of xenobiotics that are also P-gp substrates [112, 113]. Yet, other studies showed the Bcrp1 acts synergistically with P-gp to limit the brain penetration of topotecan [114] and lapatinib [115]. In case of P-gp deficiency, however, Bcrp1 expression at the BBB increases, which is accompanied by greater export of its substrates, like mitoxantrone or prazosin [116]. Mrp4 presents the particularity of being expressed at the apical membrane of endothelial cells at the BBB but at the basolateral membrane of epithelial cells at the blood-CSF barrier. This dual localization allows for clearance of Mrp4 substrates from both the CSF

and the brain, as shown for topotecan [117]. However, this effect has been observed in rodents and might not be relevant in humans, where MRP4 expression seems to be very low [118].

In the placenta, P-gp expressed in trophoblasts protects the fetus from potential teratogenic compounds [119], and from many drugs like digoxin, saquinavir or paclitaxel [120] extruding them into the maternal blood. Likewise, Bcrp1, expressed in placental syncytiotrophoblasts [109], limits the foetal penetration of topotecan [81]. Again the role of active transporters as a limitation to the permeability of the foeto-maternal barrier may rationalize clinical observations, for example the lack of efficacy of protease inhibitors for preventing HIV transmission in pregnant women [121].

Consequences for Drug Elimination

A role for intestinal P-gp in the elimination of drugs from the blood to the gut lumen has been described [122], but the main routes of drug elimination remain through biliary excretion and renal clearance.

Biliary Excretion of Drugs

In the liver, a lot of transporters are involved not only in the excretion of bile constituents, but also of xenobiotics and metabolites produced by Phase I and Phase II enzymes. These include MDR transporters, but also other ABC transporters like BSEP (Bile Salt Export Pump, ABCB11) and Solute-Linked Carrier transporters [123, 124]. P-gp, MRP2 and BCRP are localized at the canicular membrane of hepatocytes and secrete metabolized xenobiotics into the bile. MRP1, MRP3 and MRP4 are expressed at the basolateral membrane and extrude metabolites in the blood, from where they can be eliminated by the kidneys (for a review, see [125]). Hepatic cells appear thus as a hub, orientating the route of elimination of metabolized drugs depending on their affinity for apical or basolateral transporters.

Transporters can also cooperate at the level of different barriers to efficiently reduce drug concentrations in the blood. For example, a complementary role of P-gp and Mrps has been evidenced for paclitaxel [126] and etoposide [127]. While P-gp is mainly involved in restricting their intestinal absorption, Mrp2 dominates in their hepatobiliary excretion. Moreover, in Mrp2 deficient animals (Mrp2 knockout mice), Mrp3 can secrete etoposide metabolites from the liver to the blood, from where they are further eliminated in urine [127]. Thus, MRP3 is considered to function as a backup detoxifying pathway for hepatocytes, since its expression is increased when the normal canicular route is damaged by cholestatic diseases or when the function of MRP2 is impaired [128].

Renal Drug Excretion

In renal epithelial cells, P-gp, MRP2, and MRP4 are expressed at the apical (luminal) membrane, whereas MRP1 and MRP6 are localized on the basolateral membrane [129]. Moreover, P-gp, MRP2, MRP4, and MRP6 are expressed in renal proximal tubules, whereas MRP1 is localized in distal tubules and collecting ducts [129], protecting distal part of the nephron from toxic drug accumulation which may occur with water reabsorption. BCRP protein expression in kidney

has been recently evidenced, with also a localization in proximal tubules [23] but its role in renal drug efflux remains to be clearly determined.

Beside their role in drug elimination, MDR transporters may also exert a protective role on the kidneys themselves, as these organs are particularly exposed to toxic compounds. In patients (or animals) with chronic renal failure, it has been observed that the renal expression of P-gp [130] or of Mrp2 [131] is increased while that of uptake transporters is decreased. This may help the sick organ to eliminate toxins. Modifications of the expression of MDR transporters may also contribute to modulate drug nephrotoxicity. It has been shown for example that the expression level of P-gp is lower in kidney graft recipients treated with ciclosporin A than in those treated with tacrolimus. This is correlated with a longer graft survival in the tacrolimus patients, attributed to a higher nephrotoxicity in the ciclosporin A group [132]. Overexpression of several MDR transporters (P-gp, Mrp2, Mrp4, Mrp5 [133]) and down regulation of influx transporters (OAT and OCT) has also been evidenced in mice treated with cisplatin, another nephrotoxic drug, even if its transport is not documented for all of them (see Table 2).

MDR EFFLUX PUMPS AND TRANSPORTER-MEDIATED DRUG-DRUG INTERACTIONS

Polymedication is very frequent in clinical practice, especially in the elderly. It is often the cause of iatrogenic adverse reactions related either to drug-drug interactions or to inappropriate dosing due to organ insufficiency in old patients. Some mechanisms of pharmacokinetic interactions are now quite well characterized, like those mediated by the administration of inhibitors or inducers of cytochromes P450 or the formation of complexes between cationic and anionic compounds. Yet, it now appears that MDR transporters can also play a major role in drug-drug interactions. The most popular example is probably that of flavonoids present in grapefruit juice, which can inhibit both the P-gp-mediated efflux and the CYP3A4-mediated metabolism of many drugs in enterocytes, improving thereby their bioavailability [134, 135]. There is considerable overlap between CYP3A4 and P-glycoprotein substrates [136], so that both systems will often be involved in drug interactions, resulting in complex pharmacokinetic profiles of multidrug regimens [137]. As compared to CYP-mediated drug interactions, those mediated by MDR transporters have however the particularity of possibly affecting drug concentration in a specific body compartment (such as the brain) without modifying blood levels.

Drug-drug interactions related to MDR transporters can occur by two main mechanisms. The first one is a competition between drugs (substrates or modulators of the pump) for the binding site(s) of the transporter, which can impair the transport of one or the two interacting drugs. The second is a change in the expression level of the MDR transporter upon exposure to a given drug, but which can affect the transport of any other drug substrate of the same pump. These interactions are not always deleterious, one drug being able to boost the absorption of the second one. This is well exemplified in Kaletra®, which consists of the combination of a therapeutic dose of lopinavir and a low

dose of ritonavir, which only serve for inhibiting lopinavir efflux and metabolism, hence increasing its bioavailability [138-140].

Competition for Drug Binding Site

A combination of an efflux pump substrate with a well-characterized inhibitor/modulator can be useful to increase intestinal absorption or penetration into specific tissues, but it can also lead to adverse effects by decreasing drug elimination. On the other hand, the co-administration of two drugs substrates for the same transporter may sometimes result in unexpected and/or unwanted effects. One may anticipate that the drug with the highest affinity will be more efficiently transported, and thus inhibit the transport of the other drug. Yet, if the mechanism of the interaction is competitive, the concentration ratio between the two drugs may also play a critical role in determining which one will influence the transporter of the other one. Moreover, other mechanisms of interaction than simple competition for transport have been described, for example, allosteric modification by binding to a modulator site (see for example diclofenac, which inhibits the transport of anionic substrates by MRP2 [141] but stimulates that of amphiphilic substrates [142]). On these bases, it is clear that transporter-mediated drug interactions are not easy to predict *in vivo*, and are often understood *a posteriori*. Methods to accurately predict such interactions are therefore needed [96].

A series of drugs, which were first documented as being P-gp substrates, are now widely used both *in vitro* and *in vivo* for their modulator activity (among others, quinine and quinidine, verapamil, ciclosporin A and nifedipine; they constitute the first generation of P-gp modulators [143, 144]). Using P-gp knockout mice, Fromm *et al.* [145] showed that co-administration of quinidine increases digoxin concentrations in plasma and brain (by 73.0% and 73.2%, respectively) of wild-type mice, but not in *Mdr1a* (-/-) mice, demonstrating that quinidine is not only a substrate, but also a potent inhibitor of P-gp. In accordance with these results, a study with human volunteers showed that digoxin intestinal absorption increased from $22.3 \pm 8.9\%$ to $55.8 \pm 21.2\%$ of the dose when co-administrated with quinidine [90]. Digoxin oral bioavailability is also increased when co-administrated with talinolol [146], with a 23% increase of the area under the concentration-time curve AUC(0-72h), or clarithromycin [147] (1.7-fold increase in AUC(0-24h)), whereas its renal elimination is reduced when co-administrated with verapamil [148]. In another study with healthy male volunteers, quinidine caused an increase of loperamide transport into the brain, leading to several side effects, although the blood plasma concentration of loperamide remained unchanged [149].

Ciclosporin A, another well-known P-gp substrate [100], is also able to act as an inhibitor, increasing taxane (paclitaxel or docetaxel) oral bioavailability in wild-type mice [150] (from 9.3% up to 67% when co-administrated with ciclosporin A) as well as in cancer patients [151, 152] (from 4-8% for taxane alone, up to 47% or 88%, depending on the taxane, in presence of ciclosporin A). Similarly, the increased bioavailability and reduced clearance of the BCRP substrate irinotecan in patients treated concomitantly with ciclosporin A [153, 154] has been attributed to the inhibition

of BCRP by ciclosporin A [155]. The clinical efficacy of ciclosporin A as a pump modulator is thus related to its ability to inhibit different MDR transporters (P-gp, BCRP, MRP1 [156]).

Anti-HIV therapy requires the combination of three or four antiretroviral drugs from different classes. Many anti-HIV drugs have been demonstrated as being substrates for MDR transporters, mainly P-gp and MRP2 (see Table 2). However, ritonavir also behaves as a P-gp inhibitor and decreases digoxin clearance by 35%, in humans, likely because both drugs compete with P-gp for renal elimination [157]. P-gp and CYP3A4 inhibition by ritonavir or other protease inhibitors has also been evoked to explain the increased blood concentrations of tacrolimus [158], fexofenadine [159] or loperamide [160]. This could apply to much more classes of drugs that are substrates of both P-gp and CYP 3A4 [161]. Moreover, protease inhibitors are also inhibitors (but not substrates) of BCRP [162, 163], and could therefore also affect the pharmacokinetic profile of drugs that are substrates of this transporter. The same reasoning could apply to antifungal agents, which are substrates of P-gp but inhibitors of BCRP [164].

Several drug-drug interactions have been reported with the antifolate drug methotrexate. Co-administration of benzimidazole proton-pump inhibitors significantly inhibits BCRP-mediated transport of methotrexate *in vitro*, and pantoprazole reduces its clearance *in vivo* in mice (1.9-fold), possibly via competition for BCRP [165]. Co-administration of nonsteroidal anti-inflammatory drugs (NSAIDs) [166] also modifies methotrexate pharmacokinetics, possibly by inhibiting its renal tubular secretion via MRP2 and MRP4 [141, 167]; *in vitro* diclofenac inhibits BCRP-mediated methotrexate transport [142].

Much more interactions have been described in cellular or *in vitro* models. For example, bromocriptin increases L-dopa cellular accumulation about 2.05-fold in a rat brain endothelial cell model by inhibiting P-gp [168], whereas amiodarone inhibits digoxin secretion through P-gp in kidney epithelial cells [169]. Interactions with anticancer drugs have also been demonstrated. The antibiotics ofloxacin and erythromycin enhance vincristine accumulation in MRP1-overexpressing cells [170], and opiates (methadone and morphine) inhibit paclitaxel uptake by P-gp in human placental inside-out vesicles [171]. On the contrary, transport of paclitaxel, docetaxel, and saquinavir in MDCK cells overexpressing MRP2 is stimulated by diclofenac [142]. Further investigations are needed however to determine whether these are relevant in the clinics, as concentrations used *in vitro* are often supratherapeutic.

Drug-induced Change in Expression of MDR ABC Transporters

Regulation of transporters expression has been mainly studied in the liver, a key organ for drug detoxification and disposition (for comprehensive reviews, see [172, 173]). Several nuclear receptors like the pregnane X receptor (PXR, also referred as the steroid and xenobiotic receptor SXR), constitutive androstane receptor (CAR), peroxisome proliferator activated receptor alpha (PPAR α), or nuclear factor-E2-related factor (Nrf2) are implicated in the induction by

xenobiotics of ABC transporters (P-gp, MRP2 [174], MRP3 [175], Mrp4 [176] or BCRP [177]), as well as of cytochromes P450 [178] or of uptake transporters (OATP) [179], enabling a coordinated response to drug injury. Nuclear receptors regulate target gene transcription in a ligand-dependent manner. Ligand binding promotes their activation and translocation to the nucleus, where they form homo- or heterodimers that bind to specific response elements within regulatory regions of the target gene. Several drugs are able to bind to and activate nuclear receptors, such as rifampicin, clotrimazole, phenobarbital, dexamethasone, nifedipine, or midazolam [180], and therefore to modulate MDR transporter expression (see Table 3) [178, 181]. *In vitro*, other drugs induce rather gene amplification [182].

Rifampicin is known for a long time as an inducer of P-gp and MRP2 [183, 184], through a PXR-activation mechanism [185]. In human healthy volunteers, rifampicin treatment increases intestinal P-gp level, thus affecting oral bioavailability of several drugs, such as digoxin [184], talinolol [186], fexofenadine [187] or ciclosporin A [188]. Mice expressing human PXR and treated with rifampicin were also much less susceptible to methadone antinociceptive effect, demonstrating the increase of P-gp activity at the BBB after rifampicin treatment [189].

HIV protease inhibitors like amprenavir and nelfinavir [190], ritonavir [191, 192] or atazanavir [193] can induce intestinal P-gp overexpression in animals and in cultured cells [194, 195], through binding and activation of PXR, at clinically-relevant concentrations for ritonavir [196]. Ritonavir also induces MRP1 overexpression *in vitro* [191]. However, patients treated with protease inhibitors do not exhibit an increase in P-gp expression in lymphocytes, as compared to patients treated with other classes of antiretrovirals [197]. Yet, non-nucleoside and nucleoside reverse transcriptase inhibitors also induce intestinal P-gp expression *in vitro* probably via a PXR pathway [198, 199], making the previous study difficult to interpret.

In rats, repeated administration of oxycodone (an opioid agonist used for the management of pain in cancer patients) causes P-gp overexpression (in liver, kidney, and brain), and affects tissue concentration of paclitaxel [200]. Celecoxib, a NSAID, induces an increase in MRP4 and MRP5 expression *in vitro* at clinically relevant concentrations [201]. This could explain the lack of improvement in response rate observed in clinical trials examining celecoxib combined with irinotecan for solid malignancies [202]. Carbamazepine, an antiepileptic drug known as a CYP3A4 inducer, has been shown to induce both intestinal P-gp and MRP2 in human healthy volunteers, which affects talinolol pharmacokinetics [203]. Other antiepileptic drugs, among which phenobarbital (a known PXR activator), also increase P-gp, MRP1 and MRP2 expression levels after long-term exposure of rat brain microvascular endothelial cells [204, 205] as well as in rat brain [206]. This effect is associated with an activation of PXR and CAR receptors [205].

Acquired MDR phenotype in cancer cells often results from the overexpression of ABC transporters able to expel anticancer drugs out from the cells [10, 42]. This suggests that anticancer drugs can induce the expression of the

corresponding transporter. Thus, resistant cell lines obtained *in vitro* after chronic exposure to various anticancer agents (see Table 3) do indeed overexpress ABC transporters. The same strategy could be applied to other drug substrates, provided they can exert a certain toxicity on cells allowing to select those having acquired resistance. Successful examples include mouse macrophages exposed to ciprofloxacin, which overexpress Mrp4 [58, 207] or human erythroleukemia cells exposed to adefovir, which overexpress an indomethacin-sensitive efflux pump (later identified as being also MRP4) [208]. This strategy is thus very useful to obtain cells overexpressing efflux pumps as tools for molecular studies and characterization of drug transport [209]. The conditions needed to select cells *in vitro* are not relevant from the clinical situation (high concentrations; prolonged exposure), but clinical data suggests this also occurs during therapy. Induction of P-gp expression during treatment has been demonstrated for example in patients treated for bladder cancer with doxorubicin [210]. Overexpression of P-gp, MRPs or BCRP at the surface of cancer cells is frequently reported in tumors and constitute a poor prognosis factor [211, 212]. Interestingly also, these transporters show higher expression levels at the BBB in drug refractory epilepsy [213, 214].

MDR ABC TRANSPORTERS AS A DRUG TARGET

Considerable effort has been made over the last decade to develop efflux pump inhibitors as a way to improve efficacy of anticancer agents (see for recent reviews [215 and 216]). Yet, if *in vitro* or animal data are promising, success is limited in clinical trials, probably in relation with the pleiotropic character of the MDR transporters and with the difficulty of inhibiting transporters that have physiological roles without causing toxicity.

In a more general context, inhibition of apical transporters like P-gp and/or BCRP is also an attractive strategy to improve oral bioavailability and CNS penetration of drug substrates [103, 110, 120] but it may face the same limitations.

Another strategy could therefore rather consist of trying to select drugs that are poor substrates for efflux transporters. High throughput methods of *in vitro* and *in silico* screening should be helpful in this respect.

CONCLUSION

There is no doubt that active efflux transport should now be considered as a part of the evaluation of the pharmacokinetic profile of a drug, to the same extent as its metabolism by hepatic enzymes. Variations in the expression profile of transporters should also be considered with care to explain inter-individual variability.

The importance of characterizing transport by MDR efflux pumps is now recognized also by health authorities. In its last drug interaction guidance, the US Food and Drug Administration recommends indeed to test for transport, inhibition or induction of P-glycoprotein by new drugs, as a way to predict potential drug-drug interactions [217-219].

(Table 3) Contd

ATC code	Pharmacological class	Drug	P-gp	MRP1	MRP2	MRP3	MRP4	MRP5	BCRP	Refs.		
Musculo-skeletal system												
M	01A	Anti-inflammatory agents	Celecoxib		- (s)	- (s)		+ (s)	+ (s)	[201]		
Brain and nervous system												
N	02A	Opioid analgesics	Morphine	+ (r,l)	+ (r,l)					+ (r,l)	[382, 383]	
			Oxycodone	+ (r,l)								[200]
	03A	Antiepileptics	Carbamazepine	+ (l)		+ (l)						[203]
			Phenobarbital	+ (s)	-	+ (s)				+ (s)		[174, 181, 366, 370]
	04B	Antiparkinsonian drugs	Bromocriptine	+ (m, s)								[384]
05C	Hypnotics and sedatives	Midazolam	+ (s)								[181]	
Antiparasitic products and insecticides												
P	01B	Antimalarials	Artemisinin	+ (s)							[385]	

Drugs are classified according to ATC codes (Anatomical Therapeutic Chemical classification system; <http://www.whoce.no/atc/>).

Induction has usually been demonstrated *in vitro* (at mRNA and/or protein levels); symbols in bold correspond to *in vivo* induction. Studies were performed in animals: m, mouse; r, rat; p, pig; mo, rhesus monkeys; or in humans/human cell lines (no indication). Induction has been performed for short time (s) (≤ 72 h), or long time (l) (> 3 days) periods.

Appropriate models are therefore critically needed to evaluate drug transport by specific efflux pumps. P-gp role is now appropriately evaluated, using reliable *in vitro* and *in vivo* procedures. Interactions caused by other MDR transporters still need to be examined on a case-by-case basis, as standard procedures are lacking. Furthermore, we also need filling the gap between *in vitro* and *in vivo* data to accurately predict the role of MDR efflux pumps in drug transport and drug interactions.

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Improving Cancer Chemotherapy with Modulators of ABC Drug Transporters

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Abstract: ATP-binding cassette (ABC) transporters, P-glycoprotein (P-gp, ABCB1) and ABCG2, are membrane proteins that couple the energy derived from ATP hydrolysis to efflux many chemically diverse compounds across the plasma membrane, thereby playing a critical and important physiological role in protecting cells from xenobiotics. These transporters are also implicated in the development of multidrug resistance (MDR) in cancer cells that have been treated with chemotherapeutics. One approach to blocking the efflux capability of an ABC transporter in a cell or tissue is inhibiting the activity of the transporters with a modulator. Since ABC transporter modulators can be used in combination with chemotherapeutics to increase the effective intracellular concentration of anticancer drugs, the possible impact of modulators of ABC drug transporters is of great clinical interest. Another possible clinical use of modulators that has recently attracted attention is their ability to increase oral bioavailability or increase tissue penetration of drugs transported by the transporters. Several preclinical and clinical studies have been performed to evaluate the feasibility and the safety of this approach. The primary focus of this review is to discuss progress made in recent years in the identification and applicability of compounds that may serve as ABC transporter modulators and the possible role of these compounds in altering the pharmacokinetics and pharmacodynamics of therapeutic drugs used in the clinic.

Keywords: ABC transporters, ABCG2, blood-brain barrier, chemotherapy, modulators, multidrug resistance, oral bioavailability, P-glycoprotein.

MULTIDRUG RESISTANCE AND ABC DRUG TRANSPORTERS

Chemotherapy is usually the most effective treatment for cancer patients with advanced/metastatic tumors or hematological malignancies. However, cancer cells often develop simultaneous resistance to many functionally and structurally unrelated anti-cancer drugs, a phenomenon known as multidrug resistance (MDR), which is a major problem in the treatment of cancer [1]. Cancer cells with the MDR phenotype may have either inherent resistance to anti-cancer drugs or resistance acquired after cycles of chemotherapy. Several mechanisms of anti-cancer drug resistance, such as reduced cellular drug uptake, increased or decreased expression of metabolic enzymes, mutation of the target, alterations of the apoptotic pathway, changes in cellular repair mechanisms and increased expression/activity of drug efflux pumps have been characterized [2].

One of the most important MDR mechanisms is an increased efflux rate of the anti-cancer drug from cancer cells by the members of the superfamily of ATP-binding cassette (ABC) transporters, which is one of the largest protein families in living organisms [3, 4]. There are 48 genes in the human genome that encode ABC transporters, which are divided into seven subfamilies (ABCA-ABCG) based on the amino acid sequence identity of ATP-binding

domains [4]. ATP-binding domains, also known as nucleotide binding domains (NBDs), and trans-membrane domains (TMDs), each with six alpha helices, are the essential units of an ABC transporter. The NBD has the highly conserved Walker A, B, and signature C motifs and are well conserved in all the organisms. On the other hand, the TMD confers transport substrate specificity, and is not well conserved [5, 6]. The members of this family play a crucial role in physiology, and mutations in ABC transporters result in several human diseases including progressive familial intrahepatic cholestasis (ABCB11), Dubin-Johnson syndrome (ABCC2), cystic fibrosis (ABCC7), and adrenoleukodystrophy (ABCD1) [3, 4]. So far, at least 15 transporters have been shown to export anti-cancer drugs *in vitro* [7]. Among them, P-glycoprotein (P-gp; MDR1, ABCB1), multidrug resistance-associated protein 1 (MRP1, ABCC1), and ABCG2 (breast cancer resistance protein; BCRP, mitoxantrone resistance protein; MXR) are considered major players in the development of MDR in cancer cells.

P-gp, discovered in 1976, is one of the best characterized ABC transporters [8]. It is composed of two homologous halves, each containing a NBD and a TMD, and transports exogenous and endogenous amphipathic substrates out of cells using energy from ATP [9]. It is localized at the apical surface of the cells and is highly expressed in capillary endothelial cells of the blood-brain barrier, placental trophoblasts, the testes, intestines, the liver, kidneys and the adrenal gland [3]. These tissues function as barriers, suggesting the physiological role of P-gp is to protect the body from xenobiotics and toxins. P-gp pumps out many structurally unrelated anti-cancer drugs, such as vinca alkaloids (vinblastine, vincristine, vindesine, vinorelbine), anthracyc-

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lines (doxorubicin, daunorubicin) and taxanes (paclitaxel, docetaxel), suggesting the flexible nature of the substrate binding site of P-gp [10, 11]. P-gp is highly expressed in leukemia, breast, ovarian, colon, kidney, adrenocortical, and hepatocellular cancers and its overexpression is inversely correlated with poor clinical prognosis [12-14].

ABCG2 is a half transporter which contains one TMD and one NBD, and is therefore thought to homodimerize or oligomerize to form the functional unit [15-17]. Interestingly, similar to the MDR family of transporters in yeast, the location of the TMD and NBD is reversed in ABCG2 compared to P-gp [18]. Similar to P-gp, ABCG2 is localized to the apical membrane in epithelial cells and normally expressed in organs such as the placenta, brain, liver, prostate, and intestine [16]. ABCG2 is also detected in hematopoietic and other stem cells, suggesting that it may play an important role in the protective function of pluripotent stem cells [19]. Overexpression of ABCG2 renders cancer cells resistant to many anti-cancer drugs including mitoxantrone, topotecan and methotrexate and it is associated with poor response to chemotherapy in leukemia and breast cancer patients [20, 21].

MRP1 (ABCC1) was the first member of the MRP family to be identified (in 1992) and has been linked to the development of MDR [22]. The structure of MRP1 is similar to that of P-gp, except five additional transmembrane helices are present at the amino-terminal end of the transporter. It is highly expressed in the adrenal gland, bladder, choroid plexus, colon, in erythrocytes, bone marrow, the kidneys, lungs, placenta, spleen, stomach, testes, in helper T cells and in muscle cells [23]. MRP1 transports some substrates conjugated with glucuronide, sulfate or glutathione, vinca alkaloids, anthracyclines, methotrexate and also leukotriene C₄, which is an endogenous substrate for the transporter [24, 25]. The localization of MRP1 is different from that of P-gp, as it is expressed in the basolateral membrane in polarized epithelial cells and transports substrates in to the bloodstream [26]. Overexpression of MRP1 has also been shown in lung, breast, prostate, and ovarian cancer, gastrointestinal carcinoma, melanoma, and leukemia [27]. While some studies have reported MRP1 expression levels to be of prognostic significance [28, 29], others have found no correlation between clinical outcome and its expression [30, 31]. A comprehensive role of MRP1 in clinical drug resistance is still debatable; therefore the present review will mainly focus on two major ABC drug transporters, P-gp and ABCG2.

APPROACHES TO IMPROVING CHEMOTHERAPY

A combination of two or multiple drugs is often used in chemotherapy, as each drug inhibits a specific target and the combination therefore could maximize the killing effect on cancer cells, additively and synergistically [32]. The combination of drugs targets several cellular pathways simultaneously, which not only augments the tumoricidal effect of anti-cancer drugs, but also lessens the occurrence of drug resistance, thereby providing an optimal therapeutic outcome [2].

In addition to conventional anti-cancer drugs, many novel drugs have been developed based on an understanding

of molecular mechanisms, which revealed a number of new potential targets for drugs. These novel agents such as the monoclonal antibody cetuximab, which targets the extracellular domain of EGFR by blocking ligand binding, and the small-molecule inhibitor erlotinib, that blocks EGFR intracellular tyrosine kinase activity, have demonstrated clinical advantages over the established drug regimen [33]. Several newer approaches such as cancer vaccines, antisense oligonucleotides, and small-interfering RNAs (siRNAs) are also promising approaches for targeting cancer cells and deserve further clinical investigation [33]. These approaches show a great promise for improving the efficiency of chemotherapy, but are still not sufficient to alter the outcome for many cancer patients.

ABC DRUG TRANSPORTERS: TARGETS FOR IMPROVING CHEMOTHERAPY

MDR mediated by ABC transporters in cancer cells can be overcome by methods such as specific inhibition of transporters at the cell surface level, blocking the signaling pathways that control amplification and overexpression of these transporters, and targeting the transcription factors that regulate the expression of the pumps [34]. Historically, research on the reversal of ABC transporter-mediated MDR has been directed towards inhibiting the activity of the transporter at the cell surface by drugs known as modulators or inhibitors and due to the scope of this review, we will focus on this strategy as a possible way to improve chemotherapy and/or oral bioavailability. A majority of modulator drugs used for this purpose are basically transport substrates of ABC drug transporters that inhibit efflux of anti-cancer agents in both *in vitro* and *in vivo* systems.

Almost three decades ago, Tsuruo *et al.* discovered that verapamil (a calcium channel blocker used as a coronary vasodilator) enhanced the cytotoxicity of vincristine and vinblastine in a P-gp-expressing vincristine-resistant cell line [35]. Slater *et al.* later reported that cyclosporine A (CsA), an immunosuppressant drug, completely reversed primary resistance to vincristine and daunorubicin in a drug-resistant cell line of human T cell acute lymphatic leukemia [36]. Additional studies showed that both verapamil and CsA reversed the MDR phenotype by interacting directly at the drug-substrate site on P-gp [37-39]. Several other 'off the shelf' drugs which were already in clinical use such as nifedipine and nifedipine were shown to reverse Pgp-mediated MDR (reviewed in [34]) but many of these agents were toxic, as higher concentrations of these drugs were required for inhibiting the transporters. These drugs have been described as the first generation modulators of P-gp. In 1991, an analog of CsA PSC833 (valsopodar) was synthesized which was not only 10-fold more potent than CsA in inhibiting Pgp activity but also showed no immunosuppressive side effect [40]. Discovery of this molecule marked the beginning of the second generation of ABC transporter modulators. Many other modulators were developed based on the quantitative structural activity relationship approach. A number of clinical studies were carried out using these modulators. These trials demonstrated some advantage over the previous agents, but patients still suffered from side effects associated with the therapy [41-44]. Since then, third generation modulators such as tariquidar (XR9576) [45],

elacridar (GF120918) [46], zosuquidar (LY335979) [47] and dofequidar [48] have been developed which have shown improved selectivity and inhibitory activity towards ABC transporters. Martin *et al.* reported that tariquidar, unlike verapamil and CsA, was not a transport substrate of P-gp, and that it inhibits P-gp function by binding at a site distinct from the vinblastine and paclitaxel site [49]. Tariquidar potentiated the cytotoxicity of several drugs including doxorubicin, paclitaxel, etoposide, and vincristine at very low concentrations (25-80 nM). Co-administration of tariquidar potentiated the antitumor activity of doxorubicin without a significant increase in toxicity in mice [50]. Two Phase III trials of tariquidar in combination with paclitaxel/carboplatin, or vinorelbine were initiated in patients with non-small-cell lung cancer (NSCLC) but these studies were terminated later owing to chemotherapy-related toxicity in the tariquidar arm [51]. Recent results of another phase I study with vinorelbine have indicated shown that tariquidar is a potent P-gp antagonist, without significant side effects and much less pharmacokinetic interaction than previous P-gp antagonists [52]. This and other ongoing clinical studies at the National Cancer Institute (NIH, Bethesda, USA) with tariquidar suggest that there is still optimism that therapeutic modulation of MDR mediated by ABC transporters may be beneficial to patients.

The leukotriene LTD₄ receptor antagonist MK571 and a fungal toxin, fumitremorgin C (FTC) were identified as specific modulators of MRP1 and ABCG2, respectively [53, 54]. Since then MK571 and FTC have been used as standard modulators for inhibiting MRP1- and ABCG2-mediated transport, respectively, in laboratory assays. However, unlike P-gp, clinically useful modulators of MRP1 and ABCG2 have not been extensively investigated in clinical trials. Some modulators of P-gp such as biricodar (VX-710) dofequidar (MS-209), elacridar (GF120918) or CBT-1 have also been shown to inhibit MRP-1 and/or ABCG2-mediated drug transport/resistance in *in vitro* and in clinical studies

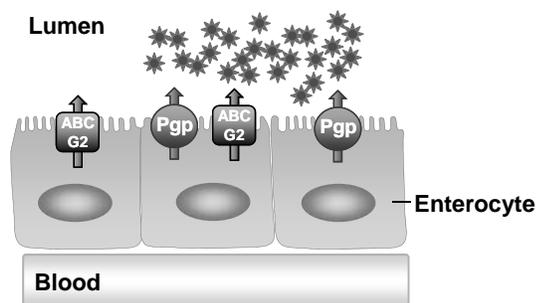
[48, 55-61]. Although the effect of these modulators on each transporter is not well established in clinical trials, these drugs might be useful against cancer cells which express a combination of transporters linked to MDR. The efficacy and safety of these modulators in clinical studies is still under evaluation.

Newer approaches have been developed in the laboratory to overcome ABC transporter-mediated MDR, including a monoclonal antibody that binds to human P-gp and inhibits drug transport [62], siRNA technology that specifically decreases the expression of *ABCB1* [63], and regulation of transcription factors that inhibit P-gp activation [64]. Although these approaches might help to overcome transporter-mediated MDR, clinical studies using these alternative approaches are still lacking to establish their efficacy in cancer patients.

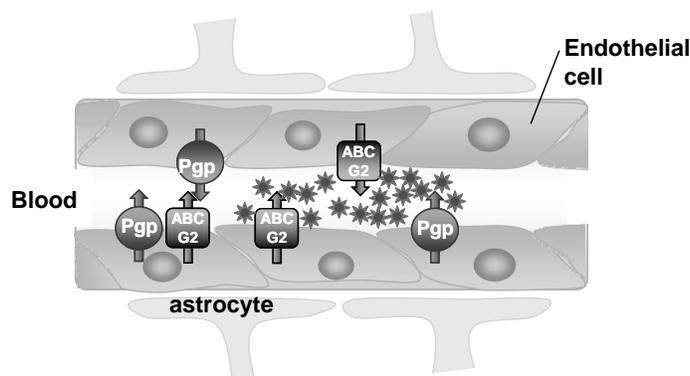
MODULATORS OF ABC DRUG TRANSPORTERS AND ORAL BIOAVAILABILITY OF CHEMOTHERAPEUTICS

Although modulators of ABC drug transporters were initially envisioned as drugs that can be used to overcome ABC transporter-mediated MDR in cancer cells or tumor tissue, these drugs are recapturing attention for another possible use: increasing oral bioavailability of drugs which were earlier ruled out as oral chemotherapeutic agents due to poor bioavailability [65]. Pgp and ABCG2 have now been confirmed to play significant physiological roles including preventing the uptake of many drugs and food components from the gut into the body. Both P-gp and ABCG2 are expressed in the brush-border membranes of enterocytes in the intestine, which results in the excretion of their drug-substrates into the lumen, resulting in a potentially limiting net absorption (Fig. 1). The association of drug transporter levels in the intestine and oral drug bioavailability was

(a) Intestine



(b) Blood-Brain barrier



★ Drug-substrate of ABC transporters (P-gp and ABCG2)

Fig. (1). Schematic showing the localization of P-gp and ABCG2 at the apical surface in the (a) intestinal enterocytes and (b) brain capillary endothelial cells. The drug substrates of the transporters are pumped inside the lumen of the intestine and brain capillaries by these transporters, resulting in reduced oral bioavailability and decreased brain accumulation (see text for details).

Table 1. Preclinical/Clinical Studies Demonstrating the Use of Modulators of ABC Drug Transporters to Improve Oral Bioavailability

Transporter	Drug	Inhibitor	References
P-gp	Paclitaxel	HM30181	[69]
P-gp	Paclitaxel	Valspodar (PSC833)	[93]
P-gp	Docetaxel	Ritonavir	[84]
P-gp	Talinolol	Verapamil	[94]
P-gp	Paclitaxel, Digoxin, Fexofenadine	Biochanin	[95]
P-gp	Paclitaxel	Elacridar (GF120918)	[96]
P-gp	Paclitaxel	Cyclosporin A	[97]
P-gp	Docetaxel	Ritonavir	[98]
P-gp	Paclitaxel	Cyclosporin A	[99]
P-gp	Digoxin	Quinidine	[100]
P-gp	Docetaxel	Cyclosporin A	[101]
P-gp	Digoxin	Talinolol	[102]
P-gp	Paclitaxel	Elacridar (GF120918)	[103]
P-gp	Paclitaxel	HM30181	[69]
P-gp, ABCG2	Topotecan	Elacridar (GF120918)	[82, 104]
P-gp, ABCG2	Etoposide	Elacridar (GF120918)	[105]
P-gp, ABCG2	Topotecan	Elacridar (GF120918)	[106]
ABCG2	Sulfasalazine	Curcumin	[68]
ABCG2	Methotrexate	Pantoprazole	[107]
ABCG2	Irinotecan	Gefitinib	[108]
ABCG2	Methotrexate	Omeprazole/lansoprazole	[109]

Oral bioavailability of Erlotinib [110], Gefitinib [111] and Paclitaxel [112] has been shown to be increased in *mdr1a*, *mdr1b*, *Abcg2* triple knock-out and *mdr1a*, *mdr1b* knock-out mice, respectively.

initially demonstrated by Spareboom *et al.*, who showed that P-gp in the intestine limits the uptake of orally administered paclitaxel [66]. Later Greiner *et al.* also showed that the plasma concentration of digoxin, another P-gp substrate, was inversely correlated with intestinal P-gp expression in patients [67]. These observations led researchers to evaluate if modulators of ABC transporters could possibly be used to temporarily inhibit the efflux activities of the transporters, thereby increasing the oral bioavailability and plasma concentrations of some poorly absorbed drugs. Several preclinical *in vitro* and *in vivo* studies have since then reported the use of specific modulators of ABC drug transporters to increase the oral bioavailability or efficacy of drugs such as docetaxel, vinblastine, etoposide, digoxin, indinavir, saquinavir, tacrolimus, nelfinavir, talinolol, topotecan, methotrexate, irinotecan, SN-38, 2-amino-1-methyl-6-phenylimidazo[4,5-b]pyridine (PhIP) and erlotinib, which are either P-gp or ABCG2 substrates (reviewed in [65]). This is consistent with biochemical studies demonstrating that there is significant overlap in substrate specificity of Pgp, ABCG2 and MRP1 [11]. Recently, we showed that inhibition of intestinal *abcg2* in mice by curcumin results in increased oral bioavailability of its substrate, sulfasalazine, which suggested that curcumin, a non-toxic natural product modulator of P-gp and ABCG2, may be used to enhance drug exposure [68]. A very recent study reported a newly

discovered modulator of Pgp, HM30181 increased oral bioavailability of paclitaxel in rats and inhibition of growth of tumor xenografts in mice which was better than intravenous paclitaxel [69]. These results identify HM30181, as a highly selective and potent inhibitor of MDR1, which in combination with paclitaxel may provide an orally effective anti-tumor regimen. Table 1 summarizes recent studies which reported the use of P-gp or ABCG2 modulators to increase the oral bioavailability or plasma concentrations of drugs. These studies suggest a pharmacological advantage of using chemotherapeutic drugs in combination with modulators of ABC drug transporters.

Although using modulators in combination with other drugs is an appealing strategy, it does have the risk of increased toxicities associated with the combination therapy because both P-gp and ABCG2 are also expressed in epithelial cells of the colon, adrenal cortex, kidney, liver and bile canalicular membranes and gall bladder. These organs influence the excretion of drugs. Moreover, as P-gp and ABCG2 also protect vital organs such as the brain, the testes, and the fetus, against toxins that enter the body, inhibition of the activity of these transporters may lead to toxicity associated with these organs. In addition, some modulators also inhibit metabolic enzymes such as cytochrome P450-3A4, which may significantly delay drug clearance from the

Table 2. Preclinical/Clinical Studies Demonstrating Use of Modulators of ABC Drug Transporters to Improve Brain Accumulation of Drugs

Transporter	Drug	Inhibitor	References
P-gp	Vincristine	Quercetin	[113]
P-gp	Colchicine, Vinblastine	Valspodar (PSC833), Elacridar (GF120918)	[114]
P-gp	Colchicine, Vinblastine	Verapamil, Valspodar (PSC-833)	[115, 116]
P-gp	Colchicine	Valspodar (PSC 833)	[117]
P-gp	Paclitaxel	Cyclosporin A, Valspodar (PSC833), Elacridar (GF120918)	[118]
P-gp	Paclitaxel	Zosuquidar (LY335979)	[119]
P-gp	Paclitaxel	PSC833 (Valspodar)	[120]
P-gp, ABCG2	Dasatinib	Elacridar (GF120918), Zosuquidar (LY335979) (only for P-gp)	[121]
P-gp, ABCG2	Dasatinib	Elacridar (GF120918)	[78]
P-gp	Imatinib	Valsopodar (PSC 833), Zosuquidar (LY335979)	[80, 122]
P-gp, ABCG2	Imatinib	Elacridar (GF120918), Pantoprazole	[79]
ABCG2	Imatinib	Elacridar (GF120918)	[80, 122]
ABCG2	Mitoxantrone	Elacridar (GF120918)	[123]
ABCG2	Mitoxantrone	Elacridar (GF120918)	[124]

Brain accumulation of Topotecan [77] and Dasatinib [121] has been shown to be increased in *mdr1a*, *mdr1b*, *Abcg2* triple knock-out mice.

body [70]. Considering these factors, the use of modulators in a combination regimen for improving oral bioavailability is an approach which should be carefully monitored for minimal toxicity associated with improved therapeutic outcome.

MODULATORS OF ABC DRUG TRANSPORTERS INCREASE BRAIN ACCUMULATION OF CHEMOTHERAPEUTICS

P-gp and ABCG2 expression in the brain has been found in numerous species, including humans, primates, rats, mice, and pigs [71]. These transporters are principally expressed at the luminal membrane of the endothelial cells in brain capillaries (blood-brain barrier; BBB) and pump substrates back into the circulation, which is a critical determinant for the efficacy/toxicity of chemotherapeutics for the brain (Fig. 1). The importance of P-gp in the brain was first demonstrated by Schinkel *et al.*, who showed that brain uptake of drugs was higher in P-gp knockout mice, suggesting that Pgp protects the brain from toxic effects under physiological conditions [72]. Later, several other studies reported that P-gp drug-substrate levels were significantly higher in the brains of *mdr1a* knockout mice than wild-type mice [73-76]. Brain accumulation of topotecan, a camptothecin analog and a substrate of both P-gp and ABCG2 that is used for treating ovarian, cervical and small cell lung cancer, was also found to be 3.7-fold higher in *mdr1a/b/Abcg2*-knockout mice compared to wild-type mice [77]. Recently, Lagas *et al.* also showed P-gp and ABCG2 knockout mice have drastically increased dasatinib brain concentrations, both after oral and i.p. administration [78]. Collectively, these studies provide strong evidence for the notion that inhibition of ABC transporters at the BBB can also be used to enhance the efficacy of drugs which are targeted for brain disorders and

do not penetrate the BBB by virtue of being substrates of the transporters. This has been demonstrated in several *in vivo* and *in vitro* studies in which modulators of ABC transporters such as elacridar, pantoprazole, valspodar and zosuquidar were used to increase accessibility of several clinically important drugs into the brain [78-80]. Table 2 summarizes the list of modulators that have been evaluated for the purpose of increasing brain accumulation of clinically important drugs. Taken together, modulators of ABC drug transporters can possibly be used to augment brain accumulation of drugs which cannot cross the BBB due to the action of transporters, thereby increasing their therapeutic potential.

MODULATORS AS ADJUVANTS IN CLINICAL STUDIES

As stated above, inhibition of ABC drug transporters by modulators may be used to achieve two independent clinical objectives: (1) reversal of MDR in drug resistant tumors during chemotherapy (2) increasing a drug's exposure in body {tissues} for purposes such as increasing oral bioavailability or enhanced brain accumulation of chemotherapeutics. Inhibiting ABC transporters as a way to reverse drug resistance in tumors has been reported several times, but most studies failed to show a dramatic improvement in clinical outcome. We have earlier reviewed the recent progress made in clinical studies by third generation ABC transporter modulators [34]. Here, we will describe recent clinical studies reporting promising results. In a Phase I/II clinical trial, Zosuquidar (LY335979) in combination with CHOP (cyclophosphamide, hydroxydaunorubicin, oncovin (vincristine), and prednisone) was shown to have little effect on the pharmacokinetics of anti-cancer drugs in patients with non-Hodgkin's lymphoma, which suggests that this combination may be pursued further in phase III studies [81].

Another phase I dose escalation study showed that a combination of oral topotecan and tariquidar was well tolerated in patients and warranted a further phase II evaluation [82]. Saeki *et al.* also reported that a dual inhibitor of Pgp and MRP1, dofequidar (MS-209) in combination with cyclophosphamide, doxorubicin, and fluorouracil (CAF) displayed a significantly increased efficacy in breast cancer patients who did not receive prior therapy. Although the patient numbers in these analyses were small, the results are important within these clinically significant patient populations [83]. In addition, studies from Oostendorp *et al.* also suggest that co-administration of ritonavir with docetaxel could also be evaluated for its efficacy in patients with solid tumors [84]. Although more positive outcomes are expected now because of the increasing knowledge about modulators with drug transporters, studies such as those reported by Lhomme *et al.* compared the safety and efficacy of paclitaxel administered with or without PSC833, in patients with advanced ovarian or primary peritoneal cancer, showed that the addition of PSC833 to paclitaxel did not lead to an arrest of the disease progression or overall survival and the combination was more toxic compared with paclitaxel in untreated patients with advanced ovarian or primary peritoneal cancer [85].

The apparent lack of response to modulators to reverse MDR in clinical studies can be attributed to multiple factors. One of the most challenging issues in using modulators with chemotherapeutic agents is alteration of the pharmacokinetics of the chemotherapeutic agent, which has a toxic effect. In addition, inhibition of ABC drug transporters adds to non-specific dose limiting toxic effects and it may also result in drug-drug interaction related side effects. As a result, many of these studies had to be discontinued because of toxicities in patients [51]. Genetic variability (SNPs) in ABC transporters (discussed below) and the variability in the expression levels of transporters among individuals selected for the clinical studies may also be one of the factors responsible for the poor outcome of the use of modulators. Another important aspect is patient selection in clinical studies. As development of MDR is a multifactorial phenomenon, reversal of MDR may have been studied in patients in whom drug resistance was not due to the overexpression of ABC drug transporters. Considering this, patients who show higher levels of P-gp or ABCG2 in tumor tissue should be the ones selected to evaluate the modulators in clinical studies. Moreover, the presence of other ABC transporters in tumors can complicate the use of specific modulators of ABC transporters. Taking the above factors into consideration, the use of modulators to inhibit ABC drug transporter mediated-MDR in patients is a strategy that is still a viable approach for treating drug resistant cancer. However, it is critical to find the right inhibitor for the right transporter with the right dosing, which would allow minimal pharmacokinetic interaction of the chemotherapeutic drug and maximal specific inhibition of the transporters in the target tumor.

SINGLE-NUCLEOTIDE POLYMORPHISMS IN ABC DRUG TRANSPORTERS AND ITS ROLE IN MODULATOR EFFICIENCY

ABC drug transporters directly influence drug efficacy and toxicity and the expression of these transporters deter-

mines the degree of resistance of cancer cells to chemotherapy. Several single nucleotide polymorphisms (SNPs) in the coding region of both P-gp and ABCG2 have been reported that influence their substrate specificity and interaction with the transporters [reviewed in [86, 87]]. Such genetic variability in transporters often explains the inter-individual variability in interactions with substrate or modulators and drug disposition, ultimately resulting in differences in clinical endpoints including toxicity and response to the modulators. Kimchi-Sarfaty *et al.* reported that a synonymous mutation (C3435T) in a particular MDR1 haplotype causes a change in the conformation of substrate/modulator binding sites [88]. Another example of a common non-synonymous SNP (421C>A) in ABCG2 has been linked to increased oral bioavailability of topotecan in patients, decreased survival of prostate cancer patients and increased exposure to atorvastatin and rosuvastatin, statins commonly used in the treatment of high cholesterol [89-91]. In addition, SNPs in metabolic enzymes such as cytochrome P450s (CYPs) are often found to be associated with altered pharmacokinetics of transporter substrate drugs [92]. This is especially important when the metabolism of the drug/modulator by the CYPs may be the rate-limiting step in drug clearance. Since the efficacy and toxicity of drugs is ultimately determined by plasma pharmacokinetic parameters, studies investigating polymorphisms in ABC drug transporters as they relate to drug administration are becoming increasingly important in the clinical setting. In conclusion, polymorphisms in ABC drug transporters in various ethnic populations may significantly affect the pharmacokinetics of substrates or modulators, suggesting that they may play a more important role than expected in the efficiency of therapeutic treatment of cancer patients.

CONCLUSIONS AND THERAPEUTIC PERSPECTIVE

In the last decade, a huge amount of effort has been invested in the field of ABC drug transporters to identify, develop, and clinically evaluate a variety of agents known to antagonize the function of these transporters as a means of overcoming tumor resistance. The application of this approach—using modulators as adjuvants in chemotherapy especially for drug-resistant tumors is still debatable due to very little success in the clinical studies that have been performed. The major reasons for the failure of this strategy could be explained in retrospect by multiple factors and variable components that are involved in the development of drug resistance in patients. It still seems that it will take some time to develop an ideal modulator that can be used to overcome drug resistance that develops as a result of cancer treatment or one that can be used in combination with other treatments because of complex substrate recognition factors and the physiological relevance of ABC drug transporters. Moreover, these transporters are only one part of a multi-component system that works to develop the drug resistance phenotype in a cancer cell. Physiologically, transporters play a vital role in protecting the cells from xenobiotics. Altering the function of any of these transporters may lead to a severe physiological imbalance resulting in high levels of toxicity. Therefore it is essential to evaluate the following factors critically to expect a successful outcome from the use of

modulators of ABC drug transporters in the clinic: (a) Toxicity is one of the significant issues reported in several clinical studies, as most of these modulators showed non-specific toxic effects which are not considered acceptable during chemotherapy and prevents their safe usage during treatment; (b) Overlapping substrate specificity and redundancy in the expression of ABC transporters for the same substrate makes it very difficult to target one modulator for a specific drug transporter. Therefore, an ideal modulator should be able to specifically recognize and inhibit the ABC drug transporter responsible for drug resistance in a specific tumor; (c) Patient selection for clinical studies is a factor which seems to have been completely ignored in previous clinical studies and may well be a major reason for the failure of those trials. Patients whose tumors express high levels of transporters will obviously receive the most benefit from modulators. Therefore, drug-resistance reversal trials should ideally be performed in individuals with tumors that initially are chemosensitive but develop drug resistance following initial therapy, which is marked by an increase in the expression of ABC drug transporters; (d) In addition, SNPs in an ABC drug transporter are also linked to sensitivity to drugs. Therefore it is imperative to determine polymorphisms in these transporters in patients before making decisions about combination chemotherapy; (e) Improved *in vivo* imaging studies with probes specific for ABC transporters and high throughput genotype analysis can provide a quantitative assessment of the functioning of the transporters and would help in identifying patients for whom modulators of ABC drug transporter may be used as adjuvants to improve the clinical outcome of chemotherapy. It would also be important to evaluate whether the use of modulators of ABC drug transporters would prevent the selection of resistant cells with characteristics of cancer stem cells with increased expression of ABC transporters such as P-gp or ABCG2; (f) It may be worthwhile to test whether short-term treatment with modulators to block P-gp or ABCG2 just prior to chemotherapy would be effective. This type of short-term treatment could minimize not only the toxic side effects associated with the use of modulators but also help to lower the required doses of chemotherapeutic drugs.

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All authors disclose that they do not have any affiliation with any organization with a financial interest, direct or indirect, in the subject matter or materials discussed in the manuscript that may affect the conduct or reporting of the work submitted under the heading.

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Genetic Polymorphisms of ATP-Binding Cassette Transporters ABCB1 and ABCC2 and their Impact on Drug Disposition

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Abstract: The ATP-binding cassette (ABC) transporter superfamily comprises membrane proteins that translocate a variety of substrates across extra- and intra-cellular membranes, and act as efflux proteins. ABC transporters are characterised by the presence of genetic polymorphisms mainly represented by single nucleotide polymorphisms (SNPs), some of which having an impact on their activity. Besides physiological substances, drugs are also substrates of some ABC transporters, mainly ABCB1, ABCC1, ABCC2, ABCC3 and ABCG2. Identifying the impact of these polymorphisms on the pharmacokinetics (PK) of these drugs may have important clinical implications, certainly for those characterised by a narrow therapeutic index and significant inter- and intra-patient PK variability. This review focuses specifically on ABCB1 and ABCC2 and critically analyses important publications dealing with the influence of *ABCB1* and/or *ABCC2* polymorphisms on drug disposition in humans. For different reasons discussed in this paper, the effect of *ABCB1* and/or *ABCC2* polymorphisms on drug concentrations in blood is not always easy to interpret and to correlate with pharmacological effects. In contrast, intracellular or target tissue drug concentrations appear more directly influenced by these polymorphisms, as illustrated with intralymphocyte concentrations for immunosuppressants and antiretrovirals or with cerebrospinal fluid (CSF) concentrations for antiepileptics and antidepressants. Further research on intracellular and/or target tissue drug concentrations are still needed to better characterise the PK-PG (pharmacogenetics) relationship involving ABC transporters.

Keywords: Pharmacogenomics, ABCB1, ABCC2, intracellular concentrations, genotype, polymorphism, *mdr1*, *mrp2*.

INTRODUCTION

The ATP-binding cassette (ABC) transporter superfamily comprises membrane proteins that translocate a variety of substrates across extra- and intra-cellular membranes, and act as efflux proteins. In humans, 48 different ABC transporters have been identified and categorised into seven distinct subfamilies on the basis of their sequence homology and domain organisation. Their physiological and pharmacological functions have been extensively reviewed [1]. As any other protein, ABC transporters are characterised by genetic polymorphisms, mainly single nucleotide polymorphisms (SNPs), consisting in a variation of a single nucleotide (substitution, deletion or insertion) within the DNA sequence, some of which having an impact on the activity of the protein. Besides physiological substances, drugs are also substrates of some ABC transporters, mainly ABCB1, ABCC1, ABCC2, ABCC3 and ABCG2. Identifying the impact of these polymorphisms on the pharmacokinetics (PK) of these drugs may have important clinical implications. Indeed, the clinical use of several drugs is complicated by a narrow therapeutic index, a significant inter- and intra-patient PK variability, and the potential for serious drug interactions. For these drugs, therapeutic drug monitoring (TDM) and dosage individualisation are therefore highly recommended to optimise efficacy and reduce toxicity. In the daily practice, the conventional TDM is most frequently based on the measurement of trough whole blood or plasma

concentrations (C₀), just before the next dose, followed by drug dosage adjustment according to the concentration measured. Several polymorphisms in genes coding for biotransformation enzymes and/or transport proteins may significantly contribute to this variability, and genotyping for some key polymorphisms may assist the clinician in the interpretation of TDM and/or to anticipate dosage adjustment [2].

This review focuses specifically on ABCB1 and ABCC2 and critically analyses important publications having investigated the impact of *ABCB1* and *ABCC2* polymorphisms on drug disposition in humans. Relevant studies were selected by searching in PubMed (up to August 2009) with the following selection criteria ((*abcb1* OR *mdr1*) AND (genotype OR polymorphism)) and ((*abcc2* OR *mrp2*) AND (genotype OR polymorphism)) for ABCB1 and ABCC2, respectively. Only papers dealing with the influence of *ABCB1* and/or *ABCC2* polymorphisms on drug PK parameters were taken into account (PK-PG analyses), excluding those investigating only the association between genetic polymorphisms and clinical endpoints such as drug efficacy and/or drug toxicity. In addition, given the wide organ distribution of both ABC transporters, it must be already kept in mind that a given SNP in *ABCB1* or *ABCC2* is not expected to have an effect on a single physiological process but, on the contrary, would be more susceptible to influence a number of processes such as drug absorption (in gut), elimination (in liver and kidney) and distribution (in blood-brain, blood-heart-barriers, etc.), each process potentially influencing drug distribution in an independent manner (Fig. 1). Therefore, the resulting effect on blood PK parameters may be difficult to predict due to the complexity of those various biological barriers.

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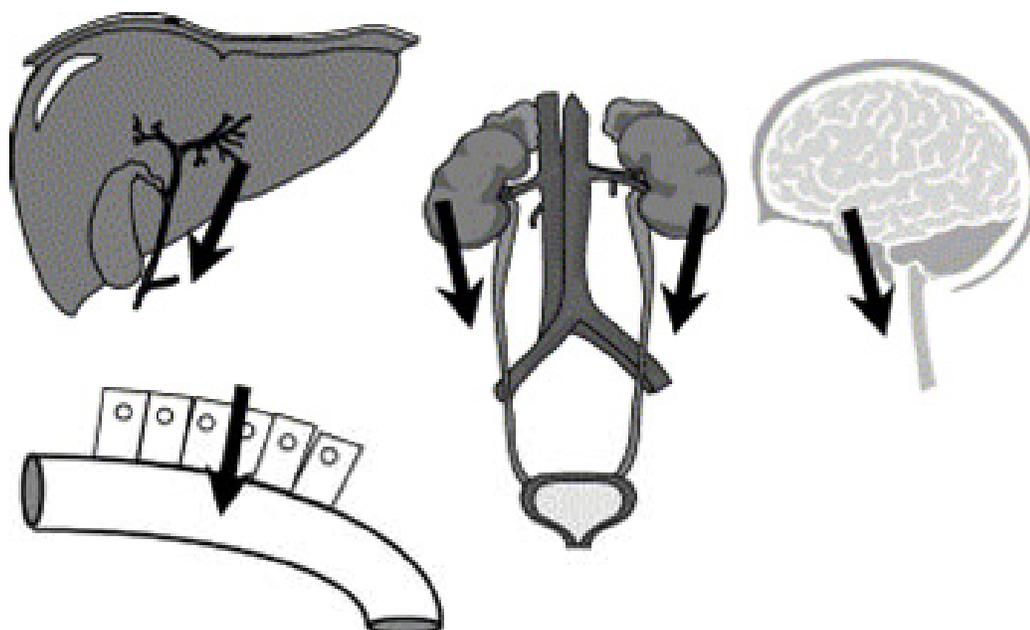


Fig. (1). Expression and function of ABCB1 in selected tissues. The arrows indicate the direction of ABCB1-mediated transport, which leads to reduced intracellular concentrations of ABCB1 substrates (with permission from Fromm [153]).

ABCB1

The ABCB1 transporter, also known as P-glycoprotein (P-gp), was first described in tumour cells that were becoming resistant to various anticancer drugs as a result of its over-expression. For this reason, ABCB1 was first named *multidrug resistance protein 1* (MDR1) before the proposal of an official nomenclature for the ABC transporters by the Human Genome Organization (HUGO) in October 1999 (<http://www.genenames.org/genefamily/abc.html>). Several SNPs have been reported for the *ABCB1* (*MDR1*) gene, some of which affecting P-gp expression and/or function (see ABCB1 on <http://www.pharmgkb.org/search/>) (Table 1). Three among those SNPs, namely 1236C>T (rs1128503), 2677G>T/A (rs2032582) and 3435C>T (rs1045642), located in exons 12, 21 and 26, respectively, are in strong linkage disequilibrium and have been studied extensively. Interestingly, these SNPs are very frequent in most ethnic groups [3, 4] and only the 2677G>T/A polymorphism results in an amino acid substitution (Ala893Ser/Thr), whereas 1236C>T and 3435C>T are synonymous SNPs. The SNP in exon 26 (i.e. 3435C>T), resulting in a silent mutation, has probably

been the most investigated so far. The initial study reporting an effect of this polymorphism was already published 10 years ago [5]. In this study, homozygous carriers of the *ABCB1* 3435T allele had on average more than two-fold lower intestinal P-gp expression levels, measured by western blot analysis, compared to homozygous carriers of the 3435C allele. In accordance with such an effect at the protein level, the 3435C>T polymorphism has been associated with reduced mRNA expression and stability *ex vivo* [6] but this finding could not be confirmed *in vitro* [7]. More recently, this polymorphism has been associated with changes in substrate specificity [7]. In this latter publication, the authors suggested that the presence of the rare codon, marked by the synonymous polymorphism, could affect the timing of cotranslational folding and the insertion of P-gp into the membrane, thereby altering the structure of substrate and inhibitor interaction sites. Altogether, based on existing data, the *in vivo* impact of the 3435C>T polymorphism could therefore involve effects, at both transcriptional and translational levels, affecting not only P-gp expression but also substrate specificity, rendering the effect of this SNP on drug disposition difficult to predict. Therefore, it is also

Table 1. Important Polymorphisms (SNPs) in *ABCB1* Gene Selected in PharmGKB Database (<http://www.pharmgkb.org/search/>)

Position	Nucleotide Change	Effect ^a	NCBI dbSNP	Allelic Frequency ^b
Exon 2	61A>G	Asn21Asp	rs9282564	Ca: 0.08 As: 0.05 Af: 0.03
Exon 11	1199G>A	Ser400Asn	rs2229109	Ca: 0.03 As: 0.00 Af: 0.01
Exon 12	1236C>T	synonymous	rs1128503	Ca: 0.46 As: 0.67 Af: 0.21
Exon 21	2677G>T 2677G>A	Ala893Ser Ala893Thr	rs2032582	Ca: 0.38 As: 0.45 Af: 0.10 Ca: 0.02 As: 0.20 Af: 0.01
Exon 26	3435C>T	synonymous	rs1045642	Ca: 0.56 As: 0.45 Af: 0.20

NCBI: National Center for Biotechnology Information (<http://www.ncbi.nlm.nih.gov/projects/SNP/>)

^anumber represents amino-acid codon

^bbased on information found in <http://www.pharmgkb.org/search/>

Ca: Caucasian, As: Asian, Af: African

Table 2. Impact of *ABCB1* Polymorphisms on PK Parameters of Selected Drugs

Drug	Polymorphism Investigated (exon)	PK Parameter	Effect	Refs.
Probe drug Digoxin	3435	Cmax (1)	higher Cmax in 3435TT	[5]
	3435	AUC	n.s. (meta analysis*)	[11]
	3435	Cmax	higher Cmax in 3435TT (meta analysis*)	[11]
	2677, 3435	AUC, Cmax (1)	higher AUC and Cmax in 3435TT	[16]
	2677, 3435	Vd (1)	lower Vd in 3435TT	[17]
	1236, 2677, 3435	C0 (2)	higher C0 in 3435TT and in T-T-T homozygous	[18]
	2677, 3435	C0 (2)	n.s.	[19]
Immunosuppressant Cyclosporin	3435	AUC0-4	n.s. (meta analysis*)	[20]
	3435	AUC0-12	higher AUC0-12 in 3435T carriers (meta analysis*)	[20]
	3435	Cmax	n.s. (meta analysis*)	[20]
	3435	C0	higher C0 in 3435T carriers (meta analysis*)	[20]
	61, 1199, 1236, 2677, 3435	PBMC (2)	lower PBMC concentration in 1199A carriers higher PBMC concentration in 3435T carriers	[31]
Tacrolimus	1236, 2677, 3435	C0, d.req. (2)	n.s.	[28]
	1236, 2677, 3435	C0, d.req. (2)	n.s.	[29]
	2677, 3435	C0, d.req. (2)	n.s.	[35]
	3435	C0 (2)	n.s.	[36]
	1236, 2677, 3435	C0, d.req. (1)	n.s.	[37]
	1236, 2677, 3435	AUC, Cmax (1)	n.s.	[38]
	1236, 2677, 3435	AUC (2)	n.s.	[39]
	3435	C0 (2)	n.s.	[40]
	1236, 2677, 3435	AUC (2)	n.s.	[41]
	2677, 3435	d.req. (2)	n.s.	[42]
	2677, 3435	C0, d.req. (2)	n.s.	[43]
	2677, 3435	C0 (2)	n.s.	[44]
	2677, 3435	C0 (2)	higher C0 in 3435T carriers	[45]
	2677, 3435	C0, d.req. (2)	higher C0 in 3435T carriers	[46]
	3435	C0 (2)	higher C0 in 3435T carriers	[47]
	3435	C0 (2)	higher C0 in 3435T carriers	[48]
	1236, 2677, 3435	C0, d.req. (2)	lower C0 and higher dose req. in 2677GG	[49]
	2677, 3435	AUC (2)	higher AUC in 3435T carriers (only trend)	[50]
	3435	C0, d.req. (2)	lower dose req. in 3435TT	[51]
	3435	C0 (2)	lower C0 in 3435CC	[53]
1236, 2677, 3435	AUC, C0 (1)	n.s.**	[2]	
3435	C0, d.req. (2)	n.s.**	[54]	
1236, 2677, 3435	C0, d.req. (2)	n.s.**	[55]	
1236, 2677, 3435	C0 (2)	lower C0 in C-G-C homozygous**	[56]	
1199, 1236, 2677, 3435	hepatic conc. (2)	higher hepatic concentration in 1199A or 3435T carriers	[58]	
Sirolimus	1236, 2677, 3435	C0 (2)	n.s.	[59]
	1236, 2677, 3435	C0, d.req. (2)	n.s.	[60]
	2677, 3435	AUC, CL (2)	n.s.	[61]
	3435	C0 (2)	n.s.	[62]
Anti-cancer Docetaxel	3435	AUC, CL (2)	n.s.	[63]
	3435	AUC, CL (2)	n.s.	[64]
	1236, 2677, 3435	CL (2)	lower CL in 1236TT	[65]
Paclitaxel	3435	AUC (2)	higher AUC in 3435T carriers for main metabolite	[66]
	3435	CL (2)	n.s.	[67]
	2677, 3435	CL (2)	n.s.	[68]
Vincristine	2677, 3435	AUC, CL (2)	n.s.	[69]
Irinotecan	1236, 2677, 3435	CL (2)	lower CL in T-T-T haplotype	[70]
	1233, 2677, 3435	AUC, CL (2)	higher AUC in C-G-C haplotype for metabolite SN-38	[71]

(Table 2) Contd.....

Drug	Polymorphism Investigated (exon)	PK parameter	Effect	Refs.
Imatinib	3435	CL (2)	n.s.	[72]
	1236, 2677, 3435	CL (2)	lower reduction in CL between day 1 and SS in T-T-T homozygous	[73]
	1236, 2677, 3435	C ₀ (2)	higher C ₀ in 1236TT	[74]
Doxorubicin	1236, 2677, 3435	AUC, CL (2)	lower AUC and higher CL in C-G-C homozygous	[75]
Anti-infective Lopinavir	3435	C ₀ (2)	n.s.	[76]
	2677, 3435	C ₀ (2)	n.s.	[77]
	1236, 2677, 3435	C ₀ (2)	n.s.	[78]
Saquinavir	2677, 3435	AUC, CL C _{max} (1)	n.s.	[79]
	2677, 3435	AUC, CL, C _{max} (1)	n.s.	[80]
	1236, 2677, 3435	AUC, CL (1)	n.s.	[81]
Indinavir	2677, 3435	C ₀ (2)	n.s.	[82]
	3435	k _a (2)	lower k _a in 3435CC vs. 3435CT	[83]
	3435	V _d , CL (2)	higher V _d and CL in 3435CC	[84]
Atazanavir	3435	C ₀ (2)	higher C ₀ in 3435CC	[85]
	3435	C ₀ (2)	higher C ₀ in 3435CC	[86]
	2677, 3435	C ₀ (2)	n.s.	[77]
Nelfinavir	3435	C ₀ (2)	n.s.	[87]
	3435	C ₀ (2)	n.s. (higher C ₀ in 3435CT only)	[88]
	2677, 3435	PBMC (2)	higher PBMC concentration in 3435TT	[89]
Nevirapine	3435	C ₀ (2)	n.s.	[90]
	3435	C ₀ (2)	n.s.	[91]
Efavirenz	3435	C ₀ (2)	n.s.	[76]
Valacyclovir	1236, 2677, 3435	AUC (1)	n.s.	[93]
Posaconazole	3435	AUC (2)	n.s.	[94]
Voriconazole	2677, 3435	CL (1)	n.s.	[95]
Dicloxacillin	3435	AUC, C _{max} (1)	n.s.	[96]
Cloxacillin	1236, 2677, 3435	AUC, C _{max} (1)	lower AUC and C _{max} in C-G-C homozygous	[97]
CNS Phenytoin	3435	C ₀ (1)	higher C ₀ in 3435TT	[98]
	3435	C ₀ (2)	higher C ₀ in 3435TT	[99]
Carbamazepine	1236, 2677, 3435	C ₀ (2)	n.s.	[100]
Phenobarbital	2677, 3435	C ₀ , CSF (2)	higher CSF concentration and CSF/C ₀ ratio in 3435TT	[101]
Risperidone	2677, 3435	C ₀ (2)	n.s.	[102]
	2677, 3435	C ₀ (2)	n.s.	[103]
	1236, 2677, 3435	C ₀ (2)	lower C ₀ in T-T-T haplotype for active metabolite	[104]
Quetiapine	2677, 3435	transplacental transfer (<i>ex vivo</i>)	higher transplacental transfer in 3435TT	[105]
Clozapine	3435	C ₀ (2)	higher C ₀ in 3435TT	[106]
Fluvoxamine	3435	C ₀ (2)	higher C ₀ in 3435TT	[107]
Paroxetine	61, 2677, 3435	C ₀ (2)	n.s.	[108]
Citalopram	2677, 3435	C ₀ , CSF (2)	lower C ₀ and CSF concentration in 2677TT	[109]
Loperamide	3435	AUC, C _{max} (1)	n.s.	[111]
	2677, 3435	AUC (1)	higher C ₀ in 3435TT (trend)	[112]
Methadone	3435	C ₀ (2)	lower C ₀ in 3435TT	[113]
	1236, 2677, 3435	d.req. (2)	higher maintenance dose in T-T-T homozygous	[114]
	61, 1199, 1236, 2677, 3435	d.req. (2)	higher maintenance dose in C-G-C homozygous	[115]

(Table 2) Contd.....

Drug	Polymorphism Investigated (exon)	PK parameter	Effect	Refs.
Cardiovascular Talinolol	2677, 3435	AUC (1)	n.s.	[116]
	1236, 2677, 3435	AUC, Cmax (1)	n.s.	[117]
Losartan	3435	AUC (1)	n.s.	[118]
Telmisartan	3435	AUC, Cmax, CL (1)	n.s.	[119]
Verapamil	1236, 2677, 3435	C0 (1)	n.s.	[120]
Amlodipine	2677, 3435	AUC, CL (1)	lower AUC and higher CL in T-T homozygous	[121]
Pravastatin	1236, 2677, 3435	AUC, CL (1)	n.s.	[122]
	1236, 2677, 3435	AUC (1)	n.s.	[123]
	2677, 3435	AUC (2)	n.s.	[124]
Fluvastatin	1236, 2677, 3435	AUC, CL (1)	n.s.	[122]
Lovastatin	1236, 2677, 3435	AUC, CL (1)	n.s.	[122]
Rosuvastatin	1236, 2677, 3435	AUC, CL (1)	n.s.	[122]
Simvastatin	1236, 2677, 3435	AUC, CL (1)	higher AUC in T-T-T homozygous	[125]
Atorvastatin	1236, 2677, 3435	AUC, CL (1)	higher AUC in T-T-T homozygous	[125]
Clopidigrel	3435	AUC, Cmax (2)	lower AUC and Cmax in 3435TT	[127]
Miscellaneous Fexofenadine	3435	AUC (1)	higher AUC in 3435TT (trend)	[128]
	1236, 2677, 3435	AUC (1)	higher AUC in 3435TT	[129]
Lansoprazole	3435	AUC, Cmax (2)	n.s.	[130]
Budesonide	3435	AUC, CL (1)	n.s.	[131]
Prednisolone	1236, 2677, 3435	AUC, Cmax (2)	n.s.	[132]

PK parameter: (1) single dose, (2) steady-state, d.req.: dose requirement

*When a meta analysis is available, only studies published after the meta analysis are included in this table.

** CYP3A5 expressor status taken into consideration.

important to note that the effect of such a SNP on drug disposition will be drug specific and will have to be analysed carefully for each drug of interest separately (Table 2). The amino acid change (Ala893Ser/Thr) associated with the 2677G>T/A polymorphism seems to have, on its side, a negligible impact on P-gp function [3]. However, as the three above mentioned SNPs (1236C>T, 2677G>T/A and 3435C>T) are in high linkage disequilibrium, several authors have suggested that the use of haplotype analyses would be of higher relevance for association studies compared to analyses including those SNPs separately, more probably because each SNP has at least an additive effect on protein function/expression [8]. For information, the most frequent *ABCB1* haplotypes are presented in Table 3, illustrating how the above mentioned 3435T allele, for instance, may belong to different *ABCB1* haplotypes (*2, *13 and *14). Another SNP located in exon 11 (1199G>A, rs2229109) and resulting in an amino acid substitution (Ser400Asn) (Table 1 and 3) has been shown to alter efflux transport activity of P-gp, probably by modifying substrate specificity [9, 10]. Although less frequent than previously mentioned SNPs (1236C>T, 2677G>T/A and 3435C>T), the 1199G>A polymorphism remains also important to take into account in PK-PG analyses.

In the first study reporting the effect of *ABCB1* 3435C>T polymorphism on intestinal P-gp expression, the authors also

assessed the impact of this SNP on the uptake of orally administered P-gp substrates using **digoxin** (DIGO) as a probe drug [5]. As DIGO is mostly excreted as such by the kidney, DIGO PK analysis should mainly reflect its absorption and therefore P-gp activity. In a group of 14 healthy volunteers, Hoffmeyer *et al.* showed that individuals homozygous for this polymorphism (3435TT, n=7) had significantly higher DIGO plasma levels (Cmax) as compared to 3435CC individuals (n=7). The effect on DIGO disposition was in accordance with their initial observation of reduced intestinal P-gp expression at the protein level in 3435TT individuals. Since that time, several studies have investigated the impact of *ABCB1* polymorphisms on DIGO PK parameters in different ethnic groups. In 2005, a first meta-analysis was published on that topic and the overall result, in Caucasian and Japanese subjects taken altogether, suggested that the 3435C>T polymorphism did not seem to have any major influence on exposure levels of DIGO as determined by measurements of area under the plasma concentration/time curve (AUC) between 0 and 4 hours, AUC0-4h or AUC0-24h in Caucasian and Oriental subjects [11]. However, the authors of this meta-analysis mentioned that subjects with 3435CC genotypes were found to have lower DIGO Cmax values compared with subjects bearing 3435TT genotypes, thereby suggesting that the oral availability of DIGO may be lower in subjects with 3435CC geno-

Table 3. Main *ABCB1* Haplotypes (according ref. [3])

Haplotype	61 A>G	IVS4 G>T	IVS9 A>G	1199 G>A	1236 C>T	IVS12 C>T	IVS13 C>T	IVS14 A>G	IVS20 G>A	2677 G>T/A	3435 C>T	CA	AA	AS	ME	PA
*1	A	G	A	G	C	C	C	A	G	G	C	0.150	0.151	0.150	0.200	0.000
*2	A	G	A	G	C	C	C	A	G	G	▽	0.120	0.010	0.016	0.100	0.083
*11	A	G	⊙	G	▽	C	⊙	⊙	G	G	C	0.010	0.020	0.200	0.050	0.166
*13	A	G	⊙	G	▽	C	⊙	⊙	G	⊠	▽	0.320	0.050	0.266	0.350	0.333
*14	⊠	G	⊙	G	▽	C	⊙	⊙	G	⊠	▽	0.075	0.020	0.016	0.000	0.000
*21	A	⊙	A	G	C	⊙	⊙	⊙	G	G	C	0.035	0.080	0.000	0.000	0.000
*24	A	G	A	G	C	C	C	A	G	⊠	C	0.020	0.005	0.066	0.000	0.000
*24A	A	⊙	A	G	C	C	C	A	G	⊠	C	0.000	0.000	0.000	0.000	0.333
*26	A	⊙	A	G	C	C	C	A	⊙	G	C	0.090	0.080	0.050	0.150	0.000
*30	A	G	A	⊠	C	C	C	A	G	G	C	0.010	0.005	0.000	0.000	0.000

Haplotype names were assigned based on evolutionary considerations and assignment of the reference sequence as *ABCB1**1.

Plain boxes denote the reference sequence; the encircled letters represent, intronic (surrounded by circle), synonymous (surrounded by triangle), and non-synonymous (surrounded by square) segregating sites.

cDNA positions: IVS4 (-25, exon5); IVS9 (-44, exon10); IVS12 (+44, exon12); IVS13 (+24, exon13); IVS14 (+38, exon14); IVS20 (+24, exon20).

Population frequencies are noted. CA: Caucasians; AA: African-Americans; AS: Asian-Americans; ME: Mexican-Americans; PA: Pacific Islanders.

type [11]. It is very interesting to note that the effect of this 3435C>T polymorphism was actually different according to the ethnic group investigated. Indeed, Caucasians volunteers bearing the 3435TT genotype had on average higher Cmax or AUC0-4h or AUC0-24h [12, 13] whereas Japanese volunteers showed the opposite trend [14, 15]. More recent studies, published after that meta-analysis, have confirmed higher DIGO levels (Cmax, AUC0-24h) in Caucasians volunteers bearing the 3435TT genotype [16, 17]. Accordingly, a recent study on 195 Caucasians patients receiving DIGO as part of their chronic therapy for congestive heart failure has shown that DIGO serum levels (trough level C0 measured for therapeutic drug monitoring purposes) were significantly higher in the group of patients bearing the 3435TT genotype (0.18-0.21 µg/L per additional T allele) and more particularly the 1236T-2677T-3435T haplotype [18]. However, another study also performed in Caucasians patients treated with DIGO (n=77) did not show a similar trend probably, according to the authors themselves, because of the influence of P-gp inhibitor co-administration frequently encountered in daily clinical practice [19]. Altogether, existing literature data indicate that DIGO PK parameters are clearly influenced by *ABCB1* polymorphisms in a different way according to the ethnicity and that haplotype analysis would be useful to better understand such discrepancies. However, although statistically confirmed, the clinical relevance of these polymorphisms on DIGO PK still remains to be determined mainly in view of the co-administration of P-gp inhibitors in routine clinical practice.

Several immunosuppressive drugs, largely used to prevent graft rejection after solid organ transplantation, are well known substrates of *ABCB1*. Among them, calcineurin inhibitors (CNIs), mainly represented by cyclosporine (CsA) and tacrolimus (Tac), prevent cellular rejection through the selective inhibition of interleukin-2 production by T-cells.

The effect of the 3435C>T polymorphism on CsA PK parameters has been recently reviewed in a meta-analysis mainly based on results obtained from patients treated with

CsA [20]. The main CsA PK parameters taken into account in this meta-analysis were CsA AUC0-4, AUC0-12 (twice a day administration drug), CL/F, Cmax and C0 (trough level). While the authors found no significant effect of the 3435C>T polymorphism on CsA AUC0-4 [8, 21-23], a significant influence of this polymorphism was observed on CsA AUC0-12, with higher values for patients bearing at least one 3435T allele [8, 21, 24]. A possible explanation for the discrepancy observed between AUC0-4 and AUC0-12 is the fact that P-gp is expected to influence the PK of its substrates, such as CsA, by limiting their absorption but also by promoting their excretion (P-gp is expressed at the biliary and renal tubular level) and that the information obtained from CsA AUC0-4 would be more influenced by the absorption phase than the potentially affected elimination phase. Contrary to DIGO, this meta-analysis did not find any evidence to support the influence of 3435C>T polymorphism on CsA Cmax [8, 21, 25, 26]. This may suggest that the effect of 3435C>T polymorphism on the oral availability of CsA is limited. This observation is in accordance with the findings mentioned above that CsA AUC0-4 seems not to be influenced by different C3435T genotypes, thereby suggesting that the 3435C>T polymorphism may have a greater influence on excretion (and possibly distribution) than absorption of CsA [20]. Finally, the meta-analysis showed a significant impact of the 3435C>T polymorphism on trough CsA concentrations (C0), but only after exclusion of two studies including Asian patients [21, 22, 24, 27-30]. As with DIGO, these findings suggest ethnic differences in genotype-phenotype relationships involving *ABCB1*. Interestingly, it was recently confirmed that *ABCB1* polymorphism was associated with differences in CsA PK but only during the first week post-transplant [23]. Such a finding could be partly explained by the inhibition of P-gp by CsA itself. Taken together, all results indicate that the influence of the 3435C>T polymorphism on whole blood CsA PK parameters, although significant, at least for some of them (AUC0-12, C0), is probably of a magnitude unlikely to be relevant for clinical practice. It should be noted, however,

that whole blood CsA concentrations, depending on individual pharmacokinetics and pharmacogenetics (PG), do not necessarily reflect intracellular concentration and hence the ability to interact with the ultimate target, calcineurin. Since T-cells are the pharmacodynamic target of CNIs, genetic studies investigating CNIs concentrations within this cell population would be more relevant from a clinical point of view. In the case of CsA, Crettol *et al.* recently showed that whole blood concentrations only partially reflected the highly variable intracellular (or intralymphocyte) concentrations, and that for a given CsA blood concentration, intracellular concentration varied over a 10-fold factor among patients [31]. More interestingly, they showed that CsA intracellular concentrations, and to a lesser extent intracellular *versus* blood concentration ratios (an accumulation index), were determined by genetic polymorphisms in *ABCB1*, also expressed at the cellular membrane of T-cells. The *ABCB1 1199A* allele (1199G>A polymorphism) was associated with lower intracellular concentrations, probably caused by a higher P-gp activity towards CsA, whereas the *3435T* allele (3435C>T polymorphism) led to higher intracellular concentrations, most probably due to a lower P-gp activity towards the drug, as observed previously for CsA whole blood PK [31]. Importantly, the authors also showed that *ABCB1* polymorphisms influenced CsA intracellular concentrations to a significantly higher extent than CsA blood concentrations, with therefore a greater potential in term of clinical impact. Such studies are important in that they provide physicians with genetic determinants of drug concentration at the pharmacodynamic target, opening new therapeutic perspectives. Indeed, integrating such data (with others still to be elucidated) in a physiologically-based pharmacokinetic (PBPK) model might, for instance, facilitate simulation of intracellular versus blood concentration relationships and contribute to extrapolate intracellular concentrations from whole blood measurements, performed routinely in all laboratories involved in TDM activities. Such an approach could therefore offer a useful alternative to the laborious methods to measure intracellular concentrations (not performed routinely) in that individualised whole blood or plasma target concentrations could be proposed, according to the genotype each patient, to ultimately reach a similar effective intracellular concentration. Although out of the scope of this review, and considering that *ABCB1* is also expressed by kidney tubular cells, differences in *ABCB1* genotype (3435C>T polymorphism) have been demonstrated to significantly impact nephrotoxicity, a major CsA side effect, most probably by influencing CsA intrarenal concentrations [32]. The influence of *ABCB1* polymorphisms on CsA intracellular concentrations, contrary to whole blood concentrations, remains therefore a topic with a great potential clinical interest and further studies should assess its real PK and pharmacodynamic (PD) impact.

Tacrolimus (Tac) is another CNI largely used in solid organ transplantation. It is an *ABCB1* substrate and polymorphisms in the gene coding for this ABC transporter could also theoretically influence Tac PK parameters. However, it should be kept in mind that Tac whole blood PK parameters are largely influenced by genetic polymorphisms in CYP3A5, the main CYP isoform involved in Tac biotransformation [29] and that this genetic association has now to be considered as a important confounding factor when asses-

sing any possible association between *ABCB1* polymorphisms and Tac whole blood PK parameters. Indeed, it is now well established that whole blood Tac concentrations (and dose requirements) are strongly associated with the CYP3A5 expressor status, which is itself genetically determined [33]. Numerous reports have confirmed that patients expressing CYP3A5 (around 20% of the Caucasian population) have a lower Tac concentration to dose ratio when compared with nonexpressors in kidney but also in liver, lung and heart transplant recipients [34]. In a cohort of kidney transplant recipients, Tac dose-adjusted trough concentrations were 5.8-fold lower in CYP3A5 expressors than in CYP3A5 nonexpressors and there was a 2.3-fold difference to maintain target blood concentrations between both groups [29]. With this in mind and taking into consideration the confounding CYP3A5 expressor status, the association between Tac PK parameters and *ABCB1* polymorphisms is still a matter of debate [34]. Indeed, most of the studies failed to demonstrate any association between *ABCB1* polymorphisms and Tac dose requirements or dose-adjusted trough blood concentrations [28, 29, 35-44] whereas only a few reports suggested a significant, but often weak, relationship between *ABCB1* polymorphisms and both Tac PK parameters in heart [45], lung [46], liver [47] or kidney [48-53] transplant recipients with *3435T* carriers always requiring lower doses to reach the target blood concentrations of Tac. Confounding factors, including the CYP3A5 expressor status, may, of course, play a major role in these conflicting results. In this respect, an independent effect of *ABCB1* polymorphisms should be better assessed separately in CYP3A5 nonexpressors and CYP3A5 expressors. Only a few studies have been conducted with such a design and they mainly showed that the association between *ABCB1* polymorphisms and Tac PK parameters was either not significant [2, 54] or, even more interestingly, that it disappeared after sub-classification according to CYP3A5 expressor status in a large cohort of stable renal transplant patients (n=206) with high statistical power [55]. Only one study performed on 91 lung transplant recipients suggested that *ABCB1* haplotypes derived from the three common polymorphisms (exons 12, 21 and 26) was associated with Tac dose requirement after eliminating the confounding CYP3A5 genotype [56]. Clearly, all these studies indicate that Tac whole blood PK parameters are mainly influenced by the CYP3A5 expressor status and that *ABCB1* polymorphisms only play a minor role, if any. Furthermore, as for CsA, whole blood Tac concentrations do not necessarily reflect their intracellular concentration and hence their efficacy or toxicity. In a pilot study, Tac concentrations in hepatic tissue have been shown to better correlate with acute rejection score than whole blood concentrations in adult liver-transplanted recipients from whom protocol biopsies were obtained [57]. Interestingly, in the same study population, a significant relationship was observed between intrahepatic Tac concentrations and *ABCB1* polymorphisms, whereas no correlation was seen when Tac whole blood concentrations were considered independently [58]. As for CsA, this latter report suggests that *ABCB1* genotypes may influence Tac biliary excretion and/or tissue distribution, but further studies are still needed to confirm these findings. Preliminary, yet unpublished, data from our group seeking to determine Tac concentrations within lymphocytes suggest similar conclusions. The *1199A*

(1199G>A polymorphism) and 3435T (3435C>T polymorphism) alleles were significantly associated with increased intracellular concentrations probably because of a lower P-gp activity towards Tac and therefore with a potentially higher immunosuppressive activity. As for CsA, the latter studies suggest that the influence of *ABCB1* polymorphisms on Tac intracellular concentrations is probably of higher clinical relevance compared to their influence on whole blood concentrations.

Sirolimus (SRL) is another immunosuppressive drug largely used in solid organ transplantation and belonging to the *mammalian target of rapamycin* (mTOR) inhibitor group, a distinct pharmacological class compared to CNIs, that inhibits the response to interleukin-2 and thereby blocks the activation of T- and B-cells. SRL is also a substrate of the *ABCB1* transporter but *ABCB1* polymorphisms seem to have no impact on SRL whole blood PK parameters [59-62]. To the best of my knowledge, data on the influence of *ABCB1* polymorphisms on SRL intracellular concentrations are not available.

Several anti-cancer drugs are also well known substrates of *ABCB1* and the impact of *ABCB1* polymorphisms, mainly 3435C>T polymorphism, on their PK parameters has been investigated for some of them.

In the group of microtubule inhibitors, **docetaxel** (DOC) and **paclitaxel** (PAC) PK parameters have been largely investigated in relation to *ABCB1* genetic status. The DOC AUC and CL have been reported, in most cases, to be unaffected by *ABCB1* SNPs [63, 64] but one study in 92 Caucasians patients reported a significantly decreased DOC CL only in *ABCB1* 1236TT homozygous (-25%) and no influence of 2677G>T/A and 3435C>T polymorphisms [65]. A weak association between PK parameters and *ABCB1* genetic status has been also described for PAC [66-68]. For example, in the study of Nakajima *et al.*, patients possessing the 3435T allele had a significantly higher AUC of 3'-p-hydroxyPAC (the main PAC metabolite) compared to those possessing the 3435C allele. However, adverse effects of PAC (mainly leucopenia) were not related to 3'-p-hydroxyPAC AUC [66]. Therefore, even if *ABCB1* genetic status has the potential to alter the PK of the main PAC metabolite, the influence of *ABCB1* genotype on intracellular PAC and 3'-p-hydroxyPAC concentrations still remains to be determined. For **vincristine** (VIN), another anti-cancer drug belonging to the group of microtubule inhibitors, neither *ABCB1* genotype nor *ABCB1* haplotype influenced PK parameters such as AUC and CL [69].

In the group of topoisomerase 1 inhibitors, **irinotecan** (CPT-11) and its active metabolite (SN-38) PK parameters have been shown to be influenced by *ABCB1* haplotype [70]. In this latter study, the authors showed that the renal CL of both CPT-11 and SN-38 was reduced in patients belonging to the *ABCB1* haplotype 1236T-2677T-3435T, suggesting that P-gp expressed in the proximal tubules may play a substantial role in the renal excretion of CPT-11 and SN-38 [70]. Unexpectedly, another study, also performed in patients from Asian origin, has shown that the *ABCB1* 2677G-3435C haplotype was associated with a higher SN-38 AUC without any influence on CPT-11 AUC [71]. The reasons for these discrepancies are not yet clear but it could be concluded that the impact of *ABCB1* genotype on CPT-11 PK parameters, if

present, seems very limited and only present after haplotype analysis.

In the group of tyrosine kinase inhibitors, **imatinib** (IMA) has been investigated as a P-gp substrate. Although a preliminary study did not show an influence of *ABCB1* genotype on IMA PK parameters [72], two more recent studies found an association [73, 74]. In the first study, Gurney *et al.* showed that the reduction in IMA CL between day 1 and steady-state was associated with *ABCB1* genotype, being less apparent in homozygous 1236TT-2677TT-3435TT, probably due to a genotype-specific influence of IMA on elimination [73]. In the second study, Dulucq *et al.* showed that patients with 1236TT genotype had higher IMA concentrations and, accordingly, that the 1236C-2677G-3435C haplotype was statistically associated to less frequent major molecular response demonstrating a potential usefulness of these SNPs in the identification of who may or may not respond optimally to IMA [74].

Studies have been also conducted with **doxorubicin** (DOX). In a recent paper on Asian breast cancer patients, the authors showed that patients homozygous for the 1236C-2677G-3435C haplotype had significantly lower DOX exposure levels compared to the patients carrying the CT-GT-CT ($p=0.02$) or TT-TT-TT ($p=0.03$) genotypes. Accordingly, significantly increased DOX CL was also observed in patients harbouring CC-GG-CC genotype when compared to patients harbouring the CT-GT-CT genotype [75].

In summary for anti-cancer drugs, *ABCB1* genotype may alter IMA and DOX PK parameters but studies exploring the impact of *ABCB1* SNPs on intracellular drug concentrations (and adverse effects) are still needed.

Among anti-infective drugs, the human immunodeficiency virus (HIV) protease inhibitors (PIs) are well known substrates of P-gp and several studies investigating the impact of *ABCB1* genotype and/or haplotype on their PK parameters have been published since early 2000. **Lopinavir** (LPV) is the most widely used PI (in association with low doses of ritonavir, RTV, used as a booster). To the best of my knowledge, all studies that have investigated the impact of *ABCB1* genotype on LPV trough concentrations (C_0) in HIV treated patients have led to negative results [76-78]. Similar negative results have been obtained for **saquinavir** (SQV), another PI, based on studies performed in volunteers and analysing PK parameters such as SQV AUC and CL [79-81]. In the case of **indinavir** (IDV), although negative results have been obtained in large cohort of patients when considering IDV C_0 [82], 3435C>T polymorphism has been associated with absorption constant rate, V_d and CL [83, 84], suggesting that patients with 3435CC genotype would have reduced IDV absorption after oral intake. The situation seems somewhat different for **atazanavir** (ATZ), a more recent PI for which a significant association has been observed between trough concentrations (C_0) and *ABCB1* genotype. Indeed, it has been reported that plasma trough concentrations of ATZ were significantly higher (about 2.5-fold) in HIV treated patients with 3435CC genotype compared to those with CT or TT genotype [85, 86]. It is interesting to note that this effect of 3435C>T polymorphism on ATZ C_0 is at the opposite of the one observed with DIGO. This observation could be explained either by the fact that 3435C>T SNP alters substrate specificity as suggested by

Kimchi-Sarfaty *et al.* [7] or by a different ATZ redistribution in peripheral blood mononuclear cells and in particular in T lymphocytes, the target of antiretroviral therapy. Unfortunately, no data are available in relation to ATZ intracellular concentrations and *ABCB1* genotype. This association between *ABCB1* genotype and ATZ C0 was not confirmed in the study of Ma *et al.* [77]. The case of **nelfinavir** (NFV) is interesting in the way that intracellular data are available. When considering only NFV plasma concentrations (C0), the effect of *ABCB1* genotype is not clear. While one study found no association between NFV C0 and *ABCB1* genotype [87], another study in children found that NFV C0 was higher in 3435CT heterozygous compared to 3435CC homozygous but values observed for 3435TT were not different from those observed for 3435CC patients [88]. As for immunosuppressive drugs (e.g. CsA, Tac), the *ABCB1* efflux pump activity may decrease intracellular drug concentration, thus reducing the amount of drug at the site of action (in this case, T lymphocytes). Based on the measurement of NFV in PBMCs of HIV infected patients, Colombo *et al.* were the first to demonstrate the existence of a nice relationship between NFV intracellular PK and 3435C>T polymorphism with intracellular AUC ratios of 2.1, 1.4 and 1 for *ABCB1* 3435TT, CT and CC patients, respectively, without improvement after haplotype analysis [89]. Based on these results, the presence of the 3435T allele should be associated with a reduced *ABCB1* efflux activity towards NFV as observed initially with DIGO [5].

The non-nucleoside reverse transcriptase inhibitors (NNRTIs) are another category of antiretrovirals used in combination with PIs to treat HIV infection in humans. **Nevirapine** (NVP) and **efavirenz** (EFV) are the main substances belonging to this pharmacological class. The studies assessing the association between *ABCB1* genotype and either NVP C0 [90, 91] or EFV C0 [76] have led to negative results. These results are not surprising because NNRTIs, contrary to PIs, are poor P-gp substrates [92].

The PK parameters of the antiviral **valacyclovir** (VLC) do not seem associated with *ABCB1* genotype [93].

Antifungals belonging to the triazole class are also P-gp substrates but neither **posaconazole** AUC [94] nor **voriconazole** CL [95] seem to be associated with *ABCB1* genotype.

Among antibiotics, the *ABCB1* genotype did not influence **dicloxacillin** PK parameters (AUC, Cmax) [96] whereas **cloxacillin** PK parameters were only influenced by *ABCB1* haplotype (and not genotype) with patients homozygous for the 1236C-2677G-3435C haplotype having a significantly lower Cmax (2.3-fold), AUC (1.6-fold) and urinary excretion (2.2-fold) of cloxacillin compared with those carrying the homozygous for the T-T-T haplotype [97].

Many drugs exert their pharmacological activity at the central nervous system (CNS) level. As P-gp is also expressed at the endothelial cells of the blood-brain barrier (BBB), its efflux activity may limit the uptake of those drugs within the CNS and therefore reduce their pharmacological activity and/or toxicity. In this respect, PK parameters based on cerebrospinal fluid (CSF) concentrations would be more informative in comparison to PK parameters obtained from plasma or blood concentrations. Furthermore, the calculation

of CSF/plasma or blood concentration ratios may be useful as an index of drug penetration across the BBB in order to assess the potential impact of *ABCB1* genotype on P-gp activity *in vivo*.

Antiepileptic drugs certainly belong to this category. The **phenytoin** (DPH) plasma trough concentrations C0 have been associated with 3435C>T polymorphism; indeed, patients or volunteers of Caucasian origin and bearing the 3435TT genotype had significantly higher DPH C0 compared to those bearing at least one 3435C allele (CT and CC) [98, 99]. No effect of *ABCB1* genotype was observed in epileptics of Asian origin on the plasma PK parameters of **carbamazepine** (CBZ) [100]. However, the latter authors observed that *ABCB1* genotype influenced the CBZ responsiveness without significant changes in the CBZ plasma concentrations, suggesting the potential interest for CBZ measurements in CSF. Such an analysis has been performed for **phenobarbital** (PB) in Caucasian epileptic patients [101]. In this study, the authors clearly showed that patients with 3435TT genotype had significantly higher PB CSF concentrations and CSF/plasma ratios (1.5-fold compared with 3435CC for both parameters) without any changes in PB plasma concentrations. Furthermore, the seizure frequency was significantly reduced when PB CSF concentrations were increased and when patients were bearing 3435T alleles [101].

Antipsychotic and antidepressant drugs have also to cross the BBB to exert their pharmacological activity. Two studies conducted in Asian schizophrenia patients treated by **risperidone** (RIS) showed that plasma trough C0 was not associated with *ABCB1* genotype [102, 103]. A more recent study involving schizophrenia patients from Caucasian origin confirmed the observation on Asian patients but showed an effect of *ABCB1* haplotype on the plasma concentration of 9-hydroxyrisperidone, the active metabolite of RIS, with lower values in patients homozygous for the 1236T-2677T-3435T haplotype [104]. The RIS antipsychotic effect is assumed to be related to the active moiety, that is, the sum of RIS and 9-hydroxyrisperidone, which was also significantly lower in patients homozygous for the T-T-T haplotype [104]. **Quetiapine** (QTP) is another antipsychotic for which a higher placental transfer has been reported in association with the presence of the 3435T allele [105]. Patients carriers of the *ABCB1* 3435TT genotype have been shown to have 1.6-fold higher **clozapine** (CLOZ) plasma concentrations than non carriers [106]. Unfortunately, no data are available on RIS, QTP and CLOZ concentrations in CSF in relation to *ABCB1* genotype.

In Asian psychiatric patients, it has been shown that the plasma PK of the antidepressant **fluvoxamine** (FLV) was associated with *ABCB1* genotype, with higher FLV C0 in 3435TT patients (2-fold compared to 3435CC), but only for the higher dosages (200 mg/day) [107]. In Caucasian patients treated by antidepressant drugs, no effect of *ABCB1* genotype was observed on **paroxetine** (PRX) plasma concentrations [108] while an opposite result was observed after **citalopram** (CIT) use with lower CIT C0 values in 2677TT patients (1.5-fold compared to GG/GT) [109]. Interestingly for the latter study, the 2677TT genotype was also associated with lower CIT CSF concentrations (1.33-fold compared to GG/GT), and a lower treatment response,

suggesting that this particular genotype was associated with an increased activity of ABCB1 towards CIT [109]. Unfortunately, the haplotype analysis was not reported in this study.

To account for the highly variable PK of antipsychotic and antidepressant drugs, besides the potential impact of ABCB1 genotype, as discussed above, it must be kept in mind that CYP2D6 genotype plays a major role so that guidelines for dosage adjustments according to CYP2D6 status are already available for these drugs [110]. However, for a given plasma concentration, ABCB1 status could still explain a part of the variability in CSF concentration, as shown previously with CIT, and ultimately in therapeutic response.

Opioids and analogues also exert their pharmacological activity after crossing the BBB. The PK of the anti-diarrhoea drug loperamide (LPM) has been analysed in relation to ABCB1 genotype with no clear results in Caucasian volunteers. While one study showed no association between 3435C>T polymorphism (or ABCB1*1 vs ABCB1*13 haplotype carriers) and LPM plasma concentration [111], another study showed only a non significant trend towards higher plasma LPM in 3435TT carriers suggesting a reduced activity of ABCB1 towards LPM for 3435T allele [112]. No data about LPM CSF concentrations were available in both studies. The effect of ABCB1 genotype seems more pronounced on methadone (MTD) PK. In a study including 245 Caucasians patients undergoing MTD maintenance treatment, Crettol *et al.* showed that 3435TT carriers presented lower (1.26-fold) trough MTD plasma concentrations compared to 3435CC carriers [113]. In accordance with those results, it has been shown that patients bearing the 1236TT-2677TT-3435TT genotype had an approximately 5-fold chance of requiring a higher MTD maintenance dose [114] most probably due to a reduced uptake of MTD in the CNS, illustrating the potential drug specific effect of ABCB1 polymorphisms [7]. It should be mentioned that a study has found opposite results also in Caucasian patients [115] and that data about MTD CSF concentrations were not available in those studies.

In the cardiovascular field, several drugs are also ABCB1 substrates. No effect of ABCB1 genotype on blood PK parameters was observed for talinolol [116, 117], losartan [118], telmisartan [119] and verapamil [120]. It has been shown, however, that amlodipine (ALD) PK parameters were affected by ABCB1 haplotype in Asians volunteers [121]. In this study, lower ALD AUC (1.48-fold) and higher ALD CL (1.52-fold) were observed in volunteers bearing the 2677TT-3435TT genotype than in those with 2677GG-3435CC genotype, suggesting an increased activity of ABCB1 towards ALD for the 2677T-3435T haplotype. The ABCB1 genotype seems to have different effects on the PK of cholesterol-lowering HMG-CoA reductase inhibitors statins. While the PKs of fluvastatin [122], pravastatin [122-124], lovastatin [122] and rosuvastatin [122] have been shown to be unaffected by the ABCB1 genotype, a modest increase in AUC for simvastatin acid (1.6-fold) and atorvastatin (1.55-fold) has been observed in volunteers homozygous for the 1236T-2677T-3435T haplotype compared with those homozygous for the 1236C-2677G-3435C haplotype [125]. However, it must be stressed that the

plasma concentration of statins, except fluvastatin, is highly dependent of a SNP in the influx transporter OATP1B1 [126] which has to be considered as a major confounding factor when analysing the impact of ABCB1 genotype. The PK of the antiplatelet prodrug clopidogrel (CPG) has been also associated with ABCB1 genotype. In Caucasian patients with coronary artery disease who underwent percutaneous coronary intervention, CPG Cmax and AUC were significantly lower (3.73- and 4.69-fold, respectively) in subjects homozygous for the 3435T allele compared with subjects with the 3435CT and CC genotype suggesting that CPG absorption (and thereby active metabolite formation) is decreased in presence of the 3435T allele [127].

Among miscellaneous drugs known to be ABCB1 substrate, fexofenadine (FEX) PK has been analysed in relation to ABCB1 genotype. In Caucasian volunteers, a non-statistically significant trend towards higher FEX AUC was observed in subjects bearing the 3435TT genotype [128] while similar results became significant in Asians volunteers [129]. Interestingly, in the latter study, the authors showed that the ABCB1 genotype 2677AA-3435CC was characterised by the lower FEX plasma concentrations compared to other main genotype groups (2677GG-3435CC or 2677TT-3435TT) highlighting the interest of haplotype analysis in different ethnic populations. No effect of ABCB1 genotype was observed on the PK of lansoprazole [130], budesonide [131] and prednisolone [132].

ABCC2

ABCC2 (also known as *multidrug resistance protein 2*, MRP2) is an ATP-binding cassette transporter mainly responsible for the biliary excretion of numerous organic anions. For this reason, it was originally referred to as *canalicular multispecific organic anion transporter* (cMOAT). Its main physiological substrate is bilirubin glucuronide and a genetic defect in bilirubin glucuronide excretion due to ABCC2 mutation leads to the Dubin-Johnson syndrome (DJS). Several mutations in ABCC2 have been therefore described in patients suffering from the DJS. Besides bilirubin glucuronide, ABCC2 is also responsible for the active efflux of many drugs, influencing, as for ABCB1, biliary and renal excretion, intestinal absorption and tissue distribution [133]. Interestingly, ABCC2 is also expressed on the membrane of PBMCs, particularly in CD4+ T cells [134]. In addition to mutations responsible for the DJS, several SNPs have been also reported for ABCC2, some of which affecting transporter expression and/or function (see ABCC2 on <http://www.pharmgkb.org/search/>) (Table 4). Four among those SNPs, namely -24C>T (rs717620), 1249G>A (rs2273697), 3972C>T (rs3740066) and 4544G>A (rs8187710), located in 5'-UTR, exons 10, 28 and 32, respectively, have been studied extensively mainly because of their high allele frequency in humans. The 1249G>A and 4544G>A polymorphisms result in an amino acid substitution (Val417Ile and Cys1515Tyr) whereas the 3972C>T is a synonymous SNP (Ile1324Ile). Furthermore a linkage disequilibrium between -24C>T and 3972C>T has been observed [133]. An *in vitro* analysis has shown that the ABCC2 -24C>T polymorphism was associated with a 20% reduction in transcriptional activity in HepG2 cells, and

Table 4. Important Polymorphisms (SNPs) in *ABCC2* Gene Selected in PharmGKB Database (<http://www.pharmgkb.org/search/>)

Position	Nucleotide Change	Effect ^a	NCBI dbSNP	Allelic Frequency
5'-flanking	-1549G>A	-	rs1885301	Ca: 0.43 As: 0.10 Af: 0.49
5'-flanking	-1019A>G	-	rs2804402	Ca: 0.43 As: 0.15 Af: 0.37
5'-UTR ^c	-24C>T*	-	rs717620	Ca: 0.19 As: 0.10 Af: 0.06
Exon 10	1249G>A	Val417Ile	rs2273697	Ca: 0.17 As: 0.10 Af: 0.17
Exon 10	1446C>G	synonymous		
Exon 18	2366C>T	Ser789Phe	rs56220353	Ca: 0.00 As: 0.02 Af: 0.00
Exon 25	3542G>T	Arg1181Leu	rs8187692	Ca: 0.00 As: 0.00 Af: 0.09
Exon 25	3563T>A**	Val1188Glu	rs17222723	Ca: 0.08 As: 0.00 Af: 0.07
Exon 28	3972C>T*	synonymous	rs3740066	Ca: 0.38 As: 0.20 Af: 0.27
Exon 31	4348G>A	Ala1450Thr	rs56296335	Ca: 0.00 As: 0.02 Af: 0.00
Exon 32	4544G>A**	Cys1515Tyr	rs8187710	Ca: 0.08 As: 0.00 Af: 0.20

NCBI: National Center for Biotechnology Information (<http://www.ncbi.nlm.nih.gov/projects/SNP/>)

^anumber represents amino-acid codon

^bbased on information found in <http://www.pharmgkb.org/search/>

Ca: Caucasian, As: Asian, Af: African

^cUTR: untranslated region

* linkage disequilibrium between -24C>T and 3972C>T

** linkage disequilibrium between 3563T>A and 4544G>A

lower levels of mRNA were detected in kidney tissues of carriers of this SNP [135].

Among immunosuppressive drugs used in transplantation, **mycophenolic acid** (MPA) PK has been largely investigated in relation to *ABCC2* genotype. Briefly, MPA is glucuronidated by uridine diphosphate glucuronosyltransferases (UGTs, mainly UGT1A9 isoform) to its pharmacologically inactive 7-O-glucuronide metabolite (MPAG). MPA is also glucuronidated, to a lesser extent (about 5%) and mainly by UGT2B7 isoform, to acyl-MPAG (AcMPAG) which is believed to have immunosuppressive but also toxic properties (diarrhoea). MPAG (but also MPA and AcMPAG) is then excreted into the bile via *ABCC2*, which is essential for enterohepatic (re)circulation (EHC) of MPA(G). Moreover, MPA AUC ratio (AUC6-12/AUC0-12) has been proposed by some authors as an estimate of EHC of MPA. In a preliminary study including Caucasian renal allograft recipients in steady state conditions, Naesens *et al.* have shown that *ABCC2* -24C>T polymorphism (and also 3972C>T in linkage disequilibrium) was associated with higher MPA trough levels (C₀) due to a lower MPA CL [136]. Similarly, MPA CL was also significantly lower (-20%) in Japanese renal transplant recipients bearing the *ABCC2* -24T allele [137] whereas no effect of *ABCC2* genotype was observed in a smaller cohort of 40 Caucasian renal transplant patients [138]. These observations could be explained by a lower *ABCC2* activity towards MPA in -24T carriers. Interestingly, using an analytical method validated to measure AcMPAG and MPAG in addition to MPA, Lévesque *et al.* showed that *ABCC2* -24C>T polymorphism was also associated with a 25% increase in AcMPAG AUC after single administration of the drug in Caucasian volunteers, suggesting a reduced *ABCC2* activity towards AcMPAG and maybe a reduced intestinal toxicity in patients bearing the -24T allele [139]. A similar effect on AcMPAG AUC was observed in Chinese renal transplant recipients but

this time with the *ABCC2* 1249G>A polymorphism and patients carrying the heterozygous genotype *ABCC2* 1249GA exhibited a higher AcMPAG AUC6-12 compared to the wild-type genotype [140]. All those studies indicate that *ABCC2* genotype influence MPA and MPA metabolites PK parameters. Contrary to MPA, *ABCC2* genotype seems to have a negligible impact on **tacolimimus** (Tac) and **sirolimus** (SRL) trough concentrations in renal transplant recipients [61].

Among anticancer drugs, **methotrexate** (METO) and **irinotecan** (CPT-11) have been investigated in relation to *ABCC2* genotype. In a group of 44 Caucasian paediatric patients with acute lymphoblastic leukemia, Rau *et al.* showed that the mean plasma METO AUC from 36 to 48 hours after the start of the infusion was significantly 2-fold higher in female patients carrying at least one *ABCC2* -24T allele as compared with all other patients [141]. Similar results have been published with CPT-11. In a cohort of 85 Caucasian cancer patients, a higher CPT-11 AUC has been observed in those bearing the *ABCC2* -24T allele and, in this study, more than 40% of the variation in CPT-11 AUC could be explained by five independent variables among which *ABCC2* -24C>T, age and CPT-11 dose [142]. Similarly, in a group of 67 Asian cancer patients, *ABCC2* haplotype I (including the -24C allele, see Table 5) and haplotype IV (including the 1249 A allele) were respectively associated with lower SN-38 (CPT-11 active metabolite) and lower CPT-11 AUCs [143]. In a cohort of 167 Caucasian cancer patients, although no effect was observed for individuals SNPs, an *ABCC2* haplotype (including the 1249G allele) has been associated with lower CPT-11 CL (28.3 vs 31.6 L/h) and with a significant reduction of severe diarrhoea, maybe as a consequence of reduced hepatobiliary secretion of CPT-11 itself [144]. All those results suggest that *ABCC2* genotype, particularly -24C>T and 1249G>A polymorphisms, may be respectively associated with reduced and increased

Table 5. Main *ABCC2* Haplotypes

Haplotype	-24C>T	1249G>A	1446C>G	3563T>A	3972C>T	4544G>A	*
I	C	G	C	T	C	G	0.31
II	T	G	C	T	T	G	0.23
III	C	G	C	T	T	G	0.16
IV	C	A	C	T	C	G	0.19
V	C	G	C	A	C	A	0.04

* haplotype frequency according L vesque *et al.* [139].

excretion of some anti-cancer drugs including METO and CPT-11, with potential clinical effects on toxicity, as discussed previously [144], or on efficacy (higher response rate in *ABCC2* -24TT patients) [71]. However, Zamboni *et al.* did not find any effect of *ABCC2* -24C>T polymorphism on the disposition of 9-nitrocamptothecin and its 9-aminocamptothecin metabolite [145], illustrating once more the difficulties of *in vivo* PK-PG analyses.

As discussed for *ABCB1* and antiretrovirals, the *ABCC2* efflux pump activity may decrease intracellular drug concentration, thus reducing the amount of drug at the site of action (in this case T lymphocyte). While no effect of *ABCC2* genotype was observed on **nelfinavir** [89] or **tenofovir** [146] intracellular concentrations, a significant association has been observed between *ABCC2* 4544G>A polymorphism and **lopinavir** (LPV) intracellular concentration suggesting that *ABCC2* 4544A allele (haplotype V, linked with 3563A allele) could be associated with a reduced activity of *ABCC2* towards LPV [147].

In relation to cholesterol-lowering HMG-CoA reductase inhibitors, no effect of the most frequently assessed *ABCC2* SNPs was observed on **pravastatin** [123, 148] or **simvastatin** [149] PK parameters. However, after taking into consideration the main genetic confounding factor in statins PK (i.e. the *OATP1B1* genotype), Niemi *et al.* have shown that volunteers heterozygous for the *ABCC2* 1446C>G polymorphism presented AUC₀₋₁₂ and C_{max} of pravastatin respectively 67 and 68% lower than in those with the 1446CC genotype [150]. As they also demonstrated that *ABCC2* mRNA expression was 95% higher in livers with the 1446CG genotype (n=7) than in those with 1446CC genotype (n=86), the authors suggested that *ABCC2* 1446C>G polymorphism was associated with reduced systemic exposure to pravastatin as a consequence of increased *ABCC2* expression.

As observed with CPT-11, the variant *ABCC2* 1249G>A has been associated with lower oral bioavailability and increased residual CL of intravenous **talinalolol** suggesting that Val417Ile substitution is associated with higher activity of the intestinal transporter [151]. Finally, in 12 Japanese renal transplant recipients, those with the *ABCC2* -24CT genotype showed significantly higher C_{max} of **telmisartan** compared to those with the -24CC genotype (96.8 vs. 57.4 ng/ml, respectively) [152].

In conclusion, genetic variability in the *ABCB1* and/or *ABCC2* genes may potentially influence drug disposition,

probably by altering protein expression and/or function. However, in most cases, the impact of *ABCB1* and/or *ABCC2* SNPs on blood PK parameters remains very controversial (because relatively weak) and difficult to interpret for several potential reasons.

First, it has been suggested that it was probably more relevant to emphasize on haplotypes rather than on isolated SNPs and to take into account the haplotype variability in different racial backgrounds. Indeed, each SNP belonging to a particular haplotype may have at least an additive effect on protein function/expression. However, well designed studies involving genetic association with *ABCB1* (according to Table 3 criteria) or *ABCC2* haplotypes and drug PK parameters are still quite rare. Secondly, a simultaneous effect of SNPs including other transporters and/or biotransformation enzymes may hide the potential effects of *ABCB1* and/or *ABCC2* SNPs as illustrated previously with cholesterol-lowering statins, immunosuppressants or antipsychotics/antidepressants and *OATP1B1*, *CYP3A5* or *CYP2D6*, respectively. To overcome this problem, further studies will have to combine several SNPs including different proteins and of course to analyse larger cohorts of patients or volunteers with sufficient study power. Thirdly, it must be reminded that *ABCB1* and *ABCC2* are specifically expressed in different tissues and that a same SNP may simultaneously affect different processes, such as absorption, elimination, and tissue distribution, as illustrated in Fig. (1). The resulting effect on drug concentrations in blood is not always easy to interpret and to correlate with pharmacological effect contrary to intracellular or target drug concentrations as illustrated with intralymphocyte concentrations for immunosuppressants and antiretrovirals, or with CSF concentrations for antiepileptics and antidepressants. Further studies including these intracellular or target drug concentrations should be recommended to better characterise the *in vivo* impact of *ABCB1* and/or *ABCC2* SNPs and to better understand the intracellular PK-PG relationships.

In the daily clinical practice, partly because of the above mentioned reasons, there are not yet clinically validated examples of *ABCB1* (or *ABCC2*)-genotype-directed dosing of drugs (as already proposed for some CYP, for example) but weak variations observed in drug PK with *ABCB1* and/or *ABCC2* polymorphisms, if statistically significant and confirmed in independent studies, could be integrated, as a piece of a puzzle, in predictive multivariate models (population PK models) to finally propose such *ABCB1* (or *ABCC2*)-genotype-directed dosing guidelines.

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ATP-Binding Cassette Transporters A1 and G1, HDL Metabolism, Cholesterol Efflux, and Inflammation: Important Targets for the Treatment of Atherosclerosis

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Abstract: Atherosclerosis has been characterized as a chronic inflammatory response to cholesterol deposition in arteries. Plasma high density lipoprotein (HDL) levels bear a strong independent inverse relationship with atherosclerotic cardiovascular disease. One central antiatherogenic role of HDL is believed to be its ability to remove excessive peripheral cholesterol back to the liver for subsequent catabolism and excretion, a physiologic process termed reverse cholesterol transport (RCT). Cholesterol efflux from macrophage foam cells, the initial step of RCT is the most relevant step with respect to atherosclerosis. The ATP-binding cassette (ABC) transporters ABCA1 and ABCG1 play crucial roles in the efflux of cellular cholesterol to HDL and its apolipoproteins. Moreover, ABCA1 and ABCG1 affect cellular inflammatory cytokine secretion by modulating cholesterol content in the plasma membrane and within intracellular compartments. In humans, ABCA1 mutations can cause a severe HDL-deficiency syndrome characterized by cholesterol deposition in tissue macrophages and prevalent atherosclerosis. Disrupting *Abca1* or *Abcg1* in mice promotes accumulation of excessive cholesterol in macrophages, and physiological manipulation of ABCA1 expression affects atherogenesis.

Here we review recent advances in the role of ABCA1 and ABCG1 in HDL metabolism, macrophage cholesterol efflux, inflammation, and atherogenesis. Next, we summarize the structure, expression, and regulation of ABCA1 and ABCG1. Finally, we give an update on the progress and pitfalls of therapeutic approaches that target ABCA1 and ABCG1 to stimulate the flux of lipids through the RCT pathway.

Keywords: Atherosclerosis, macrophages, cholesterol, inflammation, ABCA1, ABCG1.

INTRODUCTION

Plasma levels of high density lipoprotein (HDL) are inversely proportional to the risk of atherosclerotic cardiovascular disease (CVD) in humans [1]. The key mechanism underlying the atheroprotective properties of HDL is thought to be its role in reverse cholesterol transport (RCT), a physiologic process by which excess peripheral cholesterol is transported by HDL to the liver for excretion in the bile and feces [2]. In addition, HDL can prevent oxidation of low density lipoprotein (LDL) [3], act as an anticoagulant [4] and have anti-inflammatory properties [5], all of which may contribute to the antiatherogenic effects of HDL. This presumption leads to an assertion that raising HDL cholesterol (HDL-C) levels alone would improve RCT and protect against the development of atherosclerosis. However, high HDL-C concentration appears not to be always synonymous with an efficient RCT. Therefore, improving the HDL functionality may be more attractive to potentially stimulate RCT.

The initial step of RCT is thought to be dependent upon extracellular lipid acceptors, including HDL and/or lipid-poor apolipoproteins. Studies have indicated that the ATP-binding cassette (ABC) transporter ABCA1 is required for the maintenance of normal plasma HDL-C levels, and is important for the first step of RCT, i.e., transfer of

cholesterol to lipid-poor HDL apolipoproteins (e.g., apoA-I) [6]. ABCG1 is also of interest, since it participates in an intermediate RCT step, i.e. transfer of cholesterol to mature HDL particles (e.g., HDL2 and HDL3) [7]. Moreover, ABCA1 and ABCG1 export lipids to either cell surface-bound or internalized apolipoproteins [8, 9]. Minor changes in cholesterol content in the plasma membrane or within intracellular vesicular compartments can significantly impact cell function via influencing cellular signaling events [10, 11]. Thus, the traditional role of ABCA1 and ABCG1 in lipid transport is mechanistically linked to their potential role in suppressing inflammation.

Here we review recent advances in the role of ABCA1 and ABCG1 in HDL metabolism, macrophage cholesterol efflux, inflammation, and atherogenesis. Next, we summarize the structure, expression, and regulation of ABCA1 and ABCG1. Finally, we attempt to integrate this information and discuss the progress and pitfalls of therapeutic approaches that target ABCA1 and ABCG1 to stimulate the flux of lipids through the RCT pathway.

1. ABCA1 AND ABCG1 IN HDL METABOLISM AND CONSEQUENCES FOR ATHEROSCLEROSIS

1.1. ABCA1, HDL, and Atherosclerosis

ABCA1, first known as ABC1, was cloned in 1994 by Luciani and colleagues [12] as a homolog of yeast *ced-7*, and is a member of the large superfamily of ABC transporters that use ATP as an energy source to transport lipids and other molecules across membranes [13]. Understanding of

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the role of ABCA1 in HDL biogenesis came with the discovery that mutations in the ABCA1 gene result in the extreme HDL deficiency syndrome Tangier disease (TD). In 1999, the mutation in TD was mapped to chromosome 9q31 in the ABCA1 gene [14-17]. Since then, an enormous amount of research has been set off to investigate the mechanism of action, regulation, and suitability of ABCA1 as a target to increase HDL formation therapeutically for the treatment and prevention of atherosclerosis.

The defective cholesterol and phospholipid efflux to apoA-I from fibroblasts derived from TD patients suggested that the removal of cellular lipids to apoA-I is a major predictor of plasma HDL-C levels [18, 19]. Although the structure and synthetic rates of apoA-I are normal in TD, the hypercatabolism of circulating apoA-I may result in the severe HDL deficiency syndrome in this disease [20]. As a result, homozygous TD is associated with extremely low levels of apoA-I (<1% normal) and HDL-C (<5% normal). In accord, several recent genome-wide association studies have identified common variants in ABCA1 as a significant source of variation in plasma HDL-C levels across multiple ethnic groups [21-23], establishing ABCA1 as a major gene influencing HDL levels in humans. However, the impact of ABCA1 on atherosclerosis remains controversial. An early systematic survey of TD patients indicated homozygotes over the age of 30 displaying a 6-fold higher incidence of CVD than normolipidemic control subjects [24]. Of note, the increased risk of atherosclerosis in TD patients does not appear to be as dramatic as one would expect in individuals with an almost complete absence of HDL. One possible explanation is that TD homozygotes in addition to having low HDL-C also have reduced levels of VLDL and LDL cholesterol (VLDL-C and LDL-C), and thus the atherogenic stimulus may be diminished. Comparison of the phenotypes of TD homozygotes with heterozygotes who have decreased HDL-C ($\approx 40\%$ normal) but normal VLDL-C or LDL-C levels confirmed that ABCA1 is a rate-limiting factor in HDL biosynthesis, while one functional ABCA1 allele is sufficient to maintain normal LDL levels. Moreover, heterozygotes do not display the excessive accumulation of lipid-laden tissue macrophages as observed in homozygotes [25], implying that one functional ABCA1 allele is sufficient to prevent excessive cholesterol accumulation in macrophages. Interestingly, heterozygotes also showed significant increases in CVD incidence and carotid artery intima media thickness as compared to healthy controls [26]. One study of the Copenhagen City Heart cohort revealed that genetic variations in ABCA1 affect CVD in the general population [27]. However, a follow up study of the same cohort concluded that low HDL caused by loss-of-function mutations in ABCA1 does not contribute to the risk of CVD [28]. It was also reported that both common and rare ABCA1 variations are associated with increased CVD despite normal HDL levels [27, 29, 30]. Collectively, these studies support the idea that impaired ABCA1 function increases atherosclerosis in humans, although not in all cases by mechanisms that reflect reduced plasma HDL-C levels.

Studies in mice where the *Abca1* locus is deleted or human ABCA1 is overexpressed generally support the hypothesis that ABCA1 by maintaining circulating HDL-C levels and cellular cholesterol efflux significantly prevents atherosclerosis. Total-body *Abca1*-deficient (*Abca1*^{-/-}) mice

exhibit low plasma HDL-C levels and cholesterol accumulation in peripheral macrophages, a phenotype similar to that of TD patients [31]. However, atherosclerotic lesions were not increased when *Abca1*^{-/-} mice were fed chow or a high-fat, high-cholesterol (HF/HC) diet [31]. Upon cross-breeding of *Abca1*^{-/-} mice with hypercholesterolemic mouse models (*apoE*^{-/-} or *Ldlr*^{-/-} mice), the accumulation of lipid-laden foam cells in peripheral tissues was especially pronounced [32]. However, compared with the control mice, lesion size was not increased in either *Abca1*^{-/-}*apoE*^{-/-} or *Abca1*^{-/-}*Ldlr*^{-/-} double knockout mice, which is probably caused by a less atherogenic lipid profile in these animals. Recent studies have used tissue-specific knockout or knockdown of the *Abca1* locus to assess the respective role of liver, intestine, and macrophage ABCA1 in HDL metabolism and atherogenesis. Liver-specific *Abca1*^{-/-} mice have a $\approx 80\%$ reduction in HDL-C levels [33], and liver-specific partial gene knockdown of *Abca1* significantly reduced HDL-C levels by $\approx 40\%$ [34]. Subsequent studies reported that intestine-specific *Abca1*^{-/-} mice have a $\approx 30\%$ reduction in HDL-C levels [35]. Thus, the liver and intestine that synthesize apoA-I [36, 37], are primarily responsible for lipidating newly secreted lipid-poor apoA-I via ABCA1-mediated lipid efflux. More recently, Brunham *et al.* elegantly showed the tissue-specific role of ABCA1 in influencing susceptibility to atherosclerosis [38]. Their results indicated that physiologically global ABCA1 overexpression reduced atherosclerosis. Deletion of hepatic *Abca1* significantly accelerated atherosclerosis, indicating that the liver is an important site at which ABCA1 plays an antiatherogenic role. Surprisingly, selective deletion of macrophage ABCA1 was not found to have a strong impact on lesion burden. Moreover, previous studies have shown that, independently of changes in plasma HDL-C levels, deletion of *Abca1* in bone marrow-derived hematopoietic cells increases atherosclerosis [32, 39], whereas overexpression of bone marrow ABCA1 reduces atherosclerosis [40]. Whether ABCA1 expression in other hematopoietic cells (e.g., dendritic cells, lymphocytes, and endothelial progenitor cells) prevents atherosclerosis via modulating immunologic responses, including the secretion of inflammatory cytokines and T cell proliferation, remains to be further investigated.

Together these studies demonstrate that ABCA1 is a rate-limiting factor in HDL biosynthesis. In liver and intestine ABCA1 is essential for maintaining plasma HDL-C levels, while macrophage ABCA1 only has a minor effect on circulating HDL but is important as a mediator of cellular lipid efflux to exogenous lipid-poor HDL apolipoproteins (Fig. 1). Physiological deletion or expression of ABCA1 modulates susceptibility to atherosclerosis in mice, and hepatic ABCA1 may be an appropriate therapeutic target for raising HDL levels and reducing atherosclerosis in humans.

1.2. ABCG1, HDL, and Atherosclerosis

ABCG1, also known as ABC8 or human white gene, exhibits high homology with the *Drosophila* white gene, which acts as a regulator of tryptophan and guanine uptake in conjunction with the scarlet and brown genes [41, 42]. To date, no genetic variations in human ABCG1 have been identified that link it to any inheritable disease. Nevertheless, Mauldin *et al.* reported that ABCG1 expression and function

independent groups to investigate the potential synergistic relationship between ABCA1 and ABCG1 in modulating macrophage cholesterol homeostasis and atherogenesis. Out *et al.* reported that combined Abca1 and Abcg1 deficiency resulted in a completely abolished cholesterol mass efflux from macrophages to HDL [54]. Similar studies by Yvan-Charvet *et al.* showed that Abca1^{-/-}Abcg1^{-/-} macrophages displayed a more than 2-fold decrease in the ability to promote cholesterol efflux to HDL or serum as compared to Abca1^{-/-}, Abcg1^{-/-} and wild-type macrophages [55]. *In vivo* studies using a macrophage-specific RCT assay also indicated that cholesterol efflux from Abca1^{-/-}Abcg1^{-/-} macrophages to plasma, liver, and feces was highly reduced as compared to animals that received macrophages from single knockout or wild-type mice [56]. Defective cholesterol efflux due to the combined deficiency of Abca1 and Abcg1 was associated with markedly increased cholesterol content, primarily in the form of cholesteryl ester (CE), in resident macrophages of the peritoneal cavity, lung, liver, spleen, Peyer's patches, and lymph nodes in chow-fed Abca1^{-/-}Abcg1^{-/-} mice [54]. However, these mice did not show lipid accumulation in the arterial wall. This is most likely caused by the severe hypocholesterolemia in these mice, which is unable to provide the stimulus to attract macrophages to infiltrate into the arterial wall. Furthermore, Yvan-Charvet *et al.* showed that Ldlr^{+/-} mice receiving Abca1^{-/-}Abcg1^{-/-} transplants had substantially greater atherosclerosis as compared to recipients receiving single knockout or wild-type bone marrow, following administration of a HF/HC bile salt diet (1.25% cholesterol, 7.5% cocoa butter and 0.5% cholic acid) [55]. Out *et al.* reported that reconstitution of Ldlr^{-/-} mice with Abca1^{-/-}Abcg1^{-/-} bone marrow cells resulted in a prominent accumulation of lipids in the liver, lung and spleen as compared to recipients receiving single knockout or wild-type bone marrow, following a Western-type diet (0.15% cholesterol and 15% fat) [57]. However, in the context of Ldlr^{-/-} recipients, loss of ABCG1 did not significantly worsen atherosclerosis beyond that caused by the loss of only ABCA1, which is possibly reflecting an unexpected decrease in plasma VLDL/LDL levels in the Abca1^{-/-}Abcg1^{-/-} transplanted mice. The study of Out *et al.* was interpreted as showing a disproportionate increase in atherosclerosis given the degree of VLDL/LDL lowering.

Taken together, these studies indicate that ABCG1 plays a critical role in lipid homeostasis by controlling tissue lipid levels and the efflux of cellular cholesterol to HDL (Fig. 1). Unlike ABCA1, ABCG1 does not have a rate-limiting role in maintaining plasma HDL-C levels. Likewise, loss of ABCG1 alone does not markedly accelerate atherosclerosis. In spite of having a varied effect on atherosclerosis, ABCG1 in concert with ABCA1 does make a major contribution to cellular cholesterol export and prevent macrophage foam cell formation.

2. ABCA1 AND ABCG1 IN INFLAMMATION, INDEPENDENT OF CHANGES IN CIRCULATING HDL LEVELS

Atherosclerosis is a chronic inflammatory disease in which macrophages and endothelial cells (EC) play important roles. Macrophages are a primary cell type involved in innate immunity. Lipopolysaccharide (LPS), a major outer

membrane constituent of Gram-negative bacteria, is a potent endotoxin that, through the activation of cellular immunity, induces a cytokine-mediated systemic inflammatory response in the host [58, 59]. A series of studies have shown that deficiency of ABCA1 and/or ABCG1 in macrophages leads to LPS hypersensitivity [55, 60, 68, 78], implying a potential role of these two transporters in suppressing macrophage inflammation. In addition to macrophages, aortic EC also express ABCA1 and ABCG1 and export cholesterol to HDL [61]. EC in the artery wall become activated in many cases by oxidized lipids in early atherogenesis [62]. Activated EC secrete pro-inflammatory chemokines that recruit monocytes to the activated endothelium [63]. Several studies have shown that incubation of EC with HDL reduces the endothelial inflammatory response [64-66]. It is thus possible that ABCA1 and ABCG1 modulate inflammatory responses in vascular EC at least in part by facilitating cholesterol efflux to HDL in these cells.

2.1. Anti-inflammatory Properties of ABCA1

Francone *et al.* reported that LPS-induced septic shock was exacerbated in Abca1^{-/-}Ldlr^{-/-} mice compared with Ldlr^{-/-} mice [59], suggesting a potential role of ABCA1 in suppressing inflammation. Subsequent studies by Aiello *et al.* showed that macrophages from Abca1^{-/-}Ldlr^{-/-} mice were enriched in CE content (80-fold higher), and plasma HDL concentrations in these mice were extremely low [67]. However, it is not clear whether the observed heightened response to LPS in macrophages from Abca1^{-/-}Ldlr^{-/-} mice is due to the massive cellular CE accumulation, the low plasma HDL-C levels, or some other alterations. Recently, macrophage-specific Abca1^{-/-} mice were generated by Zhu *et al.* [68], and it was demonstrated that macrophages lacking Abca1 are hypersensitive to LPS stimulation, independently of low plasma HDL-C levels, requiring the expression of MyD88 which is an adaptor protein that mediates LPS signaling initiated by the Toll-like receptor 4 (TLR4). Koseki *et al.* showed that cultured Abca1^{-/-} macrophages secreted greater amounts of pro-inflammatory tumor necrosis factor- α (TNF- α) in response to LPS, and that this response was associated with increased levels of free cholesterol (FC) in lipid rafts on the cell surface [69]. These data support the concept that ABCA1 modulates cell surface cholesterol levels and inhibits its partitioning into lipid rafts, which may explain the hypersensitivity to LPS in Abca1^{-/-} macrophage. Moreover, Sun *et al.* reported that FC accumulation in the endosomal compartment increased the inflammatory response in a TLR-dependent fashion, with TLR3 playing the major role [70]. Combined, it is plausible that the anti-inflammatory properties of ABCA1 are mediated by its ability to modulate cholesterol content both on the cell surface and within intracellular compartments (Fig. 2).

However, recent work by Tang *et al.* suggested that not all of the anti-inflammatory properties of ABCA1 are a consequence of its lipid transport activity [71]. It was reported that the interaction of apoA-I and its mimetic peptides with ABCA1 promotes cholesterol removal and activates signaling molecules, such as Janus kinase 2 (JAK2), which optimize the lipid export activity of ABCA1 [72, 73]. JAK2 activation, however, also stimulates signaling pathways that activate transcription factors called STATs [74]. One of the

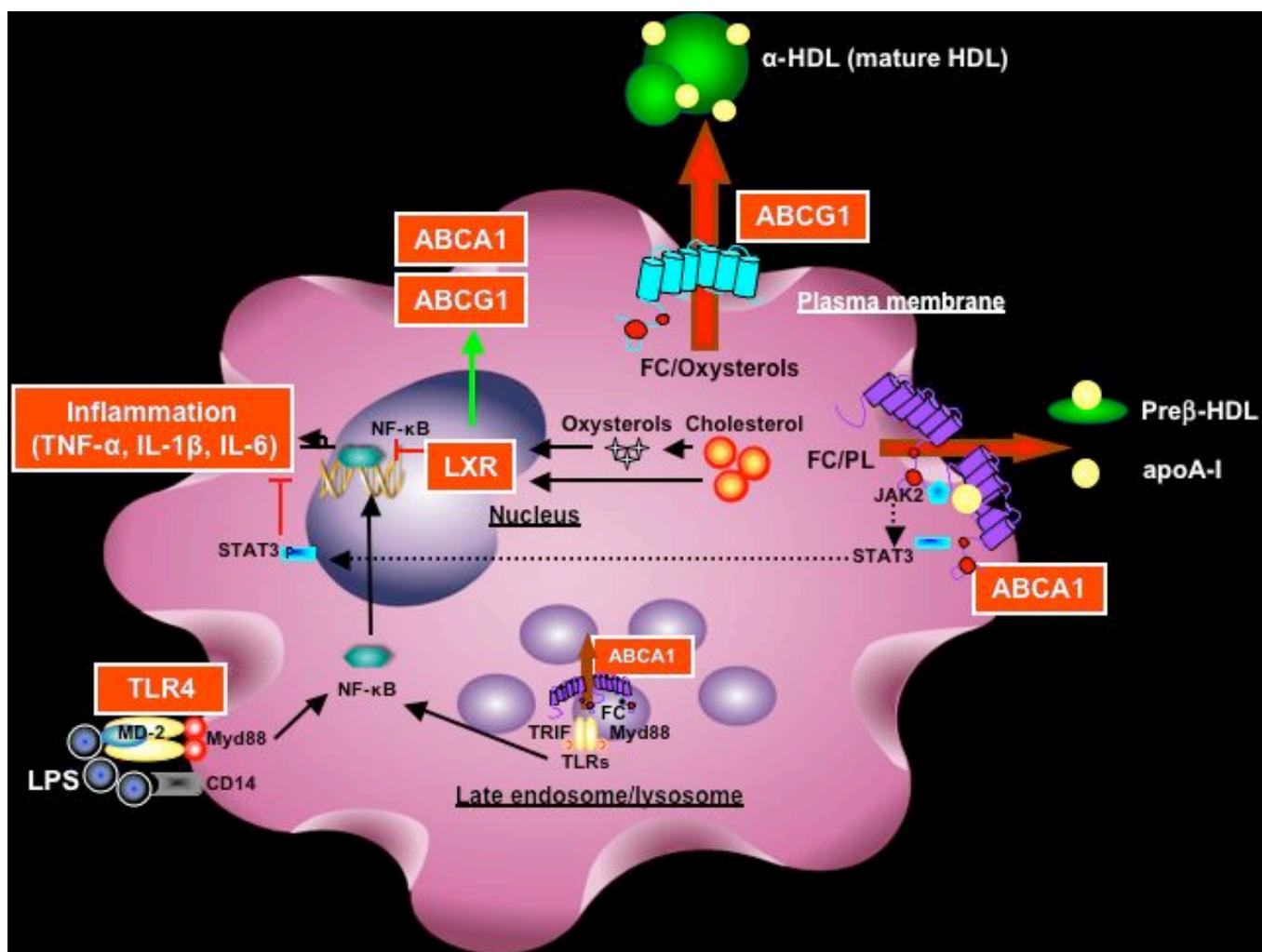


Fig. (2). Protective effects of ABCA1 and ABCG1 on macrophage cholesterol efflux and inflammation. The ABC transporters ABCA1 and ABCG1 coordinate to facilitate macrophage cholesterol efflux to HDL and its apolipoproteins. ABCA1 transports phospholipids (PL) and cholesterol, while ABCG1 is largely a cholesterol transporter. Cholesterol efflux to apoA-I specifically requires ABCA1, whereas efflux to HDL requires ABCG1. Unlike ABCA1, ABCG1 has a specific role in promoting cellular efflux of oxysterols modified at the 7 position, such as 7-ketocholesterol- and β -hydroxycholesterol. Activation of LXR by oxysterols robustly induces the transcriptional expression of ABCA1 and ABCG1 in macrophages, leading to enhanced cholesterol efflux and resolution of the cholesterol overload in these cells. ABCA1 and ABCG1 modulate the fluidity of the plasma membrane as well lipid raft formation. This, in turn, modulates the Toll-like receptor 4 (TLR4) expression and signaling upon activation via the LPS/MD-2 complex formed after binding of LPS to CD14. Subsequently, downstream signaling molecules such as Myd88 will control the activation of NF- κ B and the inflammatory gene expression response. Activation of LXR can also modulate the inflammatory response through suppression of NF- κ B, but this mechanism appears to be independent of ABCA1 or ABCG1 activity. Free cholesterol (FC) accumulation in the late endosome/lysosome can also modulate the inflammatory response by spontaneous activation of TLRs, such as TRIF-dependent TLR3, present in these intracellular compartments. By promoting cholesterol efflux from the late endosomal compartment, ABCA1 thus has a unique role in dampening inflammation. In addition, binding of apoA-I to ABCA1 stimulates the activation of signaling molecules, such as Janis kinase 2 (JAK2). The activated JAK2 both enhances the interaction of apoA-I with ABCA1 and stimulates the transcription factor STAT3. The phosphorylated STAT3 is translocated to the nucleus where it suppresses LPS-mediated production of inflammatory cytokines, without inhibiting LPS-induced NF- κ B activation.

STATs, STAT3, plays a major role in suppressing inflammatory cytokine production by macrophages [74, 75]. As a result, incubation of apoA-I with activated ABCA1-expressing macrophages suppressed the production of inflammatory cytokines TNF- α , IL-1 β , and IL-6 by a STAT3-dependent process [75]. This novel role of ABCA1 was further confirmed by results showing that apoA-I lost its anti-inflammatory activity in *Abca1*^{-/-} macrophages [75]. The generation of mutant forms of ABCA1 that lack the ability to activate STAT3 but retain lipid export activity is

worth exploiting, and is expected to provide important mechanistic insight into the anti-inflammatory properties of ABCA1 (Fig. 2).

2.2. Anti-inflammatory Properties of ABCG1

Wojcik *et al.* reported that *Abcg1*^{-/-} mice had increased pro-inflammatory cytokine levels in their lungs, leading to the recruitment of neutrophils, eosinophils, B cells, T cells, and dendritic cells [76]. They also showed that macrophages

are the primary cell type involved in the onset of both pulmonary lipidosis and inflammation in *Abcg1*^{-/-} mice. Likewise, Yvan-Charvet *et al.* found that macrophages lacking either *Abcg1*, or both *abca1* and *abcg1* secreted significantly more cytokines and chemokines [55]. Both ABCA1 and ABCG1 modulate cell surface cholesterol levels and inhibit its partitioning into lipid rafts. Given lipid rafts may provide platforms for innate immune receptors to respond to inflammatory signals [77], it follows that loss of ABCA1 and ABCG1 by increasing raft content will increase signaling through these receptors. In agreement, basal and LPS-stimulated thioglycollate-elicited peritoneal macrophages showed increased inflammatory gene expression in the order *Abca1*^{-/-}*Abcg1*^{-/-}>*Abcg1*^{-/-}>*Abca1*^{-/-}>wild-type, and TLR4 cell surface concentration was increased in *Abca1*^{-/-}*Abcg1*^{-/-}>*Abcg1*^{-/-}>*Abca1*^{-/-}>wild-type macrophages [78]. Interestingly, replenishment or removal of cholesterol using cyclodextrin reduced the inflammatory response of *Abca1*^{-/-} and *Abcg1*^{-/-} macrophages [78, 79], supporting the hypothesis that the increased inflammatory response is attributable to cholesterol accumulation in the plasma membrane of *Abca1*^{-/-} and *Abcg1*^{-/-} macrophages. ABCG1 appears to have a more potent role in modulating macrophage inflammation than ABCA1, which perhaps reflects a predominant role of this transporter in modulating cholesterol content in lipid rafts [80].

Both apoptosis and necrosis was increased in *Abcg1*^{-/-} alveolar macrophages [76], which may also contribute to or dampen pulmonary inflammation. In agreement, Baldán *et al.* showed that *Abcg1*^{-/-} peritoneal macrophages were more apoptotic [47]. When FC accumulates in the macrophage, the ratio of FC to phospholipids is disturbed in the endoplasmic reticulum (ER) membrane [81]. The "stiffening" of the ER membrane bilayer leads to ER protein dysfunction, ER stress, and the unfolded protein response, resulting in apoptosis of the cell [81]. Furthermore, oxysterols that are formed within macrophages as a consequence of cholesterol accumulation may cause cells to be more prone to apoptosis [82, 83]. Unlike ABCA1, ABCG1 has a specific role in promoting cellular efflux of oxysterols modified at the 7 position, such as 7-ketocholesterol- and 7 β -hydroxycholesterol, to prevent macrophages from oxysterol-induced cell death [84, 85]. Thus, it is possible that *Abcg1*^{-/-} macrophages are more prone to apoptosis due to the toxic effects of FC and oxysterol accumulation.

More recently, Whetzel *et al.* reported that reductions in ABCG1 expression in endothelium promote a pro-inflammatory endothelial phenotype [86]. Aortic EC from *Abcg1*^{-/-} mice displayed increased production of chemokines, increased surface expression of the adhesion molecules that promote monocyte adhesion and interactions. The regulation of monocyte-endothelial interactions by ABCG1 appeared to be independent of HDL binding. Furthermore, the pro-inflammatory phenotype of *Abcg1*^{-/-} EC was not mediated by NF κ B, a key molecule involved in the regulation of the inflammatory response to both infection and tissue damage. Instead, the IL-6-IL-6 receptor axis was found to be induced in *Abcg1*^{-/-} EC, and this pathway, through STAT3 signaling, regulates monocyte-endothelial interactions in *Abcg1*^{-/-} mice. Thus, in addition to suppressing macrophage inflammation, ABCG1 may also be important for regulating the early inflammatory response in EC in

the vessel wall. Further studies examining whether the effect of ABCG1 deficiency on the total cholesterol content and/or the intracellular distribution of cholesterol in EC as well as macrophages and the consequence for cellular signaling are needed to fully understand the anti-inflammatory properties of ABCG1.

3. STRUCTURE, EXPRESSION AND REGULATION OF ABCA1 AND ABCG1

3.1. The Structure, Expression, and Regulation of ABCA1

ABCA1 is a 2261 amino acid integral membrane protein. It is a full transporter, comprising two halves of similar structure. Each half has a transmembrane domain containing six helices and a nucleotide binding domain (NBD) containing two conserved peptide motifs known as Walker A and Walker B, which are present in many proteins that use ATP in energizing their transport activity, and a Walker C signature unique to ABC transporters. ABCA1 is predicted to have an N terminus oriented into the cytosol and two large extracellular loops that are highly glycosylated and linked by one or more cysteine bonds [87, 88].

ABCA1 is expressed ubiquitously, but with the highest concentrations in the liver, brain, adrenal glands, and macrophage foam cells [89-91]. Experiments using biotinylation [92], anti-FLAG antibody in FLAG-ABCA1 transfected cells [93], green fluorescent protein (GFP)-ABCA1 transfected cells [94, 95], and a polyclonal antibody [96] have suggested that ABCA1 localizes to the plasma membrane and to intracellular compartments (e.g., early and late endosomes and lysosomes). Although still controversial, it is suggested that ABCA1 may form a channel in the plasma membrane that promotes flipping of lipids from the inner to outer membrane leaflet by an ATPase-dependent process [97]. Some data support a direct efflux mechanism wherein ABCA1 first binds apoA-I, resulting in subsequent transfer of lipid to the bound apoA-I, which is then released from the cell, with the latter steps presumably still occurring within the context of the high affinity complex [98, 99]. Other more indirect models have also proposed that ABCA1 activity creates lipid raft membrane domains in the absence of apoA-I, and that these lipid domains may represent a larger capacity but lower affinity cellular binding site for apoA-I that is not closely associated with ABCA1 [100, 101]. Subsequently, cellular phospholipids and cholesterol are transported simultaneously or phospholipid efflux precedes cholesterol efflux [102, 103]. These partially lipidated apolipoproteins can then acquire additional cholesterol by other processes and mature into larger spherical HDL particles (HDL2 and HDL3). The selective uptake of HDL by the liver is mediated by scavenger receptor class B type I (SR-BI), which has a high affinity for lipid-rich HDL particles, but low affinity for lipid-poor HDL apolipoproteins [104].

In addition to mediating lipid efflux on the cell surface, ABCA1 may also be internalized along with apoA-I in vesicles to intracellular compartments where ABCA1 pumps lipids into the vesicles for association with apoA-I, and subsequent release of nascent HDL particles upon fusion with the plasma membrane (retroendocytosis) [105, 106]. Smith *et al.* reported the uptake and resecretion of labeled

apoA-I [107] and colocalization of GFP-ABCA1 and apoA-I in intracellular compartments [108]. In agreement, Hassan *et al.* showed that cell binding of apoA-I specifically mediates the continuous endocytic recycling of ABCA1, and that this pathway plays a central role in the genesis of nascent HDL [109]. Moreover, Chen *et al.* found impaired ABCA1 internalization in cells expressing a mutant form of ABCA1 (ABCA1delPEST), and the failure of the ABCA1-PEST mutant to reach late endosomes was associated with less ability to export endosome/lysosomal cholesterol to apoA-I, but not cell surface cholesterol [110]. They also reported that trafficking of ABCA1, and possibly apoA-I, to the late endosome/lysosome compartment is responsible for a quantitatively significant percentage of total ABCA1-dependent cholesterol efflux [110]. Thus, it is conceivable that internalization of ABCA1 is necessary for the mobilization of cellular cholesterol, at least during transit through the late endosome/lysosome compartment.

As expected for a transporter that mediates excess cellular cholesterol export, transcription of ABCA1 is markedly induced by overloading cells with cholesterol [89, 90]. ABCA1 expression is regulated at multiple levels, including the nuclear receptors liver X receptor (LXR) and retinoid X receptor (RXR) binding to the promoter region of the ABCA1 gene, with LXR being activated by elevated levels of oxysterols in cholesterol-loaded cells [111, 112]. Several additional modes of transcriptional regulation of ABCA1 by the transcription factors Sp1/3, upstream stimulatory factor 1/2 (USF1/2), hepatocyte nuclear factor 1a (HNF-1a), cyclic AMP (cAMP), and Zinc finger protein 202 (ZNF202) have been reviewed [113]. Although much emphasis has been placed on the transcriptional regulation of ABCA1, new studies are beginning to reveal its post-transcriptional regulation. Under basal conditions in cultured cells, ABCA1 has a relatively rapid turnover rate, as evidenced by the fact that ABCA1 protein is highly unstable in absence of inducers (half-life of 1 to 2 hours) [114-116]. ABCA1 is stabilized by apoA-I binding to cells, through apoA-I-dependent inhibition of calpain-mediated proteolysis of ABCA1 [115]. Multiple constitutive and apoA-I-stimulated phosphorylation events have been described that both enhance [72, 117-121] or inhibit [122] ABCA1 activity. In addition, Arakawa and Yokoyama showed that apoA-I itself stabilizes ABCA1 by retarding its degradation [123]. In their study, *N*-acetyl-leucine-leucyl-norleucinal aldehyde (ALLN), but not lactacystin, blocked the turnover of ABCA1 in the absence of apoA-I, and these authors speculated that a non-proteasomal thiol protease was involved. In another study by Feng *et al.*, the decrease in ABCA1 protein in FC-loaded macrophages was blocked to similar degrees by ALLN and lactacystin, suggesting an important role for proteasomal degradation in this process [124]. An important area of future investigation will be to determine the molecular mechanisms linking FC loading to proteasomal degradation of ABCA1, including the possibility that FC loading triggers ubiquitination of ABCA1. Moreover, Wang and Oram reported that unsaturated fatty acids (FAs) also accelerate the degradation of ABCA1 and thus decrease apoA-I-mediated efflux [116]. Unsaturated FAs not only act on the transcriptional regulation of ABCA1 (as antagonists of oxysterols in LXR activation), but also induce ABCA1 protein degradation (via a signaling pathway involving

activation of phospholipase D2 and phosphorylation of ABCA1 serines) [125].

3.2. The Structure, Expression, and Regulation of ABCG1

ABCG1 is a half-transporter containing only one 6-helix transmembrane domain and a single nucleotide binding fold. As an active transporter which needs two NBD and two transmembrane bundles, ABCG1 needs to form a homodimer or a heterodimer with another ABC transporter to become active [126, 127].

In general, high expression of ABCG1 mRNA was noted in spleen, lung, thymus, and brain, whereas expression in kidney, liver, and heart was low or undetectable [127, 128]. Subsequent studies using ABCG1-null/LacZ knock-in mice demonstrated that ABCG1 is predominantly expressed in macrophages, EC, and lymphocytes [46]. The mammalian ABCG1 cDNA was originally identified from studies using degenerate PCR and RNA from either a murine macrophage cell line [127], a murine pre-B cell library [128], or a human Jurkat T-cell line [128], or after using exon-trapping and a human chromosome 21 cDNA library [129]. Both the human and murine ABCG1 genes utilize multiple promoters and alternative splicing to produce a diverse array of mRNAs that encode multiple putative protein isoforms that range from 64-79 kDa [130-132]. It is not known if these isoforms, which vary only at the amino terminus upstream of the Walker A motif, form distinct dimers and/or have different functions *in vivo*. One interesting human isoform variation is predicted to arise due to alternative splicing in a section of the cytoplasmic domain of ABCG1 between the transmembrane regions and the ATP cassette. Splicing in this region leads to either the presence or absence of a 12 amino acid (AA) internal segment in the ABCG1 protein, termed as ABCG1(+12) and ABCG1(-12) [128, 133]. The two ABCG1 isoforms are expressed at both RNA and protein levels in human macrophages, with ABCG1(-12) tending to be the more abundant [134]. Of note, ABCG1(+12) is not expressed in mice [135], while mouse models are widely used to elucidate the function of ABCG1, further investigations into the importance of this human ABCG1 isoform are warranted.

ABCG1 promotes cholesterol efflux from cells to mature HDL but not to lipid-free apoA-I [7, 136, 137]. In contrast to ABCA1-dependent lipid export, which requires apolipoprotein binding, ABCG1-dependent cholesterol efflux does not appear to directly bind HDL [138]. Different models have been proposed to explain how ABCG1 acts to increase the availability of plasma membrane cholesterol to its acceptors. One suggested that ABCG1 helps cholesterol molecules to overcome the energy barrier for entry into the hydrophilic water layer, perhaps by using ATP to promote protrusion of the cholesterol molecule into water, followed by a transient collision with an acceptor [138]. A second model pointed to a function of ABCG1 as a phospholipid floppase, promoting changes in the organization of plasma membrane phospholipids and subsequent attraction of cholesterol to the outer leaflet for diffusional efflux [139]. Although expression of ABCG1 does not increase cellular binding of HDL, it does increase the oxidation sensitivity of cellular cholesterol in the absence of HDL [100, 137], suggesting that ABCG1

(like ABCA1) can modify plasma membrane cholesterol domains such that they are more accessible to cholesterol oxidase. Whether the cholesterol in the ABCG1 modified membrane domains is spatially different from those generated by ABCA1 remains to be investigated.

ABCG1 predominantly localizes to the intracellular compartments in basal macrophages, and it can be redistributed to the plasma membrane after LXR activation [140]. Thus, the LXR system performs a dual function of inducing ABCG1 transcription and its translocation to the plasma membrane. However, one recent study by Larrede *et al.* demonstrated that stimulation of cholesterol efflux to HDL by LXR activation in human foam cells involves an ATP-dependent transport mechanism solely mediated by ABCA1 that it appears to be independent of ABCG1 expression [141]. In addition to LXR activation, ABCG1 expression can also be induced by agonist of peroxisome proliferator-activated receptor gamma (PPAR γ) paralleling with increased LXR [142]. In agreement, Akiyama *et al.* showed that hepatic expression of ABCG1 was reduced in mice following conditional disruption of hepatic PPAR γ [143]. Moreover, PPAR γ agonists were reported to induce ABCG1 in LXR α/β -null macrophages and to exert antiatherogenic effects [144]. These results suggest that the expression of macrophage ABCG1 could be increased by PPAR γ agonists, which is associated with or independent of LXR activation.

Like ABCA1, ABCG1 expression can also be post-transcriptionally regulated by diverse factors. Uehara *et al.* demonstrated that unsaturated FAs significantly suppress the stimulatory effects of oxysterols and retinoids on the mRNA and protein expression of ABCG1 as well as the activity of the wild-type human ABCG1 promoter [145]. In addition, lipoxygenases comprise a family of enzymes capable of mediating selective lipid oxidation. One of them, called 12/15-lipoxygenase (12/15LO) catalyzes the conversion of free FAs to produce eicosanoids [146]. Nagelin *et al.* previously showed that 12/15LO activity in macrophages enhances the degradation of ABCG1 protein and thus reduced cholesterol efflux [147]. Correlating with the increased turnover of ABCG1, the 12/15LO eicosanoid product 12S-hydroxyeicosatetraenoic acid (12SHETE) enhances the serine phosphorylation of ABCG1 [147]. More recently, the mechanism by which 12/15LO regulates ABCG1 was further elucidated by the same group, and it was suggested that 12/15LO activates c-Jun NH2-terminal kinase 2 (JNK2) and p38 mitogen-activated protein kinase (MAPK) pathways to enhance the phosphorylation and degradation of ABCG1 [148]. Furthermore, Mauldin *et al.* demonstrated that ABCG1 expression was decreased in peritoneal macrophages isolated from Type 2 diabetic mice [149]. Similarly, down-regulation of ABCG1 was observed by using C57BL/6J peritoneal macrophages cultured in the presence of elevated glucose levels. These results suggest that ABCG1 expression in diabetic macrophages is regulated by chronic exposure to elevated glucose. Zhou *et al.* recently reported that the expression of ABCG1, but not ABCA1, was reduced in monocytes from Type 2 diabetic patients, which is partly related to the increased exposure to serum advanced glycation end (AGE) products [150]. The effect of AGEs on ABCG1 expression is mediated by the receptor for AGE (RAGE) through a LXR-independent pathway. However, the exact mechanism remains unknown [151].

4. THERAPEUTIC TARGETING ABCA1 AND ABCG1

As described above ABCA1, but not ABCG1, is a rate-limiting factor in HDL biosynthesis. Both ABCA1 and ABCG1 play critical roles in lipid homeostasis by controlling tissue lipid levels and cellular cholesterol efflux. Cholesterol efflux to apoA-I specifically requires ABCA1, whereas efflux to HDL requires ABCG1. In addition, ABCA1 and ABCG1 have also anti-inflammatory properties which are independent of changes in plasma HDL-C levels. The beneficial effects of ABCA1 and ABCG1 have made them important new therapeutic targets for preventing atherosclerosis. The expression and activity of ABCA1 and ABCG1 is regulated transcriptionally and post-transcriptionally by multiple processes. Nowadays, various programs have been initiated by the pharmaceutical industry to target these two ABC transporters.

4.1 Target Transcription of ABCA1 and ABCG1

Both ABCA1 and ABCG1 are strongly induced in human macrophages on LXR/RXR activation, and ABCG1 appears to be a preferential target of LXR ligands in foam cells [141]. Thus, upregulation of macrophage ABCA1 and ABCG1 by LXR agonists may constitute an effective pharmacological approach to attenuate foam cell formation, and thereby to prevent arterial lipid accumulation and lesion progression in dyslipidemic patients. In addition to ABCA1 and ABCG1, LXR agonists are also known to induce the transcription of other molecules involved in the RCT pathway, including apoE [152], which promotes cholesterol efflux from macrophages by both ABCA1-independent and -dependent mechanisms; liver and intestinal ABCG5 and ABCG8 [153], which promote excretion of liver and dietary cholesterol into the bile; lipid transfer proteins, such as cholesteryl ester transfer protein (CETP) [154] and phospholipid transfer protein (PLTP) [155], which remodel extracellular HDL particles to regenerate lipid-free apolipoproteins. On the other hand, Joseph *et al.* reported that LXR is important for macrophage survival and regulation of the innate immune response, but this mechanism does not seem to be controlled by ABCA1 or ABCG1 activity [156]. In agreement, Valledor *et al.* demonstrated that LXR and RXR coordinately regulate the network of genes that control programmed cell death, resulting in protection of macrophages from bacterial-induced apoptosis [157].

Initial studies using two non-steroidal synthetic LXR agonists (TO901317 and GW3965) in mice suggested they were able to increase plasma HDL-C levels and reduce atherosclerosis [158-161]. Recently, Verschuren *et al.* demonstrated that TO901317 strongly suppressed atherosclerotic lesion evolution and promoted lesion regression in apoE*3Leiden mice, a model for atherosclerosis with predictive value for the human situation [162]. Remarkably, the effect of TO901317 on plaque regression occurred even in the presence of proatherogenic plasma cholesterol and triglyceride profiles. The ability of TO901317 to induce atherosclerosis regression is mechanistically linked to (1) enhanced cholesterol efflux from macrophages in the aortic lesions (via upregulation of ABCA1, ABCG1, and apoE); (2) suppressed endothelial monocyte adhesion (at least in part via upregulation of ABCG1); (3) reduced lesional macrophage content by apoptosis; and (4) increased expression of

the chemokine receptor CCR7 in foam cells, which is essential for the emigration of mature dendritic cells to lymph nodes during regression. The exciting possibilities for LXR agonists as new therapeutics are, however, counterbalanced by one significant side effect: the induction of liver de novo lipogenesis and elevation of plasma triglyceride levels [163-165]. This liability is, at least in part, mediated by LXR-induced transcription of sterol regulatory element binding protein-1c (SREBP-1c), which induces some of the key enzymes involved in fatty acid synthesis, such as fatty acid synthase (FAS) and acetyl-coenzyme A carboxylase (ACC) [163, 164].

Clearly, a major challenge for LXR agonists is to achieve a favorable balance between the beneficial effects of induction of cholesterol exporters (e.g., ABCA1 and ABCG1) and the detrimental effects of induction of SREBP-1c. A few possible strategies have been proposed to minimize the side effects of LXR agonist treatment. Both LXR isoforms (i.e., LXR α and LXR β) respond to the same natural and synthetic ligands, but they show different tissue distribution. LXR α predominates in tissues that synthesize triglycerides, such as the liver, whereas LXR β has a ubiquitous tissue distribution [166, 167]. It is thus possible that LXR subtypes can control different genes due to their different tissue distribution. Interestingly, peritoneal macrophages from LXR β knockout mice, but not LXR α knockout mice, show altered basal expression of ABCA1 mRNA [166], suggesting that LXR β is the subtype more responsible for controlling ABCA1 transcription in macrophages. Furthermore, unlike LXR α -selective agonists, LXR β -selective agonists may not induce hepatic lipogenesis [167, 168]. However, the crystal structures of LXR α and β are very similar in the ligand binding domains, and it may be a challenge to develop subtype-selective ligands [169, 170]. Another approach is the identification of target gene-selective or tissue-selective LXR agonists. Encouragingly, several natural or synthetic steroidal LXR agonists have been shown to selectively activate gene expression with minimal SREBP-1c gene activation in the liver and strongly induce ABCA1 expression in macrophages [171, 172]. More recently, a novel synthetic steroidal LXR agonist ATI-829 was reported in mice to selectively activate LXR target gene expression in the intestine and macrophages rather than in the liver, without inducing hypertriglyceridemia and liver steatosis [173]. Given the variety of factors that modulate atherosclerosis in mice, the development of LXR agonists that are LXR β , gene, or tissue selective, which can raise circulating HDL-C and stimulate macrophage cholesterol efflux without causing liver and plasma triglyceride accumulation is critical for the development of LXR-based agonists as therapeutically useful agents.

4.2 Target Post-Transcription of ABCA1 and ABCG1

The possibility of targeting posttranscriptional regulation of ABCA1 and ABCG1 has received less attention. A series of studies have indicated that both ABCA1 and ABCG1 are highly unstable proteins, and that they may be post-transcriptionally regulated *in vivo* by metabolic factors associated with common disorders, such as diabetes. It is also likely that transcription of ABCA1 and ABCG1 in highly cholesterol-loaded cells may already be maximally stimulated. Under

these conditions, stimulating transcriptional process alone may have a limited contribution to enhance the overall activity of ABCA1 and ABCG1.

ABCA1 is degraded by calpain [115], and it appears to be one of the major mechanisms for regulation of its cellular level, and helical apolipoproteins protect ABCA1 against this degradation [123]. Helical apolipoproteins interact with ABCA1 and generate new HDL by removing cellular lipid. ABCA1 is phosphorylated and stabilized by a mechanism involving protein kinase C that is presumably activated by diacylglycerol generated by replenishment reaction for the removal of sphingomyeline [120]. To date, several synthetic amphipathic α -helical peptides have been produced. One of them, called D4-F because it contains 4 phenylalanines and only D-amino acids, can be absorbed orally into the blood stream with a low rate of turnover in mice [174]. Administration of this peptide in drinking water has been shown to markedly reduce atherosclerosis in mouse models [174, 175]. D-4F protects against atherosclerosis by several possible mechanisms including interactions with ABCA1. Another advantage of synthetic amphipathic α -helical peptides is that they may be relatively resistant to the oxidative damage which has been reported to impair the interaction of apoA-I with ABCA1 [176]. However, a disadvantage of these peptides is that they have non-specific detergent effects on membrane lipids and can accumulate in tissues and damage cells [177, 178]. Further investigation is needed to develop novel synthetic peptides that mimic amphiphilic helical segments of apolipoproteins to specifically remove cellular lipids via the ABCA1 pathway without having detergent-like effects.

4.3 Other Potential Therapeutic Targets

Another potential strategy under investigation is to increase the concentration of acceptors for ABCA1 and ABCG1. ABCA1 transports phospholipids to lipid-poor apoA-I to generate partially lipidated "nascent" HDL, called pre β -HDL. These pre β -HDL particles can acquire additional cholesterol by other processes and then convert into spherical HDL, called α -HDL. These mature HDL particles are effective substrates for ABCG1-mediated cholesterol transport [53, 179-180]. In this regard, intravenous infusion of apoA-I or apoA-I mimetic peptides is promising approaches. Infusion of recombinant apoA-IMilano/phospholipid complexes in apoE^{-/-} mice reduced the foam-cell content of arterial lesions within 48 h post infusion [181]. A weekly infusion of recombinant apoA-IMilano/phospholipid complexes in humans for 5 weeks appeared to induce regression of coronary atherosclerosis in a small study [182]. Currently, one intravenously administered apoA-I mimetic peptide, known as the RLT peptide (ETC-642, Esperion Therapeutics Inc., Ann Arbor, Michigan), is being studied in clinical trials [183]. More recently, Sacks *et al.* developed a novel selective delipidation technique by which large HDL in human or monkey plasma was converted into cholesterol-depleted HDL, resembling pre β -HDL and small spherical HDL3 that are active in removing excessive cholesterol from cells [184]. Importantly, treatment with the delipidated plasma tended to reduce diet-induced aortic atherosclerosis in monkeys, suggesting that the selective HDL delipidation could be an attractive therapy for atherosclerosis.

5. CONCLUSIONS

Studies of human disorders and mouse models show that the ABC cholesterol transporters ABCA1 and ABCG1 have a major impact on whole-body lipid homeostasis by a process termed RCT. ABCA1 and ABCG1 work synergistically to remove excess cholesterol from cells (particularly macrophages), and they also play important roles in suppressing inflammation through multiple mechanisms. In humans, ABCA1 mutations can cause a severe HDL-deficiency syndrome characterized by cholesterol deposition in tissue macrophages and prevalent atherosclerosis. Disrupting Abca1 or Abcg1 in mice promotes accumulation of excessive cholesterol in macrophages, and physiological manipulation of ABCA1 expression affects atherogenesis in mouse models. ABCA1 and ABCG1 have complex cellular and regulatory pathways that are far from being completely understood. At present, a number of approaches have been utilized to target ABCA1 and ABCG1 in efforts to raise circulating HDL levels and stimulate lipid flux through the RCT pathway. However, the efficacy and safety of these therapies remains an open question. As we learn more, there will undoubtedly be additional novel therapeutic targets that offer the best opportunity to treat atherosclerosis.

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The Canalicular Bile Salt Export Pump BSEP (ABCB11) as a Potential Therapeutic Target

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Abstract: Bile formation is a key function of the liver and is driven by active secretion of bile salts and other organic compounds into the biliary tree. Bile salts represent the major organic constituent of bile. They are released with bile into the small intestine, where they are almost quantitatively reabsorbed and transported via the portal circulation back to the liver. In the liver, they are taken up into hepatocytes and secreted into bile. This cycling between the liver and the small intestine is called enterohepatic circulation of bile salts. Bile salts are secreted from hepatocytes into the bile by the bile salt export pump BSEP. This step constitutes the rate-limiting step of handling of bile salts in the liver and is the major driving force of the enterohepatic circulation of bile salts. Improper functioning of BSEP leads to an accumulation of bile salts within hepatocytes, where bile salts become cytotoxic. If persistent, accumulation of bile salts in hepatocytes will lead to liver disease. This review summarizes the essential concepts of bile formation and the current knowledge of mechanisms known to impair BSEP function. Finally, it sets the current therapeutic approaches for cholestatic liver disease into perspective to the pathophysiologic mechanisms of impaired BSEP function.

Keywords: ABC transporter, bile formation, BSEP, cholestasis, drug, liver injury.

PHYSIOLOGY OF BILE FORMATION

The liver is strategically located between the gut and the systemic circulation. Nutrients as well as drugs and other xenobiotics, once absorbed by the gut pass via the portal blood to the liver and from there, if not retained by the liver, to the systemic circulation. In the small intestine, digestion and absorption of lipids requires bile salts. Bile salts represent two thirds of organic constituents of bile. Bile is produced by the liver, whereby hepatocytes form about 60 to 75 % and biliary epithelia about 25 to 40 % of bile fluid in humans. Bile is collected in the gall bladder in between meals and released from the gall bladder into the duodenum after a meal. Important constituents of bile, in addition to bile salts, are lipids (phosphatidylcholine and cholesterol), organic anions (bilirubin and others) and small ions [1]. Hepatic bile flow is composed of bile salt-dependent bile flow, which is driven by bile salt secretion and bile salt-independent bile flow, which is mainly driven by secretion of organic anions [2].

Bile salts are a metabolic product of cholesterol and are synthesized in a multistep process in hepatocytes [3]. After synthesis, they are rapidly conjugated by linking their carboxylic acid group to the amino group of taurine or glycine forming an amide [4] and secreted into the canaliculi for delivery into the biliary tree [5, 6]. In the small intestine, bile salts promote the digestion of fat and absorption of lipids and fat soluble vitamins [5, 7]. Bile salts are absorbed to more than 90 % in the small intestine and cycle back to the liver via the portal circulation. In the liver, bile salts are taken up from the sinusoidal blood plasma into hepatocytes

for secretion into the biliary tree [8]. This cycling between the gut and the liver is termed enterohepatic circulation of bile salts and a "motus circularis bilis" has first been described in 1690 by Mauritius Reverhorst and in 1710 by Giovanni Alfonso Borelli [9]. For efficient transport of bile salts from the sinusoids into the canaliculi as well as for controlling this process, hepatocytes are equipped with an elaborate array of transporters and regulatory mechanisms [10-12]. Hence, hepatocytes are the principle cells involved in bile formation and provide the driving force for the enterohepatic circulation of bile salts. A tight control of bile salt concentration within hepatocytes is essential, as they are amphipathic molecules and display cytotoxic and at high concentrations even detergent properties [7]. Hence, any surplus of bile salts within hepatocytes can become damaging or even lethal to the cells [13, 14].

At the basolateral plasma membrane of hepatocytes, bile salts are mostly taken up in a sodium-dependent manner and to a minor extent via sodium-independent processes. Sodium-dependent uptake of bile salts is mediated by the sodium-taurocholate cotransporting polypeptide NTCP (*SLC10A1*), which shows a preference for conjugated bile salts [8, 15], while sodium-independent uptake of bile salts shows a preference for unconjugated bile acids and is fostered by organic anion transporting polypeptides or OATPs (*SLCOs*), namely OATP1B1 and OATP1B3 [10, 11]. As an additional OATP, OATP2B1 is also expressed in hepatocytes but does not mediate transport of conjugated bile salts [10, 16]. Importantly, OATPs are also key mediators of hepatocellular drug and xenobiotic uptake, whereby OATP1B1 and OATP1B3 exhibit overlapping substrate specificities [17]. Knowledge about intracellular transport of bile salts from the basolateral cell pole across hepatocytes to the canalicular plasma membrane is limited, but bile salt binding proteins are almost certainly involved [18, 19].

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Canalicular export of bile salts occurs against a steep concentration gradient and is mediated by the bile salt export pump BSEP (ABCB11), which is a member of the ATP-binding cassette (ABC) transporter super family [8, 20, 21]. The rate limiting step in the overall transport from the portal blood into bile is located at the canalicular plasma membrane [10, 22]. Hence, undisturbed functioning and proper regulation of BSEP is essential for keeping the potentially cytotoxic bile salts at a low intracellular level in hepatocytes. In rat liver exposed to a physiologic load of bile salts, the concentration of their monomeric form (i.e. soluble in the cytoplasm in their anionic form [23]) in the cytoplasm is probably $< 1 \mu\text{M}$ [24]. Based on comparable total liver tissue bile salt concentrations [25], a similar value can be assumed for human hepatocytes. In the canaliculus, formation of primary bile is an isoosmotic process. Water follows the secreted solutes using a paracellular route across tight junctions and a transcellular route mediated by aquaporins, which display a distinct expression pattern in the basolateral and canalicular membrane of hepatocytes [26]. Consequently, bile salts are a crucial driving force for the generation of canalicular bile flow. Any reduction of bile flow represents a pathophysiologic situation and is called cholestasis.

Even though NTCP is predominantly a bile salt transporter it handles in addition sulfated compounds such as bromosulphophthalein and sulfated steroid metabolites [8, 15, 27, 28]. Of note, human NTCP but not rat Ntcp transports the statin rosuvastatin [29]. Experiments with isolated human hepatocytes suggest that NTCP mediates 35 % of total rosuvastatin, the remaining part being OATP-mediated. Taken together, NTCP acts not only as the key hepatocellular bile salt uptake system, but may also contribute to hepatocellular handling of steroid metabolites and some drugs. NTCP is under tight transcriptional and post-transcriptional regulation to keep intracellular bile salts below cytotoxic levels. Transcriptionally, NTCP is repressed by upregulation of the small heterodimer partner 1 (SHP-1, NR0B2), which is under direct positive control of the bile salt sensor farnesoid X receptor (FXR, NR1H4) [30]. This mechanism is species-dependent. Post-transcriptionally, NTCP exists in different phosphorylation states, which in turn regulate its recycling between an endosomal compartment and the basolateral plasma membrane. Increasing cAMP induces dephosphorylation of NTCP in the endosomal compartment from where dephosphorylated NTCP is shipped to the basolateral domain of hepatocytes [31].

OATPs have a very broad substrate pattern and transport a considerable number of endogenous substrates, metabolic end products as well as xenobiotics including drugs and toxins. Examples of endogenous substrates are bile salts; examples of metabolic end products are estrone-3-sulfate and thyroxin sulfates [16, 17, 32]. Hepatic OATPs are also subject to regulation. The transcription of OATP1B3 is under direct and the transcription of OATP1B1 under indirect control of the bile salt sensor FXR. In this context, transcription of OATP1B1 is diminished by a SHP-1 induced repression of hepatocyte nuclear factor 1α (HNF1 α), the major activator of the OATP1B1 promotor [30]. Rat Oatp1a1 and Oatp1a4 have been shown to undergo phosphorylation in heterologous expression systems resulting in their functional down regulation [33, 34].

BSEP has a narrow, but species-dependent substrate specificity and transports monoanionic, conjugated bile salts [20, 21]. Human BSEP, but not rat Bsep transports the bile salt metabolite tauroolithocholate-3-sulfate [35, 36]. Rat Bsep transports practically no unconjugated bile salts [37], which *in vivo* is mirrored by the clinical observation that patients with defective bile salt conjugation have very little unconjugated bile salts in their bile [38]. In addition to bile salts, human BSEP and rat Bsep are able to mediate transport of pravastatin, but not other statins [39].

The transcription of BSEP is under strong positive control by the bile salt sensor FXR [21, 30]. In addition to ligand binding for its activation, FXR requires interaction with its partner retinoid X receptor (RXR, NR2B1) to form a heterodimeric complex [40]. This complex binds to the sequence element AGGTCA, which forms an inverted repeat separated by one nucleotide (IR-1 element) on FXR responsive genes [30, 40], a process which is under additional control of coactivators and corepressors [41]. FXR is more strongly activated by hydrophobic than by hydrophilic bile salts [30, 42]. Additional FXR agonists include polyunsaturated fatty acids, hydroxylated bile alcohols, oxysterols, andosterone, dietary component from plants such as stigmasterol, cafestol [30, 43] or procyanidines found in grapes, red wine, apples and chocolate [44]. Known FXR antagonists are guggulsterone, lithocholate and the synthetic compound AGN34 [42]. Due to its central role in bile salt and hence lipid and energy homeostasis, several synthetic FXR agonists, such as for example GW4064, 6 α -ethylchenodeoxycholic acid (6-ECDCA), both of which are more potent activators than bile salts, AGN29, AGN31 or feraxamine [41, 42] have been developed. The list of synthetic agonists is rapidly growing (e.g. [45-47]), which is certainly fostered by the detailed structural knowledge of FXR [48]. As the canalicular exit step is rate limiting in hepatocellular handling of bile salts, BSEP is also subject to efficient short term regulation. Both, alterations of the carrier density of BSEP in the canalicular membrane via endo- and exocytosis as well as by phosphorylation state of BSEP control the secretory capacity of the canalicular membrane for bile salts [49, 50].

The main constituent of biliary lipids is phosphatidylcholine. Canalicular phospholipid secretion is critically dependent on MDR3 (ABCB4) [51]. This ABC transporter acts as a phosphatidylcholine translocator from the inner to the outer hemileaflet of the canalicular membrane [52]. From there, phosphatidylcholine is released into bile by the detergent action of bile salts secreted by BSEP [53]. In the canaliculus, phosphatidylcholine and bile salts form mixed micelles, which act as acceptors for poorly water-soluble substances, e.g. cholesterol [53]. Thereby, the release of cholesterol from the canalicular membrane into the aqueous canalicular lumen is facilitated by the heterodimeric ABC transporter ABCG5/ABCG8 [54]. Taken together, canalicular lipid secretion requires the coordinated action of the three ABC transporters BSEP, MDR3 and ABCG5/ABCG8. Furthermore and importantly, the mixed micelles formed in the canaliculi are instrumental to lower the toxicity of the high concentrations of bile salts in bile and by doing so prevent injury of the bile ducts by bile salts [55]. In addition, the canalicular membrane is rich in sphingomyelin [56], which associates with cholesterol [57, 58]. Such an

association was also observed in plasma membrane microdomains, so called lipid rafts [59, 60]. Lipid microdomains are resistant to solubilization by some detergents. Recently, lipid microdomains have been demonstrated in rat canalicular membrane [61]. Such microdomains might hence constitute a structural element to protect the canalicular membrane from the detergent action of high concentrations of bile salts in the canaliculi. As a further protective element, the canalicular membrane contains the P-type ATPase ATP8B1 (FIC1). This ATPase is thought to translocate phosphatidylserine from the outer to the inner leaflet of the canalicular membrane and thereby contributing to the resistance of the canalicular membrane towards solubilization by bile salts [62-64].

Biliary organic anions other than bile salts mainly include bilirubin diglucuronide and glutathione. In addition, drug metabolites are secreted in anionic form as glucuronides or sulfates into bile. Two ABC transporters located in the canalicular plasma membrane are critically involved in canalicular metabolite secretion: multidrug resistance protein 2 (MRP2, ABCC2) has a preference for glucuronidated compounds, the prototypical substrate being bilirubin diglucuronide [65]. ABCG2 (also called breast cancer resistance protein or BCRP) mediates secretion of a variety of metabolites of endogenous and exogenous compounds and seems to have some bias towards sulfated compounds [66]. In addition, it is an important transporter conferring for tumor cells resistance to chemotherapy in cancer.

In summary, bile formation is an important function of the liver. For production of bile, hepatocytes are equipped with an array of basolateral uptake systems and ABC transporters in the canalicular membrane. These ABC transporters are able to export their substrates against large concentration gradients into the canaliculi, from where they enter the biliary tree. In the case of bile salt secretion, which is mediated by BSEP, a correct interplay between several ABC transporters is necessary to counteract the toxic activity of bile salts towards the bile ducts. Any interference with this process, either caused by inherited or acquired functional impairment of these transporters can potentially lead to pathophysiologic and hence to clinically relevant liver diseases.

INHERITED CHOLESTATIC LIVER DISEASE

In humans, many insights into the role of canalicular ABC transporters in bile formation and normal liver physiology were gained from inherited forms of cholestatic liver disease. Progressive familial intrahepatic cholestasis (PFIC) is a very rare form of liver disease, which clinically presents in three distinct entities. The prominent clinical manifestations of these diseases are cholestasis, pruritus and jaundice. Severe forms become clinically manifest early in childhood and usually require liver transplantation [67]. The molecular identification of the underlying genetic defects allows now a clear diagnostic distinction between the three forms: PFIC1 is caused by mutations in the gene coding for FIC1 (ATP8B1), PFIC2 by mutations in the gene for BSEP (ABCB11) and PFIC3 in the gene for MDR3 (ABCB4) [68]. As this review is focused on BSEP, only the pathophysiology of PFIC2 will be discussed. PFIC2 also

presents with a milder form with recurring episodes of cholestasis, which is known as benign recurrent intrahepatic cholestasis type 2 or BRIC2. PFIC2 and BRIC2 can also be called BSEP deficiency syndrome [12]. Both PFIC2 and BRIC2 patients have mutations in the *BSEP* gene [69, 70]. Taken together, mutations in the *BSEP* gene are resulting in BSEP deficiency syndrome, which is a continuum from mild to severe, often progressive forms of intrahepatic cholestasis [12, 71, 72].

Frequently occurring mutations in the *BSEP* gene causing PFIC2 are missense mutations, nonsense mutations, deletions, insertions and splice site mutations. Patients with severe PFIC2 have less than 1 % of the normal amount of primary bile salts in their bile and are negative for BSEP staining with antibodies in their liver biopsies [73]. Recently, a comprehensive study reported a detailed analysis of patients with severe BSEP deficiency syndrome (PFIC2) from 109 families and identified 82 different mutations [74]. The mutations in these patients cluster in the two nucleotide binding domains of BSEP protruding into the cytoplasm. The BSEP nucleotide binding domains show a high degree of conservation between different species [49], which illustrates the importance of a correct structure of these domains for proper functioning of ABC-transporters [75-77]. The pathophysiologic consequences of the different mutations were studied by BSEP immunohistochemistry in biopsies, where available [74]. The vast majority of patients had abnormal or absent BSEP immunostaining, with a complete lack of BSEP staining being dominating. Hence, mutations in the *BSEP* gene tend to lead to absent BSEP expression in patients, which may be a consequence of the quality control system of protein synthesis in the endoplasmic reticulum (reviewed in [21]). Direct experimental evidence for this hypothesis is at the moment not available, since no comprehensive studies on mRNA levels in liver biopsies from patients with BSEP deficiency syndrome have been published to date. The number of novel mutations described for the *BSEP* gene is still increasing [78, 79]. An elegant follow up investigation of *BSEP* mutations studied the consequences of mutations and single-nucleotide polymorphisms in the *BSEP* gene from histologically characterized patients [74] on pre-mRNA splicing and on the subsequent processing of BSEP protein *in vitro* [80]. The authors identified 20 mutations/SNPs, which displayed reduced wild-type splicing and therefore reduced levels of normal mRNA in an *in vitro* system. Expression in CHO-K1 cells led for the majority of mutations to BSEP protein retention in the endoplasmic reticulum and subsequent degradation. This finding explains the lack of BSEP immunostaining in liver biopsies of such patients. In both studies, two common mutations were remarkable: The E297G and D482G mutants of BSEP varied most in their expression level among the respective carriers [74]. Furthermore, the common European mutant D482G displayed an enhanced aberrant splicing [80], which possibly provides an explanation for the wide variation of BSEP expression observed in patients with D482G mutations. Patients carrying this mutation have clinical phenotypes with variable severity, indicating a potential contribution of additional, yet unknown host factors to the pathogenesis of liver disease. Very recently, patients who developed antibodies against BSEP after liver transplantation for end-stage PFIC2 were reported [81, 82].

Such patients also develop persistent or transient severe BSEP deficiency syndrome. While one of these patients died, the others could be rescued by a more aggressive immunosuppressive regimen. This constitutes potentially a novel form of BSEP deficiency syndrome. However, it should be pointed out that the exact time point of the beginning of the (auto)immune reaction could not be determined in these patients.

Based on the clinical picture of severe BSEP deficiency syndrome, it can be concluded that BSEP is the only canalicular export system for bile salts. Unfortunately, information on functional consequences of mutant human BSEP forms can currently not be obtained from *in vivo* studies. Therefore, mutant and wild type forms of BSEP are functionally studied in heterologous expression systems. Using the Sf9 cell expression system, no substrate overlap between Bsep and the additional canalicular anion exporter Mrp2 was found [35]. Hence, also in *in vitro* studies, Mrp2 can not compensate for the loss of functional Bsep. Originally, seven BSEP mutations leading to severe BSEP deficiency syndrome, all affecting highly conserved amino acids, were studied in heterologous expression systems. These human mutations were introduced into the corresponding conserved positions of rat Bsep and subsequently expressed in MDCK cells [83]. Five of the studied mutations resulted in altered targeting of Bsep to the apical membrane, and mutant forms with reduced transport activity were identified. Hence, in principle information on the *in vivo* phenotype can be obtained from *in vitro* experiments [84]. However, in one study, the common D482G mutation displayed reduced transport activity [83], while the same mutation after being cloned into mouse Bsep displayed normal function [85]. Later, characterization of the D482G mutant BSEP form in HEK293 cells led to normal transport activity [86]. Also for the E297G variant, conflicting data on transport activity have been published [86, 87]. These discrepancies can potentially be explained by species differences of the Bsep backbone, differences in the interaction of BSEP with the membrane of the expression system or differences in RNA stability of this BSEP mutant in the different expression system. The latter should in principle not affect the results, as expression level of BSEP is controlled by Western blotting. Hence, while heterologous expression of BSEP is necessary to investigate the functional consequences of BSEP mutations, the results may be impacted by the choice of the expression systems and potentially also methodological differences between different labs and therefore have to be used with caution to understand clinical phenotypes of BSEP mutations.

Absent BSEP or BSEP lacking proper functional properties will lead to accumulation of bile salts within hepatocytes. Enhanced metabolism of bile salts within hepatocytes is a protective mechanism and leads to sulfated and glucuronidated bile salts [88, 89]. These metabolites are excreted into bile via the multidrug resistance protein MRP2 (ABCC2) [65], or back into the sinusoids by MRP3 (ABCC3) and MRP4 (ABCC4) [90], two salvage systems which help to reduce potentially cytotoxic intracellular bile salts. Recently, the heterodimeric organic solute transporter OST α -OST β was also found to be expressed in the basolateral hepatocyte membrane [91]. OST α -OST β is the major efflux transporter for bile salts from the enterocytes in

the ileum into the blood and therefore crucial for the reclaiming of bile salts from the small intestine [8]. It is therefore conceivable that OST α -OST β could also act as a basolateral salvage (efflux) system for bile salts. However, the relative contribution of these three adaptive basolateral bile salt salvage systems is currently not fully understood and needs to be worked out in detail. Despite these adaptive responses, hydrophobic bile salts will with time damage hepatocytes resulting in portal inflammation and giant-cell hepatitis. In addition, observations from patients with severe forms of BSEP deficiency syndrome have revealed that they are already at very young age at significant risk to develop hepatocellular carcinoma [74, 92]. The detailed mechanisms of bile salt induced cell transformation is not known in detail, but bile salt induced carcinogenesis may involve mitochondria [93, 94], interference with signaling cascades controlling cell cycle [95] and/or activation of homeobox genes [96]. Furthermore, it seems conceivable that elevated intracellular bile salt levels could interfere with DNA repair mechanisms.

ACQUIRED CHOLESTATIC LIVER DISEASE

A rather evident form of acquired cholestatic liver disease is bile duct obstruction caused by gall stones or tumors. It leads to extrahepatic cholestasis. In contrast, inhibition of BSEP by xenobiotics such as drugs or endogenous metabolites will lead to reduced canalicular bile salt secretion and consequently to intracellular accumulation of bile salts. If persistent, this will lead to clinical signs of intrahepatic cholestasis and ultimately to liver injury. Drug induced liver injury including cholestasis is a frequent clinical entity, which leads to a considerable number of hospital admissions and in severe cases requires liver transplantation [97]. Furthermore, adverse drug reactions resulting in liver injury are an important cause for attrition of drugs during development or for withdrawal of drugs from the market [98, 99]. It was found in medical inpatients that about 30 % of drug induced liver injuries are cholestatic or mixed [100].

Examples of drugs known to cause cholestasis in susceptible individuals are cyclosporine, rifampicin, rifamycin, glibenclamide or bosentan. Using the insect cell expression system, these drugs have been found to be competitive inhibitors of rat and human BSEP [35, 101, 102]. The endothelin receptor antagonist bosentan is an example of a drug, where the cholestatic potential has been worked out in detail. Bosentan is taken up by OATP1B1 and OATP1B3 into hepatocytes and its metabolites sequestered for biliary excretion, which is the main elimination route for bosentan [103]. Bosentan caused asymptomatic, reversible transaminase elevations in some patients, while being evaluated in clinical trials [104]. In these patients, plasma bile salt levels increased with increasing dose of bosentan. As a consequence of competitive inhibition of [102, 104], bosentan treatment of rats led to an elevation of plasma bile salt levels. This elevation of bile salts was more pronounced under coadministration of glibenclamide [104]. These findings strongly suggest that in patients *in vivo* bosentan acts as a competitive, specific BSEP inhibitor, since no elevation of serum bilirubin was found [104]. The list of Bsep/BSEP inhibitors is continuously growing [105]. Further investiga-

tion of the mechanism of bosentan-induced cholestasis in rats revealed that bosentan leads to a stimulation of bile flow [106]. This is somewhat unexpected since the pathophysiologic hallmark of cholestasis is a reduction of bile flow. However, bosentan did not affect biliary bile salt output. In combination with the increased bile salt-independent bile flow, this will lead to a lower bile salt concentration in canalicular bile concomitant with a reduced biliary lipid secretion. This in turn might negatively affect canalicular lipid composition and lipid asymmetry and hence contribute to intracellular accumulation of bile salts and other cholephilic substances [107]. The choleric effect of bosentan was dependent on the presence of functional Mrp2 [106]. In an additional *in vitro* study with the Sf9 cell system, bosentan mediated inhibition of rat and human BSEP was confirmed [108]. Importantly, the same study demonstrated a stimulation of rat and human MRP2 transport activity by bosentan and therefore offers a mechanistic explanation of the observed increase of bile salt-independent bile flow in rats.

Another form of a mixed genetic and acquired cholestasis is intrahepatic cholestasis of pregnancy [109]. Cholestasis of pregnancy often starts in the third trimester and is characterized by pruritus, and elevated serum bile salts and transaminases [109-112]. After delivery, cholestasis rapidly resolves. Findings of elevated serum levels of steroids during pregnancy link estrogen and its metabolites [113, 114] and progesterone and its metabolites to the pathogenesis of cholestasis of pregnancy [115-117]. This link is also supported by the observation of oral contraceptive induced cholestasis [114, 118]. Mice with a disrupted gene for the estrogen receptor α are insensitive to induction of cholestasis by estradiol treatment, indicating a key role of this receptor for the pathogenesis of estrogen induced cholestasis [119]. In rats, application of pharmacological doses of estradiol-17 β -glucuronide or progesterone sulfate has been found to cause acute cholestasis [120, 121]. Of note, estradiol-17 β -glucuronide induced cholestasis in rats is strictly dependent on the expression of Mrp2 in the canalicular membrane [122]. Therefore, estradiol-17 β -glucuronide was tested on rat Bsep expressed in Sf9 cell vesicles, but did not inhibit ATP-dependent taurocholate transport [35]. However, using Sf9 cell membrane vesicles expressing both, Bsep and Mrp2, estradiol-17 β -glucuronide inhibited taurocholate transport in a time- and dose-dependent manner [35]. It was concluded from this finding that Bsep is indirectly inhibited and this inhibition was postulated to reflect a trans-inhibition, i.e. estradiol-17 β -glucuronide needs to be secreted into the canalicular lumen for Bsep inhibition [35]. An alternate explanation could be a physical interaction of Bsep and Mrp2 in the membrane, mediated by estradiol-17 β -glucuronide [122]. Indirect inhibition of Bsep by estradiol-17 β -glucuronide was confirmed and extended to sulfated progesterone metabolites [121, 123] and also reported for the HER1/HER2 inhibitor PKI166 [124].

In summary, drug inhibition of BSEP followed by acquired cholestasis is now well established. The exact mechanism of BSEP inhibition is drug specific and can either be direct (competitive) or indirect. In the latter case, inhibition likely occurs from the side of the canalculus and requires in addition MRP2. Finally, drug activation of MRP2 leading to an increase of bile salt-independent bile flow may

aggravate the cholestatic potential of a drug. In addition, acute experiments with estradiol-17 β -glucuronide in rats have demonstrated that in less than 30 minutes a rapid internalization of part of Mrp2 and Bsep into a subapical, vesicular compartment occurs [125-127]. This internalization is dependent on Ca²⁺-dependent protein kinase C [128] and will consequently lead to a reduction of canalicular bile flow.

BSEP AS A POTENTIAL THERAPEUTIC TARGET

The general principle of therapeutic intervention in cholestatic liver disease is to restore or to enhance canalicular bile formation and consequently to lower intracellular load of hydrophobic bile salts in hepatocytes. Normal canalicular bile flow might also contribute to normalization of canalicular lipid composition. An additional benefit of increased canalicular bile formation is a lowering of the toxic potential of biliary bile salts to the bile ducts. In addition, induction of metabolism of hydrophobic bile salts as well as induction of the basolateral salvage systems is also a therapeutic option. An additional aspect of the treatment of cholestatic disorders is improvement of the quality of life. Prolonged cholestasis is often accompanied by severe pruritus, a condition having a severe negative impact on affected patients. Therefore, measures reducing this symptom, even if they are not able to cure patients, are highly needed and frequently used.

As there are multiple mechanism of BSEP related cholestasis, a variety of options are available to the physician. In obstructive forms of cholestasis, endoscopic or surgical removal of the obstruction or restoration of bile flow by endoscopic (or percutaneous) insertion of a stent into the obstructed bile duct is the standard procedure. It has however to be kept in mind that in particular insertion of a stent is not a cure, as it does not tackle the cause of the obstruction. In cases of drug induced cholestasis, discontinuation of the drug or lowering the dose of the drug is indicated. A good example for this is bosentan, where serum bile salt levels of the affected patients turned quickly back to normal after discontinuation of the drug [129]. However, in cases where additional mechanisms of liver injury are important, removal of the drug may not be sufficient. An example for this is the antidiabetic drug troglitazone, which was withdrawn from the market due to liver toxicity. While the exact mechanism of toxicity is not fully understood, mitochondrial toxicity of troglitazone is clearly relevant [130, 131]. In addition, troglitazone and its main metabolite are clearly cholestatic [132, 133]. In many patients, onset of liver injury was delayed and/or persisted after discontinuation of troglitazone [131]. In addition, patients with diabetes often suffer from non-alcoholic steatohepatitis, which may further complicate the disease course.

The situation with inherited defects of BSEP malfunction is very different. Gene therapy for such patients is currently not an option and it is very hard to predict at this moment, when it will become available. In case of non-functional BSEP mutants, therapeutic options are very limited. The main goals are to restore as much as possible canalicular bile salt secretion and to lower intracellular bile salt load, and hence prevent or lower the risk for fibrosis/cirrhosis and neoplastic transformations [134]. The latter point is important as PFIC2 patients have a considerable risk for

developing hepatocellular carcinoma at young age [74, 92]. While ursodeoxycholate (UDC) has proved to be a valuable option for patients with PFIC3, patients with PFIC2 show a mixed response to treatment with this bile salt [135]. UDC shifts the bile salt pool from more toxic hydrophobic bile salts to less toxic hydrophilic bile salts [136] and has been shown to upregulate canalicular transporter synthesis and membrane insertion at the post-transcriptional level [50, 137-140] and consequently stimulates bile flow. Thus, an adequate BSEP function once BSEP is inserted into its target apical membrane is of key importance for UDC to exert its anticholestatic effect. As BSEP structure and function are often compromised in PFIC2, it is not surprising that UDC is of limited therapeutic value for PFIC2. The C23 homologue of C24-UDC, norUDC, has been demonstrated in an experimental mouse model of cholestasis to exert strong anticholestatic and antifibrotic effects [141]. Studies on its efficacy in human cholangiopathies are awaited in the near future.

Pruritus is a major burden for patients with PFIC2. Its pathogenesis is yet unresolved [142]. The non-absorbable anion exchanger resin cholestyramine has been proposed for the symptomatic treatment of pruritus in cholestatic disorders of different origin and is, due to its low rate of serious side effects, widely used for this purpose at least temporarily [143]. However, evidence for its antipruritic efficacy from well performed, controlled studies is lacking [144]. Rifampicin is a potent antipruritic agent in cholestasis [143, 144]. In addition, rifampicin may abort cholestatic episodes in BRIC2 [134]. It is known to induce hydroxylation of hydrophobic bile salts in hepatocytes allowing them to be glucuronidated and consequently to lower the cytotoxic potential of these bile salts in cholestatic conditions [139]. Thus, rifampicin represents a therapeutic option for the medical treatment of pruritus in PFIC2 as well as for BRIC2.

FXR is a bile salt activated transcription factor that plays a critical role in bile salt homeostasis [145, 146]. FXR reduces the body load of bile salts by decreasing their biosynthesis (CYP7A1 (CYP, cytochrome P450) ↓, CYP8B1 ↓), and increasing their metabolism (CYP3A4 ↑, UGT2B4 ↑ (UGT, uridine diphosphate glucuronosyltransferase) UGT2B7 ↑) and hepatic (BSEP ↑) and intestinal (apical sodium dependent bile acid transporter, ASBT or SLC10A2 ↓) elimination. Based on these observations, FXR ligands may offer a rational treatment option for cholestatic liver diseases. The selective FXR agonist 6-ECDCa prevented bile flow impairment induced by lithocholic acid (LCA) or 17 α -ethinylestradiol (E₂-17 α) in rat models of cholestasis and protected hepatocytes against acute necrosis caused by LCA [147, 148]. *In vivo* administration of 6-ECDCa in rats led to enhanced expression of Bsep, Mrp2, Mdr2, and Shp, while it repressed Cyp7a1, Cyp8b1 and Ntcp mRNA expression. The first phase II pilot trial of 6-ECDCa in patients with primary biliary cirrhosis has recently been performed [149] and results are eagerly awaited. Stimulation of adaptive response to cholestasis by FXR agonists is likely to be beneficial in canalicular cholestasis, where secretory failure of hepatocytes is the cause in early partial/incomplete obstruction. In addition, an antifibrotic effect of FXR agonists has also been described [150].

Treatment options of pruritus in cholestatic disorders [142] include therapy of the underlying disease with UDC (13-15 mg/kg/d) when appropriate, the anion exchange resin cholestyramine (1-2 x 4g/d), the pregnane X receptor (PXR, NR1I2) agonist and enzyme inducer rifampicin (2 x 150 mg/d, max. 2 x 300 mg/d; cave: hepatitis after 6-12 weeks in $\leq 12\%$), the opioid antagonist, naltrexone (25-50 mg/d; cave: initial opioid withdrawal symptoms), and the serotonin reuptake inhibitor, sertraline (75 mg/d) [143].

UDC has been shown to be an effective therapy of pruritus in intrahepatic cholestasis of pregnancy, but not in primary biliary cirrhosis (PBC) [143]. However, no studies have so far been designed to selectively test the effect of UDC on pruritus in PBC or primary sclerosing cholangitis (PSC) as a primary endpoint. Circumstantial evidence rather than adequately performed randomized, placebo-controlled trials showed that cholestyramine exerts antipruritic effects in PBC. The PXR agonist and enzyme inducer rifampicin has been shown to effectively diminish pruritus in PBC and to be superior to the constitutive androgen receptor (CAR, NR1I3) agonist and enzyme inducer phenobarbital. The opioid receptor antagonist naltrexone may diminish pruritus when administered at doses of 25-50 mg/d (note: start with very low doses to prevent opioid withdrawal-like symptoms) and is easier to administer than the subcutaneously applied naloxone. The serotonin reuptake inhibitor, sertraline, induced some relief of pruritus in a randomized, placebo-controlled crossover study. Experimental and therapeutic approaches in otherwise treatment-resistant, desperate patients include plasmapheresis, albumin dialysis, plasma separation and anion absorption, nasobiliary drainage, biliary diversion and liver transplantation [143].

So far, treatment options for severe forms of PFIC2 are limited to partial biliary diversion and liver transplantation [143]. On two patients, hepatocyte transplantation was performed, but both needed a subsequent liver transplantation [151, 152]. However, as outlined above, some BSEP mutants display residual transport activity. A patient with benign recurrent intrahepatic cholestasis type 2 was identified as a carrier of combined heterozygous BSEP mutations (p.E297G and p.R432T) [87]. *In vitro* characterization of these mutations showed a massive reduction of their v_{max} compared to wild type BSEP. Hence, it may be possible to have a normal or less impaired liver function with less than normal BSEP in the canalicular membrane. In addition, in a cohort of 110 healthy liver samples, BSEP expression levels varied widely [153], again suggesting that less than normal BSEP levels lead to a sufficient bile secretory capacity of the liver. A further investigation of BSEP mutants in the MDCK cell expression system demonstrated that the E297G and the D482G mutant of BSEP reach the apical target membrane with a massively reduced efficiency compared to wild type BSEP [86]. Treating MDCK cells expressing these two mutants with 4-phenylbutyrate led to an increase of the BSEP mutants in the apical membrane of MDCK cells [154]. As both variants display at least residual transport activity, this finding may open a possibility for a pharmacologic treatment of some forms of inherited BSEP deficiency syndrome. Such an approach has become feasible now as it was recently demonstrated in three patients with ornithine transcarbamylase deficiency that treatment with 4-phenylacetate, a

metabolite of 4-phenylbutyrate [155] BSEP expression is upregulated at the protein, but not at the mRNA level [156].

CONCLUSION

Progress has been enormous in understanding physiology and pathophysiology of enterohepatic circulation of bile salts from the earliest concepts to the current understanding of molecular mechanisms of impaired BSEP function. While clear concepts on the pathogenesis of inherited and acquired forms of BSEP deficiency syndrome exist, therapeutic options are still limited and consist mainly of interventional procedures such as biliary diversion or liver transplantation [135]. Drug treatment has so far been mainly symptomatic with the exception of bland acquired cholestasis, where discontinuation of the insulting agent will usually cure the patient. Starting from the established interventions using ursodexycolate and/or inducers of liver detoxification, identification of novel drugs for treating cholestatic liver disease may lure around the corner.

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ABCC6 as a Target in Pseudoxanthoma Elasticum

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Abstract: The *ABCC6* gene encodes an organic anion transporter protein, ABCC6/MRP6. Mutations in the gene cause a rare, recessive genetic disease, pseudoxanthoma elasticum, while the loss of one *ABCC6* allele is a genetic risk factor in coronary artery disease. We review here the information available on gene structure, evolution as well as the present knowledge on its transcriptional regulation. We give a detailed description of the characteristics of the protein, and analyze the relationship between the distributions of missense disease-causing mutations in the predicted three-dimensional structure of the transporter, which suggests functional importance of the domain-domain interactions. Though neither the physiological function of the protein nor its role in the pathobiology of the diseases are known, a current hypothesis that ABCC6 may be involved in the efflux of one form of Vitamin K from the liver is discussed. Finally, we analyze potential strategies how the gene can be targeted on the transcriptional level to increase protein expression in order to compensate for reduced activity. In addition, pharmacologic correction of trafficking-defect mutants or suppression of stop codon mutations as potential future therapeutic interventions are also reviewed.

Keywords: Genetic disease, connective tissue, cardiovascular, transcriptional regulation, calcification, vitamin K, membrane proteins, homology model.

PSEUDOXANTHOMA ELASTICUM

Pseudoxanthoma elasticum (PXE, OMIM 264800) is a recessive genetic disorder with a prevalence of 1 : 25.000 – 100.000, affecting the elastic tissues of the body, including the skin, the arteries and the elastic Bruch's membrane in the eye. Patients most commonly present with characteristic papules in the skin during late childhood or adolescence and subsequently develop angioid streaks of the retina. Angioid streaks are associated with subretinal neovascularisation, which can lead to hemorrhage and partial or complete loss of central vision. The diagnosis of pseudoxanthoma elasticum is suspected in individuals with characteristic skin and ocular findings and is confirmed by histological findings on biopsy of lesional skin in which fragmented calcified elastic fibers are visualized by use of special histological stains (e.g. von Kossa staining).

The disease was first described in 1881 by D. Rigal [1] and Félix Balzer, but the term *Pseudoxanthoma elasticum* (PXE) was first used in 1896 by Jean-Ferdinand Darier [2]. In 1889 Robert W. Doyne was the first to describe angioid streaks, than Ester Grönblad and James Strandberg revealed the connection between PXE and angioid streaks in 1929 [3].

CUTANEOUS AND MUCOSAL MANIFESTATIONS

The first sign of PXE is usually yellowish papules on the neck and other flexor surfaces. These skin lesions vary in size from 1-5 mm and may be grouped or coalesce to form larger plaques (Fig. 1A). In most of the cases the skin loses its elasticity and becomes wrinkled and redundant. In addition to the neck, plaques may also appear on other areas,

such as axillae, inguinal region, antecubital and popliteal fossae, and periumbilical area during the progression of the disease. In some cases mucosal lesions, identical to the skin lesions, can be detected on the inside of the lower lip, vagina, and all along the digestive tract mucosal membrane [4].

The classic histological findings in PXE are ultrastructural elastic tissue abnormalities in the middle and lower dermis. In PXE patients elastin becomes fragmented and degenerated (See Fig. 1C). Deposition of calcium in the abnormal elastin matrix can be visualized by von Kossa or other histological stains for calcium [5]. Similar clinical findings may also be present in some other diseases for example in beta-thalassemia [6], focal dermal elastosis [7], cutis laxa [8], calciphylaxis [9] or Paget's disease [10].

However the ultra-structural histopathology is a hallmark of PXE. Even the skin findings in a highly related disease (PXE-like disorder with multiple coagulation factor deficiency) are in detail slightly different from the skin lesions found in PXE patients [11].

EYES

Symptoms eventually appear in the eyes in all cases of PXE (Fig. 1B). The affected areas are the Bruch's membrane and the retinal-pigmented epithelium (RPE).

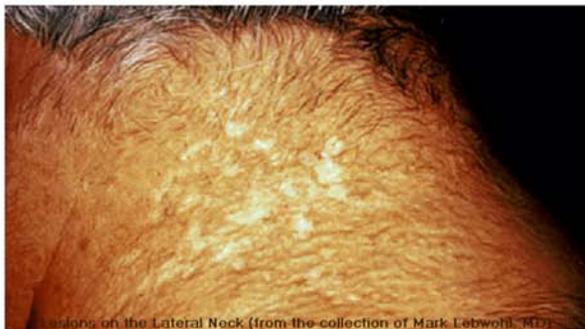
In PXE, calcification of dystrophic elastic fibers can be observed in the elastic layer of Bruch's membrane, similar to what is seen in the skin. Pigment irregularities, called *peau d'orange*, may appear in the RPE. Calcification and thickening of the Bruch's membrane, as well as loss of RPE pigment granules lead to the development of angioid streaks (AS) [12].

AS are dehiscences in Bruch's membrane, forming grayish to reddish irregular lines resembling vessels, emanat-

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ing from the optic disk. Due to the calcium deposition, Bruch's membrane becomes fragile, which is thought to be the major factor resulting in the dehiscences within the membrane. Later in the course of the disease fibrovascular tissue may grow through the damaged membrane, leading to choroidal neovascularization (CNV), subretinal fibrosis, atrophy of the overlying RPE and retinal hemorrhages [13].

A



B



C

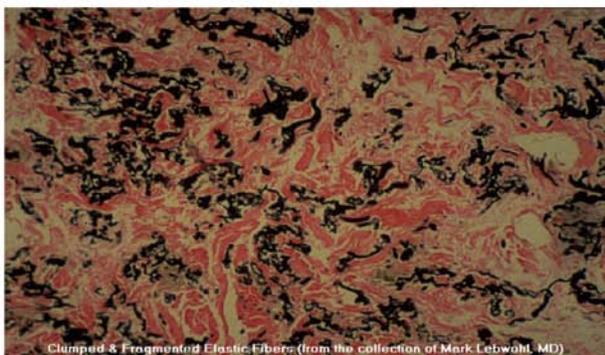


Fig. (1). Manifestation of pseudoxanthoma elasticum. **A:** skin symptoms; **B:** angioid streaks in the eye; **C:** von Kossa staining of calcium deposits and fragmented elastic fibers in the skin biopsy of a PXE patient. The pictures are from the PXE International, with permission.

Hemorrhages from the fragile new vessels can lead to partial or complete vision loss in PXE patients, starting

usually around the third or fourth decade of life. Central vision loss has the greatest impact on the quality of life in PXE patients, but thus far no preventive measures are available. Laser therapy can be used to stop the proliferation or the bleeding of submacular neovessels, but it may cause visual loss or central scotomas due to scarring, and a high rate of recurrence has been observed [14, 15]. A promising therapy to treat ocular symptoms of PXE may be the application of antiangiogenic drugs. There have been several publications documenting efficacy of intravitreal treatment with vascular endothelial growth factor (VEGF) monoclonal antibodies in case of age-related macular degeneration resulting in CNVs [16, 17]. Similar treatment was already applied for a small group of PXE patients, showing very promising results [18].

CARDIOVASCULAR SYSTEM

Calcification may affect the cardiovascular system, mostly the small and middle-sized arteries. Cardiovascular symptoms include diminished peripheral pulses, angina pectoris, hypertension, mitral-valve prolapse and restrictive cardiomyopathy [19-23]. One of the most common cardiovascular symptoms is intermittent claudication. Bleeding, especially gastrointestinal hemorrhages may also occur probably due to calcification of the elastic fibers in the small arteries located under the mucosa [24]. Myocardial infarction or other symptoms leading to sudden death are rare, but probably the most serious complication associated with PXE is the early onset of atherosclerosis [4].

ABCC6 AS A POTENTIAL GENETIC RISK FACTOR IN CORONARY ARTERY DISEASE (CAD)

A strong correlation between a sequence variant of the *ABCC6* gene (c.3421C>T leading to the p.R1141X nonsense mutation) and CAD has been demonstrated in a Dutch cohort [25]. However, a surprisingly high frequency of the mutant allele was observed in the control population raising doubts about the validity of this unique study on the association of *ABCC6* mutation carrier status and CAD. An independent population genetic study on a large Hungarian cohort confirmed the findings of the previous report [26]. A significant association of carrier status and CAD was observed (5/361 carriers p=0.016 OR=10.5 95%CI 1.22-90.30). These findings mean that one non-functional allele of *ABCC6* increases the risk of CAD significantly.

THE *ABCC6* GENE

In 2000 it was discovered by positional cloning that mutations in the *ABCC6* gene are responsible for the development of PXE [27-29]. Since the discovery of the connection between *ABCC6* mutations and PXE a large number of disease-causing mutations has been identified; the most frequent ones are p.R1141X (20 – 30%) and c.EX23_29del (5-15%). The high heterogeneity of PXE alleles in the population is comparable to that of other autosomal diseases. A locus-specific database has been established recently with the collection of the disease-causing mutations and other genetic variants and with link to other genetic databases [www.ncbi.nlm.nih.gov/lovd/home.php?select_db=ABCC6].

ABCC6 is located at 16p13.11 and codes for the ATP-binding cassette transporter protein, ABCC6/MRP6. The functional gene of 75 kb size consists of 31 exons. Two pseudogenes that are expressed at low levels and are positioned centromeric (*ABCC6-ψ1*) and telomeric (*ABCC6-ψ2*) of *ABCC6* have also been mapped [30]. Both *ABCC6-ψ1* and *ABCC6-ψ2* share a high degree of sequence similarity (~99%) with the functional gene, but are truncated in the fourth and ninth intron, respectively. The *ABCC6* locus is located in a genomic region that was subject to segmental duplications, a series of events thought to play a crucial role in the recent evolution of the *ABCC6* gene cluster [31]. Due to this evolutionary scenario chromosomal rearrangements, gene conversion and emergence of new genes have been observed.

There are several reports indicating that the *ABCC6* locus is genetically unstable. A rare fragile site (FRA16A) has been found in close centromeric proximity to *ABCC6* [32], while the breakpoint of rearranged Chromosome 16 in the acute non-lymphocytic leukemia cell line M4Eo was localized ~0.5 Mb telomeric of *ABCC6* [33, 34]. *ABCC1*, the gene located closest to *ABCC6* (8 kb apart) is frequently deleted in the drug-selected M4Eo cell line, and the concomitant amplification of *ABCC1* and *ABCC6* is observed in the SKOV3 ovarian carcinoma cell lines after multidrug selection [35].

TRANSCRIPTIONAL REGULATION

The initial characterization of the transcriptional regulation of the human *ABCC6* gene identified two evolutionarily conserved regions in the 5' sequence 10kb upstream from the translation start site [36]. Both regions harbor a CpG island (CGI), potential target of DNA methylation. Analysis of DNA methylation may give clues to the location of important regulatory regions of gene expression, as methylation is stable like an imprint. Methylated regions indicate silenced and unmethylated regions designate transcriptionally active sequences [37]. Bisulfite genomic sequencing was carried out to analyze both the distal and the proximal CGI in different cell lines expressing and non-expressing *ABCC6* [36]. Cell-type specific DNA methylation in the proximal CpG island was detected, which inversely correlated with the expression of the gene and suggested that this region plays an important role in the tissue-specific regulation of *ABCC6*.

Based on these data luciferase reporter gene assays were performed with sequential deletion promoter constructs. One silencer (between -713 and -332 bp) and one DNA methylation sensitive activator sequence (between -332 and -145 bp) were identified [36]. These data indicated that this region confers tissue-specificity to the *ABCC6* expression pattern. Further promoter mapping experiments confirmed these findings by identifying one tissue-specific regulator element (between -209 and -145 bp) and one further stronger activator sequence located between -234 and -209 bp [38].

The potential regulatory role of some transcription factors and cytokines has been suggested. The binding of the PLAG family of transcription factors and RXR has been convincingly demonstrated: they are able to transactivate the endogenous *ABCC6* gene, the binding site was determined by luciferase assay and the binding to the *ABCC6* promoter

in the natural chromatin environment was demonstrated by chromatin immunoprecipitation. However, their functional role is still unclear [38, 39]. The binding of NF-κB, SP1 and TGF-β has been also suggested but their functional role and their binding to the endogenous *ABCC6* promoter have not been tested [40].

Signal transduction pathways leading to the modulation of *ABCC6* expression have also been deciphered. Initially the activation by TGF-β and inhibition by TNF-α and IFN-γ were reported in luciferase reporter gene assays [40]. However, these effects have not yet been confirmed on the endogenous gene and the implicated signal transduction pathway was not identified. More recently, we found that activation of the MAP kinase ERK1/2 cascade leads to the significant inhibition of the expression of *ABCC6* in HepG2 and Caco-2 cell lines [41]. The detailed analysis of the molecular mechanism of this inhibition of *ABCC6* expression revealed that this is a direct effect on transcription initiation. Indeed, it was found that a degenerate but functional HNF4 (hepatocyte nuclear factor 4) binding site [42, 43] plays a pivotal role in the regulation of *ABCC6* [41]. While HNF4 binds tightly to the promoter of the gene under normal conditions this occupancy is dramatically reduced upon the activation of the ERK1/2 pathway leading to the decreased expression of *ABCC6*.

These experiments also revealed that mutated *ABCC6* promoter constructs with abolished HNF4 binding site have prevented further activation of the luciferase activity by the other transcription factors [41]. This strongly suggests that HNF4 is responsible for the tissue-specific regulation of *ABCC6*. It is worth to note that *ABCC6* is expressed at a detectable level only in tissues where HNF4 is expressed [44, 45].

Our further experiments on the transcriptional regulation of *ABCC6* identified a strong primate- and tissue- specific enhancer located in the first intron of the gene. We also demonstrated that the proteins binding to this enhancer form an activator complex with the proteins binding to the proximal promoter [46].

MODIFYING GENES AND PXE PHENOCOPIES

The PXE phenotype is highly variable even within a single family where patients have the same disease-causing mutations [4]. No clear genotype/phenotype correlation has been observed to date [47]. Finally, other diseases can mimic the PXE phenotype. The PXE-like syndrome is due to mutations in the gamma-glutamyl carboxylase (*GGCX*) gene [11], while certain genetic hemoglobinopathies (e.g. thalassemia) lead to slowly developing phenotypes similar to PXE [48]. Although the molecular mechanisms of the developing phenotype are not yet understood, in beta thalassemia mice a liver-specific down-regulation of *Abcc6* gene expression was observed [49]. Christian Gotting and his co-workers identified a number of genes modifying the disease course in a German cohort. They demonstrated that the *ABCC6* c.-219A>C promoter polymorphism is significantly less frequent in patients than in the control population [50]. They also showed that certain promoter polymorphism of the *SPPI* (secreted phosphoprotein 1, previously called: osteopontin) gene were more frequent in PXE patients than in

controls [51]. Furthermore, earlier disease onset is associated with polymorphisms of catalase, superoxide dismutase and glutathione peroxidase genes [52]. They also found that polymorphisms of the *VEGF* (vascular endothelial growth factor gene) are prognostic markers for ocular symptoms [53]. Similarly, it was observed that the c.2402C>G p.T801R polymorphism of the xylol-transferase II gene is associated with increased PXE severity [54].

THE ABCC6/MRP6 PROTEIN

The human proteome contains 48 ABC proteins; on the basis of sequence similarity they are grouped into seven subfamilies from A to G. The ABCC-subfamily includes twelve members; most of them are active transporters while ABCC7 (CFTR) acts as a chloride channel and probably regulates the action of other ion channels (*for more details, see the relevant chapter of the present issue: CFTR [ABCC7] target in Cystic Fibrosis*). Two other members of the subfamily, ABCC8 and 9 are K⁺-channel regulators operating as intracellular ATP/ADP sensors thus reporting about the metabolic state of the cells (*for more details, see the relevant chapter of the present issue: ABCC8/9 target in type 2 diabetes*).

The ABCC-proteins share the general features of the ABC-kingdom: they harbor two nucleotide-binding (ABC)-domains and two transmembrane domains (TMDs), each with six membrane-spanning helices (the so called “core structure”). It is a unique feature of some ABCC-type proteins (“long MRPs” like ABCC1, 2, 3, 6, 8, 9 and 10) that two additional domains are attached to the core structure N-

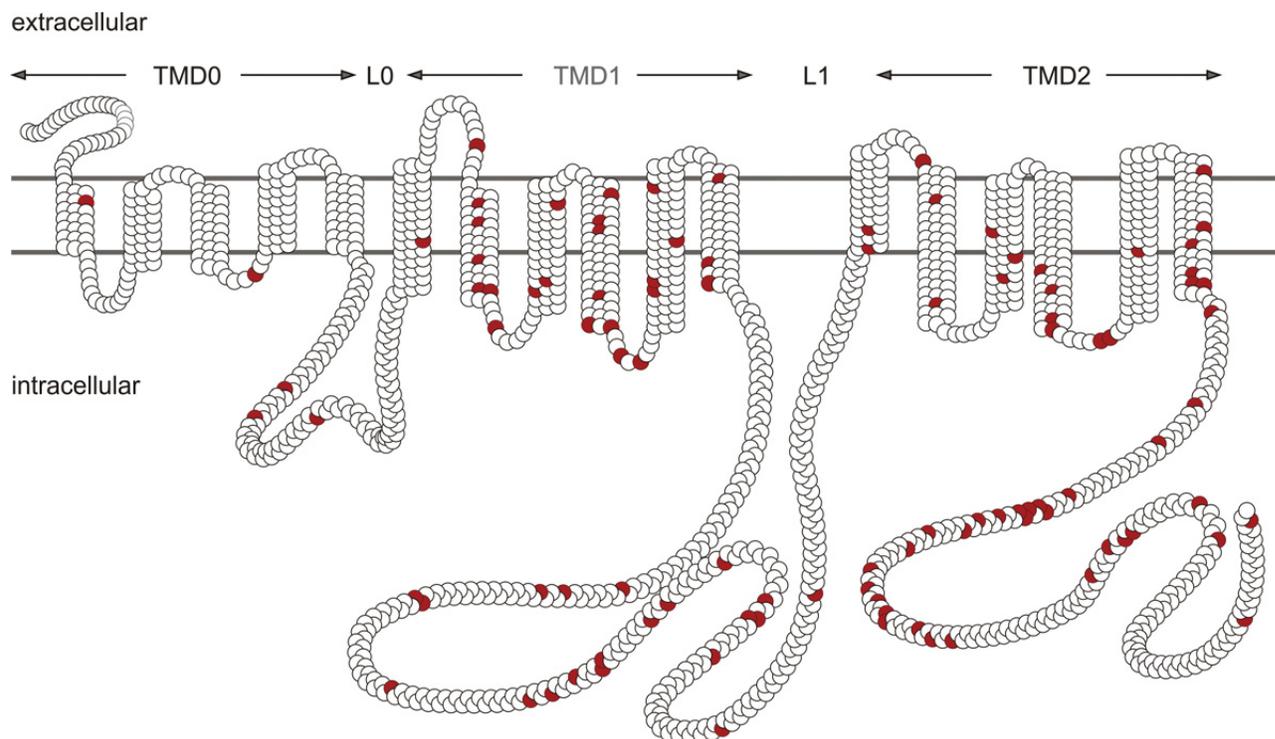
terminally: a transmembrane domain with five membrane spanning helices and an intracellular loop. Accordingly, the domain architecture of the long MRPs, including ABCC6/MRP6 is TMD0-L0-TMD1-L1-TMD2-ABC2 (L0 and L1 are intracellular loops) (Fig. 2 panel A). ABCC6 consists of 1503 amino acids and it is known that the protein functions as an organic anion transporter [55, 56]. Indeed, *in vitro* studies demonstrated the transport of glutathione-conjugates like glutathione S-conjugated leukotriene C4 (LTC4), N-ethylmaleimide S-glutathione (NEM-GS) and S-(2,4-dinitrophenyl) glutathione, while the rat orthologue transports an anionic cyclopentapeptide [57]. It has also been shown by *in vitro* assays that some missense mutations described as causative mutations in pseudoxanthoma elasticum result in the loss of ATP-dependent transport of test substrates [55]. Compared with its sub-family members (ABCC1-5) ABCC6 is a poorly characterized transporter. The protein shows significantly lower transport rate (turnover number) in *in vitro* assays than the other human ABCC-type transporters, which makes its detailed biochemical/functional characterization difficult.

It has been suggested that overexpression of ABCC6 is able to confer low level of resistance to several commonly used natural product anticancer agents like etoposide, doxorubicin, daunorubicin and actinomycin D [56]. However, clinically relevant ABCC6-mediated drug resistance has never been found.

Homology Models

No high-resolution three dimensional structure of ABCC6 is available. However, a three dimensional homo-

A



(Fig. 2) Contd.....

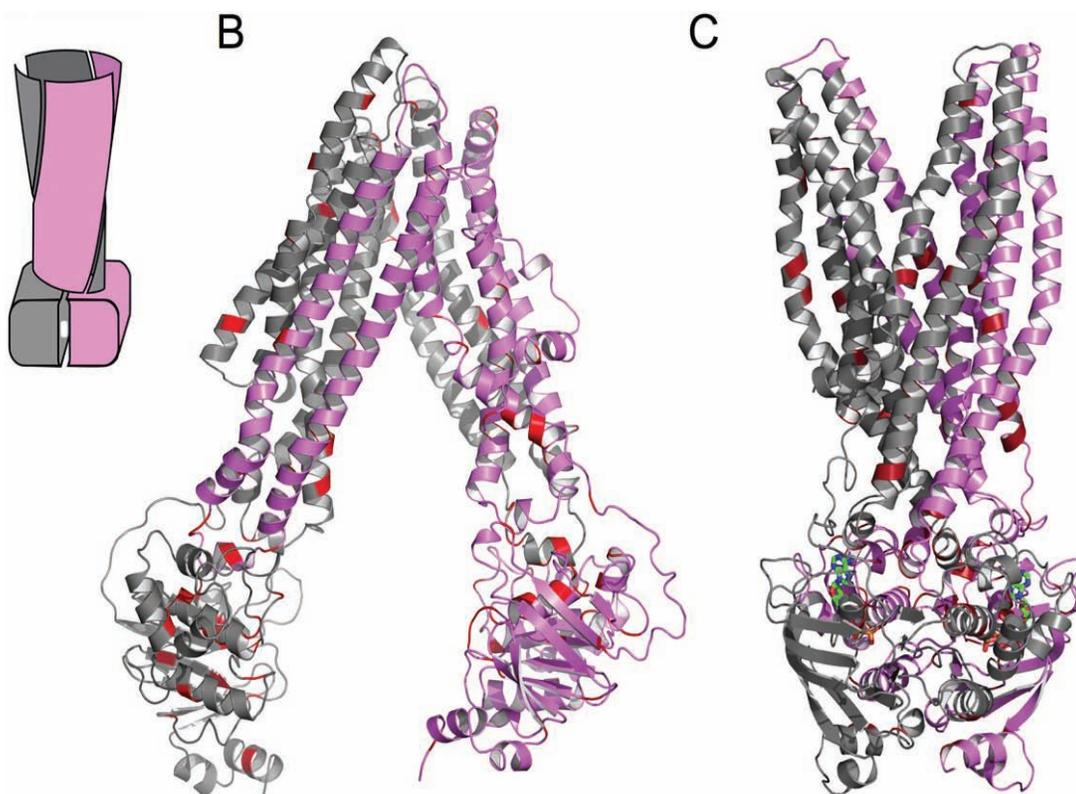


Fig. (2). Membrane topology and three dimensional homology models of ABCC6. Missense mutations are indicated in red. **A:** the membrane homology model and domain arrangements of ABCC6. **B:** three dimensional homology model of ABCC6 representing the outward facing conformation; **C:** three dimensional homology model of ABCC6 representing the nucleotide-free conformation, Insert: schematic representation of the domain swapping of ABC proteins.

logy model of ABCC6 is already built and published [58], made possible by the recent publication of high resolution crystalline structures of ABC proteins [59-61]. One of the structures representing the nucleotide-saturated, outward facing conformation shows that the two nucleotide-binding (ABC) domains are in close proximity to each other in the characteristic head-to-tail orientation reflecting to the previously described "nucleotide sandwich dimer" [62]. The other shows a nucleotide-free, substrate-saturated conformation. Newly recognized structural elements are the long "rigid" extensions of the transmembrane helices, called intracellular loops (ICL). Each half of the ABC proteins has two ICLs interacting with the ABC-domains. The coupling helices contact with their "own" as well as with the "opposite" ABC-domains, hence a special type of domain swapping can be recognized in the structure (see insert on Fig. 2).

We have constructed two homology models of human ABCC6 protein: one of the models is based on the Sav1866 bacterial ABC transporter structure [58] representing a nucleotide-saturated conformational state, while the other one uses the recently published mouse *Abcb1* structure as template and represents the nucleotide-free (apo) conformation. The two models are illustrated on Fig. (2), Panel **B** and **C**. By performing a statistical analysis we have found a significant clustering of the missense PXE-mutations at the domain-domain interfaces: at the transmission interface that

involves four intracellular loops (ICLs) and the two ABC domains as well as at the ABC - ABC interacting surfaces. In the nucleotide-saturated model the mutations affecting these regions are 2.75 and 3.53 fold more frequent than the average mutational rate along the protein sequence, respectively [58]. At the predicted ICL-ABC interfaces in the nucleotide-free model the mutational rate is 4.25-fold more frequent than the average mutational rate along the protein sequence (the ABC domains are distant in this conformation). The observed significant clustering means that the domain contacts are much less permissive to amino acid replacements than the rest of the protein. These results provide a "bridge" between genetic data and protein structure and can be viewed as novel proof of the importance of the studied domain-domain interactions in the ABCC6 transporter.

ANIMAL MODELS

Abcc6 knock out mouse models were generated and the critical role of *Abcc6* in ectopic mineralization/calcification has been confirmed in the *Abcc6*^{-/-} mice which recapitulates the genetic, histopathologic and ultrastructural features of PXE [63, 64]. These findings suggest that the function of this transporter is conserved in the mouse. Calcification in the vibrissae capsules is the first symptom of the calcification phenotype detected at the 8 to 10 weeks of age and serves as an early biological marker of the disease [63]. A slight

alteration of plasma lipid composition of the *Abcc6*^{-/-} mice has also been reported [64]. The KO mouse models have been utilized for physiological and for pharmacological studies that are discussed elsewhere in this paper.

Dystrophic Cardiac Calcification (DCC) in the mouse is an autosomal recessive trait in certain laboratory strains and the *Abcc6* gene locus has been recently found as a main mediator of DCC at the *Dyscalc1* locus [65, 66]. A splicing error in processing of *Abcc6* mRNA has been identified as the causative genetic event ("splice-mutation") of DCC. This mouse shows a more pronounced arterial calcification phenotype than the one observed in the laboratory-generated *Abcc6*^{-/-} mice strains (presumably due to the different genetic background) and seems to be as good model of PXE as the latter.

The zebrafish (*Danio rerio*) has nearly the same *ABC* gene repertoire as the human and has accessible and well-characterized embryo. Two morpholinos were designed targeting two different regions of the *Abcc6a* gene, and it was observed that they decrease *Abcc6a* expression by 54 and 81%. Both morpholinos induced a similar phenotype, cardiac edema and curled tail. Microinjecting zebrafish larvae with full-length mouse *Abcc6* mRNA completely rescued the knockdown phenotype [67]. These recent results serve as basis of a novel knockdown animal model system. However, the results provided by this model may not be translated directly to human physiology, as the zebrafish gene appears to be essential for the development of the animal.

THE "VITAMIN K HYPOTHESIS" OF PXE

ABCC6 is predominantly expressed in the liver in the basolateral compartment of the plasma membrane of the hepatocyte (and to a lesser extent in the kidney and the intestine), while the symptoms are systemic affecting various organs. This apparent discrepancy led to the hypothesis that PXE is a metabolic disease suggesting that ABCC6 is involved in the secretion of a metabolite from the liver into the circulation [68]. Recent experiments demonstrated that grafting of wt mouse muzzle skin onto the back of KO mice triggered mineralization, whereas grafting KO mouse muzzle skin onto wt mice was accompanied with no mineralization [69]. These transplantation experiments argue that PXE is indeed a metabolic disorder. Furthermore, in a parabiotic experiment the surgical pairing of *Abcc6*(-/-) mice with wild-type prevented the mineralization of the connective tissue in the knockout mice [70].

There have been a few case reports of a disease that phenotypically resembled pseudoxanthoma elasticum with respect to the mineralization of soft tissues causing cardiovascular, dermal and ocular symptoms. However, these patients suffer from a vitamin K-dependent coagulation factor deficiency which is not seen in PXE [71-73]. The disorder is extremely rare and for decades its molecular basis remained unknown. Also, no mutations in the *ABCC6* gene could be detected in these individuals, suggesting that mutation of another gene could also cause PXE-like soft tissue calcification. The identity of this enigmatic gene was unraveled recently [11], and the clinical condition was classified as a novel disorder: PXE-like disease (pseudoxan-

thoma elasticum-like disorder with multiple coagulation factor deficiency, OMIM 610842). Six patients were found to possess compound heterozygous mutations in the gamma-glutamyl carboxylase (*GGCX*) gene.

The *GGCX* gene encodes the gamma-glutamyl carboxylase enzyme (GGCX), an ER (endoplasmic reticulum)-resident protein, responsible for post-synthetic carboxylation of Gla-domain containing proteins to which they confer Ca-binding properties [74]. During the carboxylation reaction, vitamin K (VitK) is oxidized to an epoxide form, which is then re-reduced by another enzyme, Vitamin K oxidoreductase (VKORC1), thereby completing the VitK-cycle [75]. Proteins with Gla residues bind calcium (and certain other divalent cations) and this property is required for their physiological function. The best-known members of this group of proteins are the vitamin K-dependent coagulation factors produced in and secreted from the liver. The reduced activity of the GGCX enzyme explains the coagulation deficiency in PXE-like patients. Another gamma glutamyl carboxylated protein is MGP (matrix gla protein) which is a potent inhibitor of connective tissue mineralization and its function is essentially dependent on the correct carboxylation of the protein [76-78]. Insufficient carboxylation of MGP might thus be responsible for the soft tissue calcification in PXE-like patients. MGP can be detected in mineralized tissues of individuals with classic PXE as well as in *Abcc6* mutant mice [79-81]. Using antibodies specifically recognizing the non-carboxylated and the carboxylated forms of MGP, it has been shown that sites of ectopic calcification only contained the undercarboxylated form of MGP [80, 81]. These data led to the hypothesis that ABCC6 could directly or indirectly influence the availability of vitamin K, or the capacity of the vitamin K cycle at peripheral tissues. The highly similar phenotypic features of the two diseases evoked hypotheses about their overlapping pathophysiology. In PXE-like disease – due to the mutations of the GGCX enzyme - the Gla-gammacarboxylation is reduced in the liver, thus resulting in blood coagulation abnormality, and also in the extrahepatic soft tissues where the control of calcification is impaired. In classical PXE gamma carboxylation is normal in the liver as there is no mutation in *GGCX*. According to the current hypothesis, in extrahepatic tissues gamma carboxylation is lower than normal as Vitamin K available for the carboxylation cycle may be limited in those tissues.

This notion is supported by the very recent finding that the level of circulating vitamin K1 is lower in PXE patients as compared to healthy controls [82]. It is notable that the variability between patients is high and the range of measured vitamin K1 levels in the control group overlaps with that of the PXE patient group. Collectively, these data raises the possibility that one form of Vitamin K is transported from the liver into the circulation, and this transport is mediated by ABCC6 (and is missing in PXE due to ABCC6 mutations) [83].

On the other hand, the fact that PXE is a slowly progressive disease suggests that the metabolite transported by ABCC6 may only be reduced but not completely absent in the circulation of patients. Besides being a co-factor of gamma carboxylation, VitK may have other physiological functions, including transcriptional regulation and protection

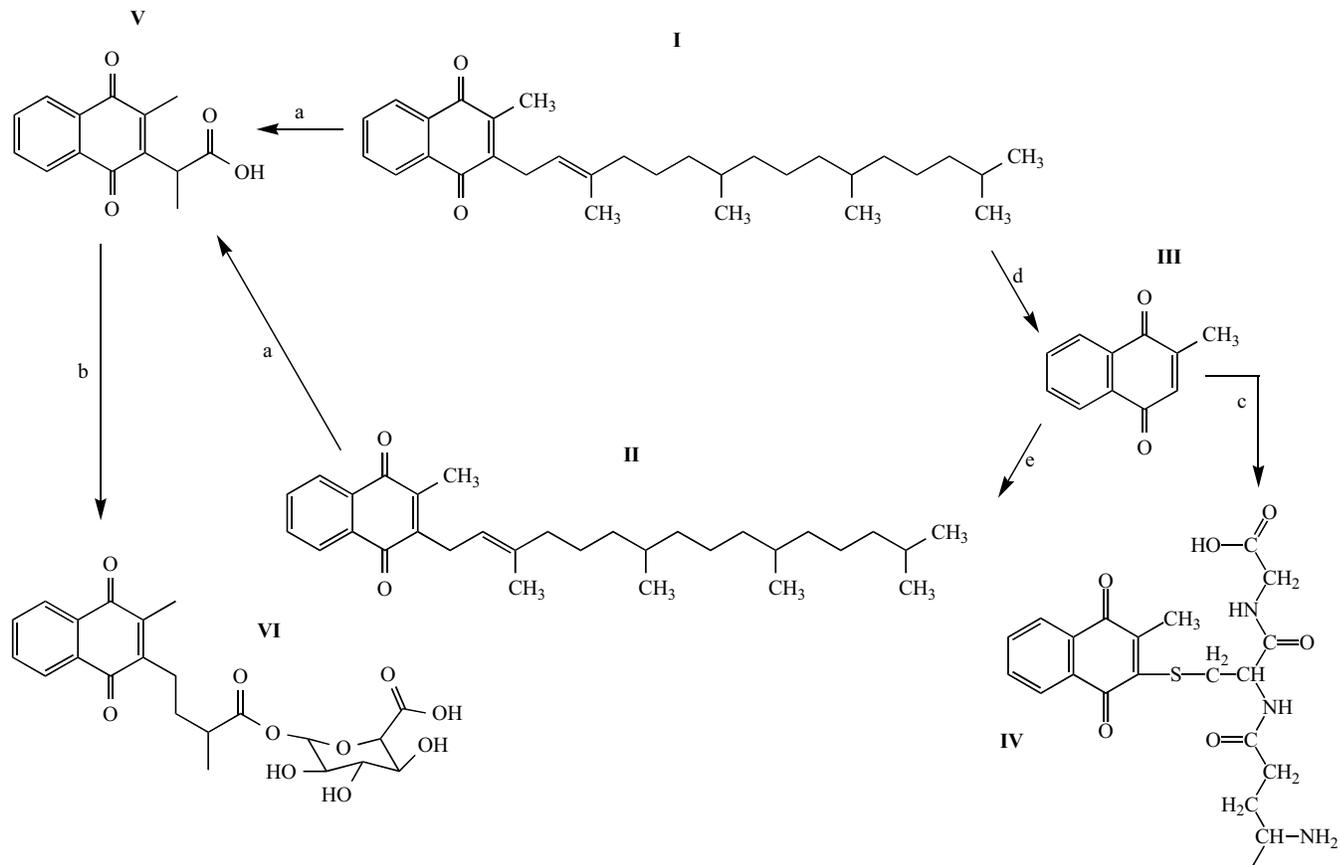


Fig. (3). The major Vitamin K forms and metabolites. I: VitK1; II: MK4 (VitK2); III: VitK3 (menadione); IV: VitK3-glutathione conjugate; V: VitK aglycone; VI: aglycone glucuronide. Beta-oxidation is represented by reaction a; glucuronidation by b; glutathione conjugation by c; conversion of VitK1 to VitK3 by d; while resynthesis of the sidechain generating MK4 by e.

of certain neuronal cells from oxidative injury [84]. These findings need to be taken into consideration when studying the connections between vitamin K status, ABCC6 and PXE. Whether there is a connection between protection against oxidative stress by VitK and the chronic oxidative stress measured in the serum and cells of PXE patients [52, 85, 86] is unknown and needs further investigation.

Currently, the exact metabolic pathway of Vitamin K is not known in detail. Dietary Vitamin K (phyloquinone, VitK1) is mostly utilized in the liver to serve as a cofactor in blood clotting factor synthesis. Part of Vitamin K1 is converted by side-chain removal to Vitamin K3 (also known as menadione) probably in the enterocytes [87]. K3 can be taken up by the extrahepatic tissues and the complex aliphatic side chain is substituted to the naphthoquinone core thus generating MK4 (a menaquinone, also called Vitamin K2), which is available for the Vitamin K cycle of the extrahepatic tissues [88]. It is known that both Vitamin K1 and MK4 are metabolized to a common catabolite after beta-oxidation of the side-chains and subsequent glucuronidation and the conjugate is secreted into the urine [89]. K3 can be conjugated with glutathione. The known metabolic events and vitamin K compounds are shown in Fig. (3).

In principle, any of the Vitamin K metabolites or molecular forms (see Fig. 3) could be the transported substrate(s) of ABCC6, that, according to the "Vitamin K hypothesis",

control(s) indirectly - via gamma-carboxylation of Ca-binding proteins like MGP - the formation of calcium-deposits in the arterial wall and in other soft tissues. However, the key role of Vitamin K in the disease phenotypes associated with ABCC6 has not been proven.

Indeed, very recent independent studies challenged the "Vitamin K theory". In one of the papers [90] it was demonstrated that oral administration of massive amount of vitamin K2 did not alter the ectopic mineralization in *Abcc6*^{-/-} mice. Similarly, intravenous administration of Vitamin K3-glutathione conjugate (K3-GSH) did not alter the degree of mineralization. Furthermore, the same authors also found that vitamin K2, K3 and K3-GSH has no effect in an *in vitro* calcification system, i.e. they did not trigger any mineralization inhibition.

In the other study [91] *Abcc6*^{-/-} mice were placed on a diet of either 5 or 100 mg/kg of vitamin K1 or K2 at prenatal, 3 weeks or 3 months of age. These authors also found no significant change in the levels of pathologic calcification irrespective which type of administration was used. However, measuring the plasma levels of different Vitamin K forms resulted in a very interesting observation: upon the same administration the level of Vitamin K1 in the plasma of wt mice was significantly higher than in the plasma of the *Abcc6*^{-/-} animals. The same was true when Vitamin K2 was administered orally. These results suggest

that *Abcc6* might be involved in vitamin K transport, absorption or metabolism in the body to some degree, which is in agreement with the observations discussed in the previous paragraphs [81].

Unequivocally, both studies provided evidence that dietary supplementation in vitamin K is not a viable approach to prevent PXE-related calcification thus suggesting that the availability of vitamin K is not a limiting factor in the pathology of PXE.

Local expression of *ABCC6* has been shown in several tissues and cell types, e.g. keratinocytes, fibroblasts, smooth muscle cells and macrophages [92-94], some of those are affected in PXE. The local effect of missing *ABCC6* activity may also contribute to the progression of the disease.

ABCC6 AS A DRUG TARGET

Two disease conditions are associated with mutations in the *ABCC6* gene: pseudoxanthoma elasticum is a recessive trait due to mutations in both *ABCC6* alleles, while the loss of one functional *ABCC6* allele is a genetic risk factor in coronary artery disease, CAD and possibly stroke [95]. As the phenotype in both cases is due to complete or partial loss of *ABCC6* activity, augmentation-type gene therapy – in principle – could be an effective treatment of the disease conditions. However, even if all the safety concerns of gene therapy were solved, there would be questions to be answered: is it sufficient to restore *ABCC6* activity only in the liver, or it is also needed to do in other organs with lower level of expression (e.g. kidney)?

Some of the PXE-causing *ABCC6* mutations may result in only partial loss of function of the protein. Induction of expression of such a low activity mutant – in theory – could potentially be therapeutic in these cases. The induction of *ABCC6* expression could be achieved at distinct levels: increased transcription, increased RNA stability or increased protein stability. However, we have almost no information to date about the regulation of *ABCC6* RNA and protein stability. Therefore, currently the only way to develop a hypothesis-driven therapy based on increased *ABCC6* expression is to intervene at the transcriptional regulation of the gene. As discussed previously the transcriptional regulation of *ABCC6* was investigated by different groups and the potential role of several transcription factors has been suggested [38-40]. However, only the role of ERK1/2-HNF4 pathway has been analyzed in a potential physiological context. As previously mentioned, HNF4 is a *bona fide* activator of the gene, while the activation of the ERK1/2 pathway inhibits HNF4 and thereby the expression of *ABCC6* [41].

ERK1/2 regulate several physiological processes, such as cell growth and proliferation, differentiation, survival and apoptosis [96]. The pathway is activated by a number of stimuli, like growth factors and environmental stresses (e.g. oxidative stress) or through various G protein coupled receptors [97]. The pathway has a low basal activity and upon activation it is rapidly deactivated by different phosphatases the most important among them being PP2A (protein phosphatase 2A). PP2A itself is under the control of protein kinase A and other signaling cascades [98].

An option to increase the expression level of the *ABCC6* gene would be the inhibition of ERK1/2 activation in hepatocytes. Due to the myriad of ERK1/2 activating factors, the low basal activity and the fast turnover of the signals, inhibitory stimuli of ERK1/2 are not easy to find. However, some of the anticancer therapies are targeting the ERK1/2 pathway and promising results have been obtained both *in vivo* and *in vitro* in inhibiting cancer progression [99, 100]. It is highly probable that new generations of these molecules will become available. These molecules might have beneficial effect in some patients because only a slight inhibitory effect would be necessary in the case of PXE symptoms, the toxic effect of the molecules on other tissues would be diminished. Furthermore, in some cases patients are suffering from chronic oxidative stress and develop secondary PXE (e.g. in beta-thalassemia) [48, 49]. These patients treated with ERK1/2 inhibitors and/or an anti-oxidative stress therapy might also receive therapeutic benefit. Similarly, the *ABCC6* mutation carriers also suffer from mild oxidative stress [52, 101], a condition potentially inhibiting the expression of the gene from the remaining allele. These patients could presumably benefit from the same therapy to prevent the development of CAD.

ERK1/2 has a wide variety of targets. One of them is HNF4, which is inactivated either directly or indirectly by the kinase [102, 103]. HNF4 transcription factor is a major regulator of the expression of *ABCC6* and also a master regulator of metabolism in hepatocytes. By influencing the global metabolic state of the liver one can induce or inhibit the expression and/or the activity of HNF4. Accordingly, it has been demonstrated that high insulin levels (e.g. in diabetic mouse models HNF4) is downregulated [104], while in fasting states functional HNF4 level is increased [105] leading to hepatic gluconeogenesis. Similarly, it has been shown, that HNF4 is up-regulated by glucocorticoid hormones [106]. These conditions might contribute to a higher *ABCC6* expression level and diminishing or eliminating the PXE symptoms in some PXE and probably the beta thalassemic patients.

Missense disease-causing mutations can reduce the transport activity and/or the overall stability of the transporter, or may result in a slightly altered conformation that is not compatible with the normal trafficking of the protein to the plasma membrane. Indeed, defective protein trafficking caused by mutations underlies many human diseases and examples include several membrane-embedded ABC-proteins (like *ABCC7/CFTR*, *ABCC2*, *ABCC8/SUR1* or *ABCB11/BSEP*). Efforts to identify pharmacologic compounds to correct the misfolding and/or misprocessing of mutant membrane proteins have already resulted in a few remarkable findings, and are considered as the molecular basis of allele-specific therapy of the given disease (see e.g. [107]). This observation raises the possibility that pharmacological compounds (acting either as “chemical chaperones” or interfering with the quality control of the protein sorting/processing mechanism) may correct the defect causing the disease in a group of patients. Substrates and modulators of *ABCB1* have been demonstrated to act as chemical chaperones thus helping the appearance of fully mature protein at the cell surface in the case of processing *ABCB1* mutants [108]. The first effort of promising correction of folding of

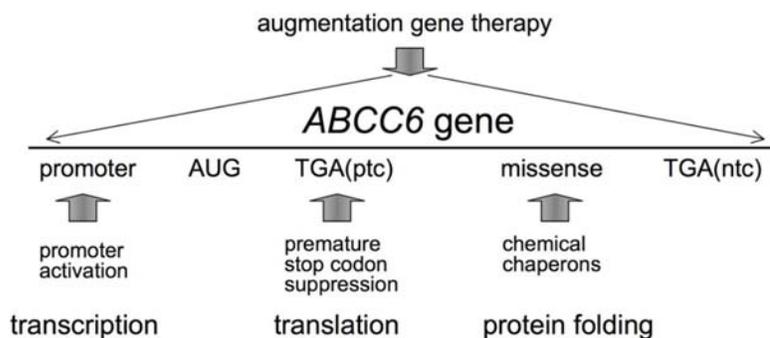


Fig. (4). Targets of potential therapeutical interventions. Solid vertical arrows represent the target of intervention; TGA(ptc) means TGA premature termination codon (e.g. R1141X in several PXE patients); TGA(ntc) means TGA at the natural termination codon position (1504 in ABCC6 mRNA).

the $\Delta 508$ CFTR mutant was achieved by curcumin, which might act as a chemical chaperone [109].

Several studies demonstrated that sodium 4-phenylbutyrate (4-PBA) can restore the cellular trafficking of a mutated ABCC7/CFTR ($\Delta F508$) and its cellular function were restored – at least partly - in both cultured cells and in cystic fibrosis patients [110-112]. 4-PBA is approved for clinical use in human subjects in urea cycle disorder [113]. As a “chemical chaperon” it is thought to interfere with the Hsc70 protein in the endoplasmic reticulum, allowing a proportion of misfolded proteins to escape association with the chaperon thus improving cellular trafficking [114], and this effect is independent of its function as a drug of urea cycle disorders.

We have embarked upon a similar project on missense disease-causing mutants of ABCC6 utilizing *in vitro* and *in vivo* approaches [115]. First we study missense mutants by expression in insect cells. This establishes the transport characteristics and identifies those ABCC6 mutants with stability and preserved transport activity. To investigate the intracellular targeting of the ABCC6 mutants we developed a novel experimental approach to express the human ABCC6 variants in the liver of living mice. This approach provides a unique insight into the intracellular processing of a human ABC transporter in physiological conditions very similar to the biology of the fully differentiated human liver cells. Our preliminary results demonstrate that this complex experimental strategy is capable to determine those mutants which are potential targets of chemical chaperon- based allele-specific intervention. Indeed, by 4-PBA treatment of mice transiently expressing human ABCC6 variants we could identify one PXE mutant protein reverting its exclusively intracellular localization to a near normal plasma membrane distribution in mouse liver cells *in vivo*.

The most frequent mutation in PXE is the R1141X nonsense mutation. It has been shown that aminoglycoside antibiotics like gentamicin can suppress premature stop codon arrest of translation by inducing the ribosome to read through (or “suppress”) the nonsense mutation via insertion of an amino acid. It was demonstrated in a muscular dystrophy mouse model that aminoglycosides could suppress stop codons not only *in vitro* but also *in vivo* [116]. However, the efficacy of the “read through” may be quite low. Enhanced production of active protein from genes with nonsense mutations can be achieved by combining treatment

by inducing the promoter of the gene and the application of “read through” agents [117]. A promising new non-aminoglycoside agent of suppressing premature termination codons is PTC124 (Ataluren), which is in clinical trial in cystic fibrosis, in Hemophilia A and B and in Duchenne muscular dystrophy [118]. These strategies can now be tested in experiments with the aim of correcting the frequent ABCC6 R1141X stop codon mutation.

In Fig. (4) we have summarized the potential targets of the therapeutical interventions discussed above.

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ABBREVIATIONS

ABC	=	ATP-binding cassette
ABCC6	=	ATP-binding cassette protein, family C, number 6
AS	=	Angioid streak
CAD	=	Coronary artery disease
CGI	=	CpG island
ChIP	=	Chromatin immunoprecipitation
CNV	=	Choroidal neovascularization
DCC	=	Dystrophic cardiac calcification
ERK1/2	=	Extracellular signal-regulated kinase 1/2
GGCX	=	γ -Glutamyl carboxylase
HNF4	=	Hepatocyte nuclear factor 4
K1	=	Vitamin K1
K2/MK4	=	Vitamin K2/menaquinone
K3	=	Vitamin K3/menadiolone
KO	=	Knock out

MAPK	=	Mitogen-activated protein kinase
MGP	=	Matrix gla protein
MRP	=	Multidrug resistance-associated protein
PXE	=	Pseudoxanthoma elasticum
RPE	=	Retinal pigmented epithelium
VEGF	=	Vascular endothelial growth factor
VKOR	=	Vitamin K oxido-reductase
wt	=	Wild type

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Targeting CFTR: How to Treat Cystic Fibrosis by CFTR-Repairing Therapies

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Abstract: Several novel compounds recently appeared as promising leads to develop effective drugs against the basic defect in Cystic fibrosis (CF) and the first rationale therapies for CF relying on the understanding of the basic defect started to hit the clinical setting.

Most of these efforts are focused on correcting the F508del mutation (occurring in ~90% of CF patients) which causes misfolding of the CF transmembrane conductance regulator (CFTR) protein, the intracellular retention of such abnormal conformation by the endoplasmic reticulum quality control and premature degradation, thus precluding CFTR from reaching the cell membrane where it normally functions as a cAMP-stimulated Cl⁻ channel.

Here, several rationale therapeutic strategies are briefly reviewed, namely, mutation-specific (or "CFTR-repairing") approaches (with a particular focus on the cellular defect associated with F508del-CFTR), manipulation of other ionic (non-CFTR) conductances and gene therapy. Still more innovative strategies, such as manipulation of the proteostasis network, displacement of molecular chaperones, targeting mutant CFTR by *in silico* small-molecule screens and systems biology approaches are also discussed.

Keywords: Basic defect, CFTR modulator, corrector, F508del, drug discovery.

INTRODUCTION

Cystic Fibrosis and CFTR

Cystic Fibrosis (CF) is the most common, lethal monogenic disorder, estimated to affect 30,000 individuals in the European Union and about the same number in the US [1], with an incidence of 1 per 2,500-4,000 newborns. Clinically, CF is dominated by the involvement of the respiratory tract, the main cause of morbidity and mortality, through airway obstruction by thick mucus and chronic infections, especially by *Pseudomonas aeruginosa*, eventually leading to loss of the respiratory function [2]. Other CF symptoms include pancreatic dysfunction, elevated sweat electrolytes, male infertility, intestinal obstruction, liver cirrhosis, etc. [3]. However, there is wide clinical variability in organ involvement from classical severe forms to milder and atypical presentations [4]. Despite impressive advances in relating the molecular basis of CF to organ-level disease, CF is still a very limiting disorder, both in terms of life expectancy (37 yrs in the US) and quality of life [5].

The disease is caused by dysfunction of a single gene, spanning a genomic region of ~190 Kb and consisting of 27 exons, which codes for the CF transmembrane conductance regulator (CFTR) protein [6], a cAMP-activated chloride (Cl⁻) channel, expressed at the apical membrane of most surface epithelial cells lining the airways, the gastrointestinal

tract and the ducts of several glands, like the pancreas, airways submucosal and sweat glands [7]. Due to the absence/impairment of functional CFTR, very little or no CFTR-mediated Cl⁻ transport is detected in CF epithelia. Various other ion transporters are also regulated by CFTR, above all the epithelial Na⁺ channel (ENaC) - a major regulator of salt and water re-absorption which is downregulated by active CFTR [8, 9]. In turn, this ENaC hyperabsorption which results from defective/lack of CFTR, has been postulated to lead to water depletion from the airway surface liquid (ASL) in CF and consequent reduction in its height and fluidity [10]. This phenomenon is believed to contribute to the deleterious cascade of mucus accumulation, infection, inflammation and destruction that characterizes CF lung disease [11].

CFTR itself (also ABCC7), a member of the ABC transporter superfamily, is a large multidomain protein comprising 1480 amino acid residues arranged in two membrane spanning domains (MSD1/2), two nucleotide (ATP) binding domains (NBD1/2) and a unique highly polar regulatory domain (RD) with multiple putative phosphorylation sites [12]. For correct appearance of functional CFTR at the surface of epithelial cells, multiple cellular processes have to occur in an accurate and coordinated way. These start by the production and splicing of the long CFTR primary transcript, followed by correct processing of the protein along the secretory pathway and insertion in the apical membrane and ending in the adequate activation of the channel in a tightly regulated fashion.

Each of the numerous CFTR gene mutations so far reported to cause CF [13] disrupt protein function in distinct ways and by affecting one or more of these processes. In order to tackle all these different gene mutations towards

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corrective (or "CFTR-repairing") therapy, they have been grouped into functional classes. However, before describing this classification of mutations and respective therapeutic strategies (see below under "*Functional Classes of CFTR Mutations and CFTR-Repairing Therapies*"), a brief overview of the mutations themselves as well as their molecular and cellular consequences is provided hereunder.

CFTR Gene Mutations

More than 1,500 alterations have been described to date in the CFTR gene [13], the majority of which are pathologic. They have the following distribution: missense (41.5%); frameshift (16%); splicing (12.5%); nonsense (9.5%); large (3%) and in-frame (2%) deletions/ insertions; and promoter (0.5%); plus 15% of presumed non-pathological variants [13]. However, the most common cause of CF is a deletion of 3 nucleotides resulting in loss of phenylalanine at position 508 of the polypeptidic chain [14, 15]. This mutation, termed F508del and accounting for ~70% of CF chromosomes worldwide, occurs in ~90% of CF patients in at least one allele and is associated with a severe clinical phenotype [16]. Generally, a higher frequency of this mutation is observed in Northern vs. Southern European populations [17, 18].

Such a wide spectrum of mutations in the CFTR gene comprises a large number of uncommon variants for which disease prognosis is difficult, also because the underlying functional defect is unclear [19]. Many of these uncommon variants still result in partially functional CFTR and hence milder or "atypical" forms of CF [20]. However, clinical phenotypes may vary widely for the same genotype, thus posing considerable challenges in establishing diagnosis and prognosis of CF in relation to genotypes [5, 19, 20]. In fact, it has been estimated that overall, 7% of CF patients are not diagnosed until age 10 years, with a significant proportion not diagnosed until after age 15 years [20]. The phenotypical unpredictability of these "milder" mutations poses even greater challenges to the establishment of newborn screening programmes which are based on DNA analysis, especially in countries (e.g. Southern Europe) where their proportion is higher. These challenges include above all the choice of mutations to be included in the "screening panel", due to major difficulties in establishing a clear follow-up procedure in positive cases for these unpredictable mutations.

Functional Classes of CFTR Mutations and "CFTR-Repairing" Therapies

Since ultimately, all disease-causing CFTR mutations result in defective cAMP-regulated Cl⁻ secretion by epithelial cells [21, 22], in principle, fixing the basic defect to restore CFTR-mediated Cl⁻ transport should clinically improve CF. Moreover, once the underlying defect(s) of CFTR mutants are known, they can be grouped into functional classes so as to be tackled by similar corrective strategies, and hopefully with the same compounds (see below). The previously defined functional classes of CFTR mutations, which have been explained in detail elsewhere [21, 22], are also briefly described below.

Class I mutations impair protein production, often being nonsense mutations (with premature stop codons, e.g. G542X or W1282X) usually leading to mRNA degradation

by nonsense-mediated decay (NMD). Class II mutations (where F508del is included) affect CFTR protein processing due to endoplasmic reticulum (ER) retention by the ER quality control (ERQC) and degradation [23]. Class III mutants (e.g., G551D) traffic to the cell membrane but exhibit impaired gating by disrupting channel regulation. Class IV mutations (e.g., R334W) decrease channel conductance, i.e., flow of Cl⁻ ions, usually by locating in the channel conducting pore. Class V mutations significantly reduce normal protein levels, often by affecting splicing (3272-26A>G or 3849 + 10 kb C>T) and generating both aberrant and normal transcripts, the levels of which vary among different CF patients with the same mutation and correlate with disease severity [24, 25].

The next step, after examining the molecular and cellular basis of CF mutations, is to design appropriate and effective treatments to correct these basic molecular and cellular defects. Some of these "CFTR-repairing" (or "CFTR-assist") therapeutic strategies [1, 11], are currently under experimental testing or already progressed to the clinical setting. Since they have been reviewed elsewhere recently [11, 26], only a brief overview of these approaches is provided here.

- Class I. Aminoglycoside antibiotics and synthetic novel small molecules have been described to camouflage premature termination codons (PTCs) and suppress translational fidelity by allowing the incorporation of an amino acid. This permits translation to continue over PTCs to the normal termination of the transcript thus producing full-length protein. Examples of clinical and pre-clinical trials carried out in patients with CF to test correction of CFTR function by translational "read-through" include both gentamicin [27] and more recently ribosome-binding molecules like Ataluren (formerly PTC124, PTC Therapeutics) [28, 29]. More recently, novel geneticin analogs have been developed and shown *in vitro* to be of promising value [30].
- Class II. Chemical (unspecific) and pharmacological (specific) chaperones are small molecules which can potentially bind more or less specifically to mutant CFTR proteins, promoting their folding and stability (for a review see [23]). Both these types of compounds allow mutants to escape ER degradation and reach the cell surface (see below "*Targeting the Cellular Defect Associated with F508del-CFTR*"). Among these compounds, termed in the CF field as "correctors" [31], there are currently promising candidate drugs in trial to correct the F508del defect in patients. Such is the case of VX-809 (Vertex Pharmaceuticals) for which a Phase IIa clinical trial was recently completed. Although the study was primarily designed to test safety and tolerability of the drug some reduction in sweat chloride values were reported [32].
- Class III. CFTR activators such as the flavonoid genistein [33] which can overcome the channel gating/ regulation defects of CFTR mutants which already localize to the cell membrane [34], are termed in the CF field as "potentiators" [35]. Although a CFTR potentiator is a compound which increases Cl⁻ secretion only in the background of the normal

physiological control, i.e., after stimulation of the cAMP/PKA signalling pathway, an ideal potentiator should not modulate this pathway, but rather act on the channel itself. Among potentiators, one of the most promising drug candidates which has fulfilled such criterion, is VX-770 (Vertex Pharmaceuticals) [36]. This compound revealed a 10% improvement in lung function after 2 weeks of twice-daily therapy in a Phase IIa trial involving patients bearing the G551D in at least one CFTR allele [37]. Two Phase III studies (one for paediatric and another for adolescent/adult patients) began in Summer 2009. Currently, a VX-770 clinical trial is also recruiting CF patients aged 12 yrs and older who are F508del-homozygous, since past studies have revealed that a few of these patients have some F508del-CFTR protein at the membrane of native respiratory cells [38, 39], albeit expected to display much reduced function [40, 41].

- Class IV. Compensation for reduced CFTR conductance can be achieved either by increasing the overall cell surface density of these mutants with class II correctors or/and through increased stimulation of the existing channels with class III potentiators.
- Class V. Increasing the levels of splicing factors that correct missplicing or manipulating those that alter the balance of different splice forms, are valid strategies to promote increased levels of correctly spliced transcripts in CF patients bearing CFTR splicing mutations (~10% of all CF patients). Knowledge of the factors required to manipulate mRNA splicing in the desired direction, combined with recent advances in the delivery of "splice switching" oligomers to cells, holds great promise for the development of new therapeutic strategies for the treatment of splicing mutations occurring in various diseases [42]. However, despite the demonstrated success of such strategies in cell culture this type of approach has not yet moved into clinical trials. It is nevertheless, expected that CFTR potentiators with proven efficacy on wt-CFTR will also move soon into the clinical setting for patients with class V mutations.

Despite the attractiveness of this rationale for "class-approach" for CFTR-repairing therapy, it should be emphasized that, for many of the very high number of CF-causing mutations so far reported in the CFTR gene, the corresponding functional defect remains unknown, thus precluding their functional classification. The CFTR2 project [43], a recent major research effort aimed at understanding the defects associated with a significant proportion of CFTR mutants at the molecular, cellular and functional levels for disease diagnosis and prognosis, will allow such classification of CFTR mutations, thus enabling considerable progress towards mutation-specific therapies. Indeed, by creating isogenic epithelial cell lines each expressing a different CFTR variant, CFTR2 will constitute a valuable resource for the development of targeted compounds by "CFTR-repairing" strategies (see below) [11]. Moreover, this initiative will also provide key insights into the structure and function of CFTR.

Although appealing, application of mutation-specific therapeutic strategies to CF patients with different CFTR

genotypes still has its caveats. In fact, although sharing the same molecular/cellular defect(s), CFTR mutations in the same functional class may respond differently to a given compound. For instance, bisaminomethylbithiazoles, which were shown to correct F508del-CFTR in F508del/F508del human bronchial epithelia, did not correct another temperature-sensitive trafficking mutant, P574H-CFTR [31]. Another example is gentamicin which appears to correct W1282X more efficiently [44] than other nonsense CFTR mutations [45]. Similar discrepancies in efficacy are expected to other compounds when tested on different CFTR mutants. In this respect, the CFTR2 resources (see above) will be extremely helpful, since such collection of cell lines expressing different CFTR variants can also be used to test pre-clinically the efficacy of "CFTR-repairing" compounds on different genotypes before moving into clinical trials.

Manipulating other Ionic Conductances to Restore Airway Surface Liquid

Since CF airways also exhibit a major enhancement of sodium (Na^+) absorption and subsequent ASL dehydration, another therapeutic approach to CF, which is completely different from the above because it is independent of the CFTR genotype, relies on the correction of ion transport which is not mediated by CFTR. This is the so-called "bypassing approach" (reviewed in [11, 46]).

This strategy proposes for instance to correct for the Na^+ hyperabsorption by inhibiting the Na^+ epithelial channel (ENaC) and/or for the lack of CFTR-mediated Cl^- transport, by activating other non-CFTR Cl^- channels, such as the calcium (Ca^{2+})-activated Cl^- channels (CaCCs) [11].

To correct for the Na^+ hyperabsorption in the airways of CF patients, early clinical trials were conducted with aerosolized form the specific ENaC blocker amiloride, and demonstrating that this compound improved mucociliary clearance (MC) and expanded airway surface liquid (ASL) CF cells [47, 48]. Despite these encouraging results, the low potency and rapid absorption of amiloride by airway epithelia translated into a short duration of efficacy for CF patients. Subsequent trials have thus tested improved ENaC blockers, also as aerosolized therapies for CF, alone or in combination with hypertonic saline, and encouraging results have been reported [49] (for a review on ENaC therapy see [50]). Since ENaC activation has been shown to depend on several trypsin-family serine peptidases [51, 52], therapeutic approaches based on protease inhibitors are also being pursued [53]. Another approach to selectively downregulate ENaC involves usage of small interference (si) RNAs to downregulate its expression levels [54-56] and, given the rapid developments in siRNA therapeutics targeted to the lung [57], this strategy may become feasible in the short-term. However, since ENaC cannot be fully blocked, given the associated risk of hypotension and other symptoms associated with pseudohypoaldosteronism (PHA1), all ENaC targeted therapies must be under tight control.

As to CaCCs, after more than 25 years searching for possible candidates, the molecular identity of the latter was finally recognized as being one member of the anoctamin family of transmembrane proteins (ANO1) or transmembrane protein 16A (TMEM16A) [58-60].

The current most promising therapeutic strategy for alternative CF conductances is to stimulate CaCCs through ATP-activated purinergic receptors P2Y(2) using synthetic nucleotides which are more stable and selective than ATP, such as INS365 or denufosal tetrasodium (INS37217, Inspire Pharmaceuticals). The latter, a metabolically more stable and potent compound, has the advantage of not only stimulating CaCC channels but also of inhibiting ENaC, albeit modestly, thus attenuating excessive Na⁺ absorption and ASL dehydration [11, 46]. Denufosal already finished a first Phase III (TIGER-1) clinical trial [61], demonstrating statistical significance for lung function (FEV1) improvement. Currently, CF patients are being enrolled for a second Phase 3 trial (TIGER-2) with this drug candidate. These trials started before identification of TMEM16A, so it remains to be elucidated that this is actually the target of denufosal.

Similarly to the bypassing approach that ignores the basic CFTR defect, other recent therapeutic strategies have focused on correcting other non-CFTR defects. One example is the age-dependent accumulation of ceramide, described to be due to enhanced pH-dependent sphingomyelinase activity, which cleaves sphingomyelin to ceramide [62]. Extreme function of this enzyme and excessive accumulation of ceramide were shown to occur in the respiratory tract of uninfected *cfr-/-* mice, resulting in constitutive pulmonary inflammation and death of respiratory epithelial cells [62]. Although still controversial that such defects are primary in the CF pathogenesis cascade [63, 64], pharmacological treatment of these mice with the sphingomyelinase blocker amitriptyline (an antidepressant drug in previous clinical use) normalizes pulmonary ceramide levels and prevents other pathological findings, including susceptibility to infection [62].

Gene Therapy

To date, gene therapy has failed to demonstrate a clinical benefit for CF after repeated administration. Notwithstanding, a lot was gained from the pre-clinical and clinical studies that were performed, not only in endpoints for efficacy assessment [65, 66] but also in key knowledge of the basic biology of the epithelium that is essential for a better understanding of the CF pathophysiology.

One major problem of single-dose gene therapy achieved by vectors that insert its CFTR transgene into the hosting cell (e.g., lentiviruses) is that cells lose expression of the "intruding" gene after some time, lasting only for 4-6 weeks. This is mostly due to usage of a "shorter version" of the CFTR gene (called complementary DNA, or cDNA) which is only ~6kb instead of the long (~190 kb), full genomic CFTR gene. The absence of intercalating regions (introns) in such "shorter gene versions" leads to silencing of the genes by complex mechanisms, still not fully elucidated. Thus, one plausible alternative is to use larger CFTR constructions which, however, due to their large size cannot be inserted into conventional vectors. Human artificial chromosomes (HACs) containing half of the CFTR genomic sequence were already inserted into mammalian cells by suicidal bacteria and shown to maintain CFTR expression over 50 cell generations [67]. More recently, successful generation of genomic constructs containing the full-length CFTR gene were also reported [68]. Although with still many barriers to

overcome, such an approach may still hold promise of gene therapy success for CF.

Targeting the Cellular Defect Associated with F508del-CFTR

Since the F508del mutation is the main cause of CF, being the most prevalent in patients worldwide, major research efforts aim to understand and correct this mutant. F508del is a class II mutant (see above), so the major defect is causes on CFTR protein is its intracellular localization [15, 69]. Indeed, F508del-CFTR fails to traffic to the plasma membrane due to major retention at the level of the ER and degradation by a process known as ERAD (ER-associated degradation). This ER retention is believed to result from its failure in acquiring a native (folded) conformation. Structural cues exposed in the mutant protein are somehow recognized by the ER quality control (ERQC), the "sensor" of ERAD a mechanism that reduces levels of misfolded proteins in the secretory pathway through proteasomal degradation via the ubiquitin-(Ub)proteasomal pathway (UPP) (reviewed in [70]). Since it would be of potential therapeutic benefit to render the ERQC less stringent towards F508del-CFTR, many groups, including ourselves have focused their efforts on the identification of the key specific intervenients responsible for recognizing and sending most of this mutant to degradation. Since this approach has been excellently reviewed recently [12], it is only briefly mentioned here. Some more novel strategies are described in the following section.

According to our own working model of the ERQC [71, 72] several sequential checkpoints involving distinct protein machineries (mostly molecular chaperones) assess the folding status of newly synthesized proteins targeting for degradation those which cannot achieve a folded conformation in a timely fashion. Targeting the components of these machineries for therapeutic benefit (reviewed in [23]) has been attempted soon after the first molecular chaperone, Hsp70, was identified to associate with CFTR [73]. The compound then used to attempt F508del-CFTR rescuing was the polyamine deoxyspergualin [74], described to bind both molecular chaperones Hsp70 and Hsp90 and also a potent immunosuppressive, clinically applied for graft rejection protection by a yet unknown mechanism [75]. However, the effect, which was then described for this compound in rescuing the processing of F508del-CFTR, could not be confirmed in another study [76]. Moreover, it was subsequently shown that disruption of the CFTR/ Hsp90 association with geldanamycin, an Hsp90-specific benzoquinone ansamycin drug, prevented maturation of wt-CFTR and accelerated its degradation by the proteasome [77]. Dissociation from Hsp90 thus seems detrimental to the efficiency of CFTR processing.

Similarly to Hsp90, decreased wt-CFTR processing was also found by downregulating the levels of the ER membrane-bound and Ca²⁺-dependent chaperone/lectin calnexin, the major component of another proposed ERQC checkpoint, or by preventing its interaction with CFTR, by devoiding CFTR of the glycan residues responsible such interaction [71]. Curiously, and despite the recent confirmation of these data by additional studies [78-80], some groups reported to have achieved "release" of F508del-CFTR from the ER by

perturbing interactions with calnexin, or proposed this to be the MoA for the effects brought about by diverse compounds claimed to cause some F508del-CFTR maturation. Such compounds include the Ca^{2+} -pump inhibitors (depleting Ca^{2+} from the ER) thapsigargin [81] and curcumin, the major component of turmeric [82], and N-butyldeoxynojirimycin (miglustat) an inhibitor of ER α -glucosidases I and II [83, 84]. However, most of these results are controversial because rescue of F508del-CFTR by these compounds could not be confirmed by other groups as discussed previously [11, 12]. Importantly, miglustat (Zavesca®, Actelion) used in Gaucher disease therapy as an inhibitor of ceramide-specific glycosyltransferases [85] was assessed in a Phase IIa clinical trial involving F508del-CF patients. Although the trial was completed in Summer 2009, the results have not yet been released.

While the mechanism of action (MoA) of CFTR correctors is largely unknown, a recent study indicates that, at least some of them, may indeed compete with molecular chaperones such as Hsp70. In this study [86] Hsp70 was shown to bind to F508del-NBD1 of CFTR with 5-fold higher affinity than to the wild-type (wt) domain and that such high affinity was significantly decreased by pre-incubation with the CFTR corrector 4a [31]. Such decrease in affinity plausibly resulted from the ability of corrector 4a to mask some hydrophobic pocket(s) exposed in the surface of F508del-NBD1, thus increasing its (apparent) intrinsic folding. Speculation about the implications of these *in vitro* results to the *in vivo* scenario of full-length CFTR, seems to lead to the conclusion that corrector 4a does not merely "release" misfolded F508del-CFTR from Hsp70, leaving it "vulnerable" to other clearance mechanisms, but instead it promotes F508del-CFTR folding, even if apparently and as measured by the decreased exposure of hydrophobic surfaces.

Accordingly, to achieve rescuing of F508del-CFTR, it does not seem to be enough for small molecules to disrupt its association with molecular chaperones. It appears also crucial that the "released" protein has acquired a folded conformation (or some form of "protection" for its hydrophobic surfaces) to avoid instability and degradation. This is indeed the role of Hsp70 and chaperones in general, which are known to protect their "client proteins" from multiple interactions in the cell *milieu*, so that they can achieve their native conformation. However, since chaperones are known to exert dual effects on their substrates [87], they also to drastically target them for degradation if they are unable to accomplish so in a timely fashion.

Despite many more or less unsuccessful attempts to overcome the intracellular retention of F508del-CFTR, such manoeuvre would be of great therapeutic value, since this mutant is still functional. Nevertheless, it should be born in mind that when rescued to the cell surface by low temperature, for instance, its activity was found to be only ~20% of normal CFTR [40, 41]. Moreover, after low-temperature rescuing F508del-CFTR evidences a major instability at the cell membrane [88, 89] resulting from both enhanced endocytosis [90] and decreased recycling [91]. These instability and "vulnerability" to degradation of F508del-CFTR in distal secretory compartments also sug-

gests that low temperature probably does not substantially increase its folding for the above-discussed reasons.

Consequently, to correct for the various defects associated with F508del-CFTR, two kinds of "correctors" are being searched for, namely by high-throughput (HT) approaches: 1) those rescuing the trafficking defect, but still relying on additional compounds to stabilize the protein at the cell surface and/or to increase channel activity; and 2) those overcoming the trafficking defect, but also achieving cell surface stabilization and enhanced Cl^- transport. The latter are probably the ones truly enhancing F508del-CFTR folding in a specific manner (for reviews, see [11, 12]). Moreover, because drug regulatory agencies require some indication of the MoA [92] for a compound to go from phase 0 (pre-clinical) into phase I, it is relevant to invest in drug-discovery assays that already provide this information.

Once lead compounds are identified by HT screening (HTS) of large libraries of small molecules, their respective mechanism of action (MoA) still has to be undermined, a process which is often time-consuming, and rate-limiting in their translation into the clinical setting. Strategies are thus required to quickly pinpoint the targets/pathways affected by such lead compounds resulting from HTS's. An alternative, as discussed below, is to use targets as the starting point for screening.

INNOVATIVE THERAPEUTIC STRATEGIES

Manipulation of the Proteostasis Network

"Proteostasis", or protein homeostasis (which means keeping the cellular proteome in a folded, functional-competent state) is achieved by an ensemble of proteins mostly composed of molecular chaperones, but also folding and proteolytic enzymes, and traffic factors ensuring correct protein intracellular compartmentalization [93]. Manipulation of this "proteostasis" network to achieve disease correction by controlling the chaperone machineries that maintain the folding status of the proteome has been recently proposed as a therapeutic strategy to diminish the global threshold at which non-native proteins are sent for degradation (reviewed in [93, 94]). Application of the "proteostasis" approach to CF therapy would imply allowing some F508del-CFTR protein to escape the tight ERQC control [95]. Likely, however, this may have substantial side-effects as the same "lower ERQC threshold" would apply to *all* misfolded proteins *en route* to in the secretory pathway which, under normal conditions, would be retained by the ERQC and sent for ERAD.

Indeed, ERAD is a very general "clearance" mechanism which is believed to have evolved to efficiently protect the cell from accumulation of abnormal proteins within the secretory pathway. Incompetent ERAD would cause irreversible cell damage, pathological states, premature aging and decreased endurance to environmental stresses. Although the proposers of therapeutic proteostasis claim that there is enough *room* to lower the "pass level" for protein conformers attempting to enter the secretory pathway [95], there is recent evidence that this concept may not hold. Indeed, in the case of retinitis pigmentosa, a retinal degeneration caused by mutations in the rhodopsin-1 gene and causing ER stress, it

was found by studying the fly model of this disease where similar mutations in the same gene trigger age-related retinal degeneration, that ERAD acts as a protective mechanism against retinal degeneration [96]. Moreover, these results suggest that manipulation of ERAD towards increasing, not decreasing, its stringency may also serve as a powerful therapeutic strategy against retinitis pigmentosa and probably also a number of diseases associated with ER stress.

Displacement of Molecular Chaperones/Factors

Another interesting therapeutic approach is "chaperone displacement", which has been shown to rescue F508del-CFTR to the cell membrane by saturating some molecular chaperones of the ERQC by using (misfolded) parts of CFTR as "decoys" [97]. A similar strategy, but using peptides containing the arginine-framed tripeptide (AFT) signals known to retain F508del-CFTR within the ER has also recently been shown to be successful in cell culture [98]. The latter is particularly appealing since usage of peptides has recently proven successful in a phase II clinical trial, albeit for another disease [99]. The attractiveness of this approach is that, like proteostasis manipulation, it does not rely on the pre-identification of the key ERQC intervenients.

Although this displacement by competition does not necessarily imply complete specificity, there is some indication that more or less specific ERQC factors exist both for ER-Golgi transport [100, 101] and for ERAD [102]. Technically, however, such factors would not be called chaperones, which by definition are unspecific, and consequently the approach, would become "factor displacement". For CFTR, despite that multiple factors have been identified, including some not affecting overall secretory substrates (like the pro-degradative CHIP, targeting F508del-CFTR for ERAD [103]) strict specificity may not be guaranteed. Nevertheless, this approach is expected to be of more restricted scope than manoeuvring proteostasis.

So, the major difference between therapeutic proteostasis and chaperone/factor displacement approaches, although the real molecular mechanisms remain unidentified, seems to lie on the fact that the latter "saturates" the ERQC by "specific competition", thus diminishing to some extent the risk of general misconformer leakage. In other words, although both these strategies focus on widening the ERQC (and plausibly not so much on promoting F508del-CFTR intrinsic folding), molecular chaperone/factor displacement does so in a more or less *specific* way.

Targeting Mutant CFTR by *in silico* Small-Molecule Screens

Another very promising avenue to drug discovery aimed at correcting the basic defect in CF involves determination of the structural differences between wt-CFTR and its mutant variants namely, F508del-CFTR. The "holy grail" in the protein structure field is of course the high-resolution 3D-structure resolved by X-ray crystallography. But applying it to CFTR is a hard task because, like other members of the ABC transporter family, full-length CFTR (not to mention

F508del-CFTR) is difficult to be obtained in significant yields as a pure protein so as to generate high-quality crystals. So, until these technical difficulties are solved, efforts were put to solving the wt- and F508del versions of NBD1 (where F508 is located). Surprisingly, the two structures were found to almost overlap [104, 105]. Nevertheless, the mutations used to solubilise F508del-NBD1 generated for this purpose were subsequently shown to rescue its trafficking defect *in vivo* [106], thus raising the possibility that the true structure of F508del-NBD1 may remain unresolved.

As alternatives to high-resolution structures, approaches such as cryo-electronic microscopy [107] and nuclear magnetic resonance (NMR) have been also explored in the CFTR field. Compelling NMR studies provided structural details for the mechanistic activation of CFTR by PKA-phosphorylation of the RD through loss of interaction with NBD1 [108, 109]. These results could also be confirmed by computational models [110], thus indicating that the individual domains of CFTR largely contribute to self-chaperoning the full-length protein and that deletion of F508 diminishes this ability [111].

Protein modelling approaches have also been used to identify the structural differences between wt- and F508del-NBD1/CFTR, as an alternative/complementary approach. A recent molecular dynamics modelling study comparing the two versions of NBD1 has shown that the F508del domain has more conformational freedom and exposes more often its hydrophobic interior to the solution than wt-NBD1 [112]. Such increased dynamic flexibility may explain the recently reported five-fold higher affinity of F508del-CFTR for Hsp70 than wt-CFTR [86], the fact that the mutant is a better target for the ERQC as well as its diminished self-chaperoning ability [111]. Furthermore, major progress towards identification of the structural difference between wt and F508del-CFTR was achieved by a recent structural model of full-length CFTR, based on the atomic structure of the Sav1866 ABC transporter from *Staphylococcus aureus* [113]. This study identified a key intramolecular site of high potential to be repaired therapeutically between the surface of NBD1 involving the side chain of F508 and an intracellular loop (ICL4) in the second membrane-spanning domain (MSD2). Indeed, this NBD1-ICL4 site may be the putative binding site for F508del-CFTR correctors, while a previously proposed pocket located in the interface between NBD1 and NBD2 may be the binding site for CFTR channel potentiators [114]. Efforts to find small molecules that are able to fit into this structural pocket and to rescue the trafficking defect of F508del-CFTR are already underway.

Systems Biology Approaches

In the current post-genomic/ functional genomic era, research activities worldwide have started to focus on genome-wide, HT approaches to solve both mechanisms and therapies. One very relevant approach, while identifying the complete interactome of CFTR, demonstrated that down-regulation of a specific co-chaperone of Hsp90, Aha1 (activator of 90 kDa heat shock protein ATPase homolog1, AHSA1) partially rescued the processing defect of F508del-CFTR [115].

Another high-content study by Rotin and cols [116], overexpressed ~450 cDNAs fused to the halide-sensitive YFP marker in order to identify factors promoting rescue of F508del-CFTR to the plasma membrane of non-epithelial HEK 293 cells, in a variation of the previously described halide-permeability assay [117]. Among the 9 top hits resulting from this functional screen which were selected for further validation, only one (STAT1, Signal transducer and activator of transcription 1) could rescue F508del-CFTR to the cell surface above levels of corrector 4a. The drug Velcade (active compound proteasome inhibitor bortezomib, PS-341) was proposed in the study to act by a putative mechanism of action (not supported by evidence) involving Hsp70 and HspA4 (a non-validated screen hit). However, bortezomib is known to inhibit the "adaptive" unfolded protein response (UPR) by preventing ERAD. This is the mechanism by which bortezomib induces the pro-apoptotic UPR pathway, preferentially in myeloma cells as these produce high levels of immunoglobulin [118, 119] and the rationale for its usage as an anti-neoplastic agent. However, its use in other diseases, namely in CF is potentially dangerous given its pro-apoptotic properties.

In another recent study [120], suberoylanilide hydroxamic acid (SAHA), an histone deacetylase (HDAC) inhibitor and regulator of Hsp90 (a chaperone shown to regulate CFTR [77]), was described to restore the cell surface expression and channel activity of F508del-CFTR (to 28% of wt-CFTR) in human primary airway epithelial cells. By using a knock-down approach, this study revealed that HDAC7 plays a central role in rescue of F508del-CFTR by SAHA. However, although the therapeutic potential of this compound looks promising, the fact that it alters the expression of more than 1,000 genes, makes this approach unlikely to hit the clinical setting soon.

Additional experimental strategies such as high-content siRNA or cDNA overexpression screens using different assays, namely designed to specifically assess traffic, e.g., using fluorescent constructs coupled to automatic microscopy [121] are in progress in various CF-related laboratories and are likely to provide large-scale information on targets and pathway affecting CFTR traffic/function. However, the computational integration of these extensive in-progress data from biochemistry, molecular biology, genetics, biophysics, and proteomics, in a true "systems" approach to derive network models [122] is probably the only way for the complete comprehension of the factors that govern the relationships between genes and proteins involved in the pathophysiology of CF. Nevertheless, this approach has yet to demonstrate results, when applied to solve disease mechanisms.

Pre-clinical Assessment of "CFTR-Repairing" Molecules

Nevertheless, pre-clinical validation of this affluence of novel compounds in terms of their efficacy and MoA still requires substantial efforts, so that only the best are actually given to patients who are now starting to be in high demand for the several competing clinical trials in perspective. So far, efficacy testing on human bronchial epithelial (HBE) cells from CF patients is considered to be the "gold standard" for CFTR-repairing molecules going into clinical trial [36, 36] and a good correlation has been found between data

collected for VX-770 in HBEs and clinical trial outcomes [37]. However, this good correlation regarding this potentiator compound is still insufficient to prove primary HBEs as the gold standard for compound validation. So, more data still need to be gathered for additional compounds, correctors namely, in order to demonstrate that indeed efficacy on primary HBEs correlates well with clinical efficacy. In particular, bioavailability of small molecules based exclusively on cell culture testing, may prevent good prediction of clinical efficacy. One example is given by the specific CFTR(inh)-172 [123] which is quite potent in inhibiting CFTR in primary HBE cultures [36, 124] but has failed to demonstrate the same efficacy in human native sweat glands [125] or human intestinal tissue (MDA lab, unpublished observations).

Furthermore, compounds like PTC-124, pre-validated for CF both in primary HBEs and mouse models [126] as well as for Duchenne/Becker muscular dystrophy (DMD/BMD) also in both primary human muscle cells and in mdx mice expressing dystrophin nonsense alleles [127] has shown controversial results in clinical trials for CF and has been suspended in a phase II DMD/BMD trial due to lack of demonstrated benefit [128].

Accordingly, pre-clinical validation directly on native human tissues *ex vivo*, such as rectal biopsies already commonly used for the diagnosis and prognosis of CF in several European centres [129], or even samples of explanted CF airways, becomes an attractive option to assess bioavailability /efficacy of compounds. This approach may complement those on primary HBEs to achieve a better prediction of compound clinical value in human individuals.

Animal models have provided valuable insights into various aspects of CF and should also perform an important role in what concerns pre-clinical validation of small molecules. However, since mouse models lacking functional CFTR do not develop the characteristic manifestations of human CF, [130-132], the value of murine animals to predict the outcome of CFTR-repairing compounds maybe somewhat limited. Moreover, given that human and murine CFTR exhibit different channel characteristics [133] and also different regulatory pathways operate in the murine and human tissues [134], it is predicted that efficacy of small molecules in mice may not translate into equivalent effectiveness in the human lung. Indeed, as above described for primary cultures, testing of the CFTR (inh)-172 compound in mice has also shown efficient inhibition [123] and yet the same effect fails to be observed in human tissues. This leads to think that prediction of clinical efficacy based on validation of CFTR-repairing compounds in mouse models may be of limited value.

Notwithstanding, better predictions and pre-clinical validation are expected from testing of small molecules in novel animal models for CF, in pigs namely, whose anatomy, biochemistry, physiology, size, lifespan and genetics are more similar than mice to those of humans [135]. However, pre-clinical testing for correctors in the forthcoming F508del/F508del CF pig model [136] may be hampered by the leaky processing of porcine F508del-CFTR, which is described to be even higher than murine F508del-CFTR [137]. An ideal animal CF model to test for efficacy of correctors of human 508del-CFTR, would thus be a *CFTR -/-*

pig engineered to express human (two copies) of the human F508del-CFTR gene.

CONCLUSION

In summary, while a few innovative in-progress approaches seek more mechanistic insight into CF disease and a more efficient track to drugs, several novel compounds emerged from HTS as promising effective drugs against the basic defect in CF. Moreover, the first rationale therapies for CF relying on the understanding of the basic defect have started to hit the clinical setting. Based on the current "drug pipeline", these are expected to rise in numbers very soon.

Moreover, establishing good predictive pre-clinical assays and adequate therapy endpoints for "CFTR-repairing" therapies is indispensable to bring only the best compounds to the clinical setting and to assess their efficacy. To this end, the current diagnosis methods directly dependent on CFTR function (sweat Cl⁻ measurements, nasal potential difference or Cl⁻ secretion assessment in rectal biopsies) may be extremely helpful. With such a concerted action, it may be possible to achieve ~10% normal CFTR activity in the epithelia of CF patients, as generally believed to be sufficient to cure CF [70].

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ABBREVIATIONS

ABC	=	ATP-binding cassette (transporters) (transporters)
ASL	=	Airway surface liquid
CaCC	=	Ca ²⁺ -activated Cl ⁻ channels
CF	=	Cystic fibrosis
CFTR	=	Cystic fibrosis transmembrane conductance regulator
ENaC	=	Na ⁺ Epithelial channel
ER	=	Endoplasmic reticulum
ERAD	=	ER-associated degradation
ERQC	=	ER quality control
HBE	=	Human bronchial epithelial (cells)
HDAC	=	Histone deacetylase
HT	=	High-throughput
ICL	=	Intracellular loop
MoA	=	Mechanism of action
MSD	=	Membrane spanning domains
NBD	=	Nucleotide binding domain
NMR	=	Nuclear magnetic resonance

PTC	=	Premature termination codon
RD	=	Regulatory domain
Ub	=	Ubiquitin
UPR	=	Unfolded protein response
UPP	=	Ub-proteasomal pathway.

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ABC Subfamily D Proteins and Very Long Chain Fatty Acid Metabolism as Novel Targets in Adrenoleukodystrophy

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Abstract: Peroxisomes are involved in a variety of metabolic processes, including β -oxidation of fatty acids, especially very long chain fatty acids. Three peroxisomal ABC proteins belonging to subfamily D have been identified in mammalian peroxisomes that have an important role in fatty acid metabolism. ABCD1/ALDP and ABCD2/ALDRP are suggested to be involved in the transport of very long chain acyl-CoA, and ABCD3/PMP70 is involved in the transport of long chain acyl-CoA. ABCD1 is known to be responsible for X-linked adrenoleukodystrophy (X-ALD); an inborn error of peroxisomal β -oxidation of very long chain fatty acids. X-ALD is characterized biochemically by the accumulation of very long chain fatty acids in all tissues, including the brain white matter. Progressive demyelination of the central nervous system and adrenal dysfunction have been observed. The pharmacological up-regulation of peroxisomal β -oxidation of very long chain fatty acids and the suppression of fatty acid elongation are important aspects of an optimal therapeutic approach. Attractive targets for the treatment of X-ALD patients include the ABCD2 as well as elongase that is involved in the elongation of very long chain fatty acids. In addition, stabilization of mutant ABCD1 that has retained some of its function might be another approach, since most of the mutant ABCD1s with a missense mutation are degraded rapidly by proteasomes before or after targeting to peroxisomes. Protection of the central nervous system against oxidative damage is also important in order to delay the progress of disease. We summarize recent pharmaceutical studies and consider the potential for future X-ALD therapies.

Keywords: ABC protein, adrenoleukodystrophy, fatty acid β -oxidation, neurodegeneration, peroxisome, very long chain fatty acids.

INTRODUCTION

Peroxisomes are organelles bounded by a single membrane that are present in almost all eukaryotic cells. These organelles are involved in a variety of metabolic processes, including the β -oxidation of fatty acids, especially very long chain fatty acids (VLCFA), and the synthesis of ether phospholipids and bile acids in mammals [1]. These metabolic pathways require the transport of metabolites in and out of peroxisomes [2]. Recently it has become clear that the transport of such metabolites is facilitated by at least several different metabolic transporters. One of the transporter families is the ATP-binding cassette (ABC) protein. They are a superfamily of membrane-bound proteins whose structure is highly conserved from eubacteria to mammals and which catalyze the ATP-dependent transmembrane transport of a wide variety of substrates, including lipids.

To date, three ABC proteins classified into "subfamily D" have been identified in mammalian peroxisomes. These are adrenoleukodystrophy protein (ALDP/ABCD1), ALDP-

related protein (ALDRP/ABCD2), and a 70-kDa peroxisomal membrane protein (PMP70/ABCD3) [3-8]. Dysfunction of ABCD1 is the cause of the human genetic disorder, X-linked adrenoleukodystrophy (X-ALD), which is characterized by an accumulation of VLCFA because of an impaired peroxisomal β -oxidation of VLCFA. VLCFA β -oxidation in X-ALD patient fibroblasts was restored by the expression of ABCD1 [9-11]. Likewise, the expression of ABCD2, which has a high sequence similarity to ABCD1, also restored VLCFA β -oxidation in X-ALD fibroblasts [12]. These data indicate that ABCD1 and ABCD2 are involved in the metabolic transport of VLCFA. ABCD3 is suggested to be involved in the metabolic transport of long chain fatty acids, since the overexpression of ABCD3 in CHO cells induced the β -oxidation of palmitic acid [13]. *Abcd3*^{-/-} knockout mice exhibited abnormalities in peroxisomal metabolism of the bile acid intermediates, pristanic acid and phytanic acid, suggesting that ABCD3 is involved in the transport of bile acid intermediates and branched chain fatty acids (Jimenez-Sanchez *et al. Am J Hum Genet* 2000, meeting abstract). ABCD3-related protein (P70R/ABCD4) is also a member of ABC protein subfamily D [5, 14]. Recently, we found that ABCD4 is localized to the endoplasmic reticulum (ER), not to peroxisomes, but the function of ABCD4 still remains unknown [15].

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X-ALD is caused by mutations in the ABCD1 gene [3] and affected patients show progressive demyelination in the central nervous system (CNS), adrenal insufficiency, and testicular dysfunction as pathological characteristics. Severe forms of X-ALD are associated with inflammatory demyelinating lesions. Lymphocytes, reactive astrocytes and macrophages are suggested to be involved in the formation of these lesions. The pathological features of X-ALD may be accounted for by the overabundance of VLCFA, and the abnormal accumulation of VLCFA is the most likely culprit behind the initiation and progression of the disease. However, the molecular basis of the disease is not well understood.

The therapeutic options for X-ALD are limited at present. Lorenzo's oil, a 4:1 mixture of glycerol triolein and glycerol trierucate, normalizes VLCFA levels in the plasma of X-ALD patient, but it does not alter the clinical progress of patients with neurological symptoms [16, 17]. Hematopoietic stem cell transplantation (HSCT) is currently the only effective treatment for X-ALD patients with the childhood cerebral form. However, the availability of HSCT is limited by compatible donors, and HSCT carries a high mortality risk from complications. In addition, HSCT is known to be

effective only for asymptomatic patients and patients with the early stage of cerebral demyelination [18]. Under such circumstances, alternative therapeutic approaches based on biochemical and pathological characteristics of the disease obviously need to be developed. The pharmacological induction of *ABCD2* gene expression, stabilization of mutant ABCD1 with proper functionality, stimulation of VLCFA β -oxidation, inhibition of elongase, which is involved in VLCFA synthesis, and suppression of inflammatory events in glial cells, are all potentially important strategies in the treatment of X-ALD.

STRUCTURE AND FUNCTION OF ABCD1

Structure of ABCD1

ABCD1 has the predicted structure of a half-size ABC protein with one transmembrane domain (TMD) and one nucleotide-binding domain (NBD). The hydrophathy profile of human ABCD1 predicts that the amino terminal half of ABCD1 is hydrophobic with six transmembrane segments, and the COOH-terminal half is hydrophilic, having NBD (Fig. 1A) [19]. Protease treatment of peroxisomes indicated that the NBD of ABCD1 is exposed to the cytosol [19]. As

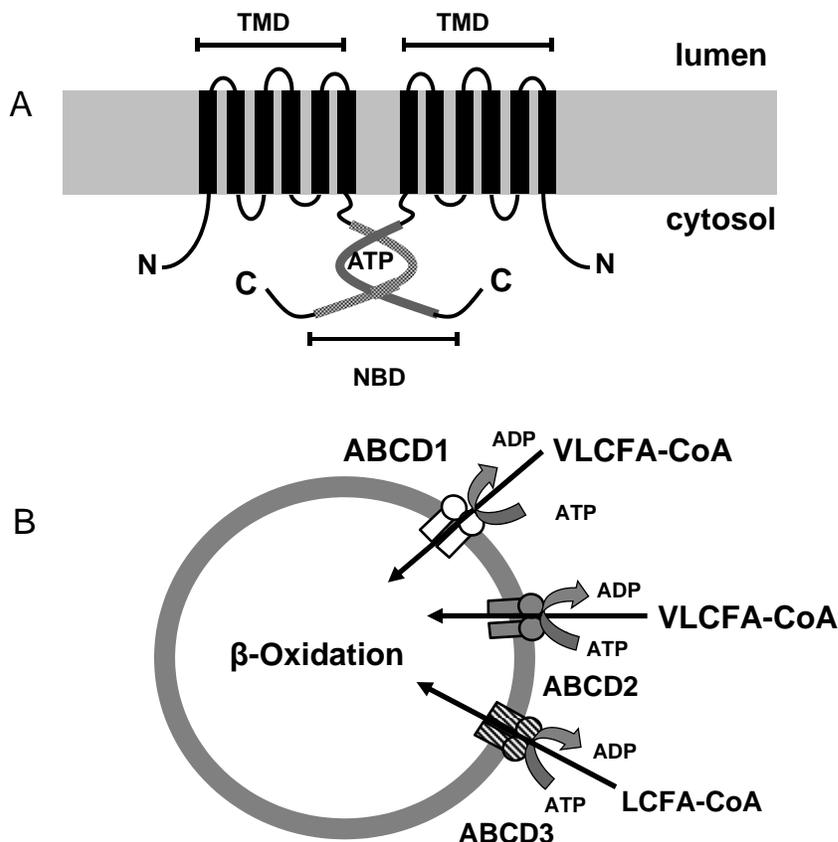


Fig. (1). The putative secondary structure of ABCD1 and function of peroxisomal ABC proteins. **A**, Six TMDs are located in the N-terminal half of the protein, and a NBD including the Walker A, B and ABC signature sequences are located in the C-terminal half of the protein. ABCD1 is a half-size ABC protein and predominantly exists as a homodimer. **B**, In mammals, three ABC proteins belonging to the D subfamily are known to exist in peroxisomes, ABCD1, ABCD2, and ABCD3. Among them, ABCD1 and ABCD2 have been suggested to be involved in the transport of very long chain acyl-CoA (VLCFA-CoA) from the cytoplasm into the peroxisomes. ABCD3 is thought to be involved in the transport of long chain acyl-CoA (LCFA-CoA).

for the ATP-binding and hydrolysis activities of ABCD1, Roerig *et al.* reported that the recombinant NBD of ABCD1 bound and hydrolyzed ATP [20]. Tanaka *et al.* also detected the ATP binding and hydrolysis activities of native ABCD1 in rat liver peroxisomes by photoaffinity labeling with 8-azido- $[\alpha\text{-}^{32}\text{P}]\text{ATP}$ and 8-azido- $[\gamma\text{-}^{32}\text{P}]\text{ATP}$ [21]. On the other hand, the TMD of ABCD1 is proposed to be involved in substrate-recognition and to form a transport pathway across peroxisomal membranes. Guimarães *et al.* assessed the substrate-induced conformational alterations in ABCD1 with a protease-based assay, and found that long- and very long chain acyl-CoA increased the sensitivity of the NH_2 -terminal 44-kDa fragment of ABCD1 to Factor Xa, and this acyl-CoA induced sensitivity was reversed by the presence of ATP- γS [22]. These findings suggest that the NH_2 -terminal TMD of ABCD1 is involved in the recognition of these substrates and undergoes a conformational change upon ATP binding to the COOH-terminal NBD of ABCD1.

As most of the half-size ABC proteins identified to date dimerize to form a functional transporter, it has been suggested that the peroxisomal ABC proteins also need to assemble as homo- or heterodimers on the peroxisomal membranes to form a functional unit. As for the quaternary structures of peroxisomal ABC proteins, Liu *et al.* were the first to show the occurrence of homo- as well as heterodimeric interactions among ABCD1, ABCD2, and ABCD3 by using a yeast two-hybrid system and co-immunoprecipitation experiments [23]. We also showed by means of co-immunoprecipitation studies that ABCD1 forms a stable complex with ABCD3 and certain peroxisomal proteins on rat liver peroxisomal membranes [21]. On the other hand, Guimarães *et al.* reported that mouse liver ABCD1 was a mostly homomeric protein assembly, based on sucrose density gradient analysis and immunoprecipitation experiments with digitonin-solubilized mouse liver peroxisomes [24]. Furthermore, FRET microscopy experiments in intact living cells demonstrated that ABCD1 predominately forms a homodimer, although ABCD1 can form a heterodimer with ABCD3 [25]. These data suggest that ABCD1 mainly exists as a homodimer in mammalian peroxisomal membranes, although ABCD1 can form a complex with ABCD2 and ABCD3, and is involved in the ATP-utilizing transport of CoA derivatives of VLCFA.

Function of ABCD1

The biochemical hallmark of X-ALD is an impaired oxidation and accumulation of saturated VLCFA in cerebral white matter, adrenal glands, fibroblasts, and plasma [26]. Furthermore, *Abcd1*^(-/-) mice also displayed the accumulation of VLCFA in tissues [27-29]. Although the precise mechanism by which ABCD1 is involved in fatty acid metabolism still remains to be elucidated, these pathognomonic characterizations of X-ALD allow us to deduce the function of ABCD1 to be a very long chain acyl-CoA transporter on peroxisomal membranes. Transfection of *ABCD1* cDNA into X-ALD skin fibroblasts restored the β -oxidation of lingo-ceric acid, and consequently, the VLCFA content returned to normal in the fibroblasts [9-11]. The expression levels of ABCD1 were correlated to VLCFA β -oxidation activities in primary and SV40T-transformed human skin fibroblasts [30]. Recently, van Roermund *et al.* showed that ABCD1

can function as a homodimer and is involved in the transport of a range of substrates including palmitic, oleic, behenic, and tetracosahexaenoic acid across the peroxisomal membranes by means of the expression of the human *ABCD1* cDNA in yeast *Saccharomyces cerevisiae* [31]. These findings strongly suggest that ABCD1 is involved in the uptake of activated VLCFAs into mammalian peroxisomes.

A variety of genetic and biochemical studies on ABCD1-related peroxisomal ABC proteins from other organisms have led to the same conclusion. For example, disruption of *PXA1* and/or *PXA2*, the only two peroxisomal ABC proteins known in *Saccharomyces cerevisiae*, resulted in impaired growth of these mutants on oleic acid as a sole carbon source, and a reduced ability to oxidize oleate [32-34]. Furthermore, Verleur *et al.* showed that *Pxa2p* is directly responsible for the ATP-dependent transport of long-chain acyl-CoA across peroxisomal membranes by using a semi-intact yeast cell system [35]. Recently, a similar role was proposed for a plant ABCD1 homologue, as well. In *Arabidopsis thaliana* the gene known variously as *PXA1*, *PED3*, or *CTS* encodes a full-size ABC protein mainly referred to as COMATOSE. Both halves of COMATOSE showed significant sequence identity to the human ABCD1. Zolman *et al.* reported that the *Arabidopsis PXA1* mutant grew slowly compared with wild type, with smaller rosettes, fewer leaves, and shorter inflorescence stems under hormone-free medium with auxin indole-3-butyric acid (IBA) [36]. IBA is converted to the more active auxin indole-3-acetic acid by peroxisomal β -oxidation, suggesting that the *PXA1* mutant has a defect in the import of IBA into peroxisomes. Recently, the loss of *CTS* in *Arabidopsis thaliana* exhibited a severe deficit in the breakdown of lipid bodies in germinated cotyledons [37]. In the *CTS* mutant, C20 and C22 acyl-CoA, which are predominantly derived from triacylglycerol, accumulated in seeds and seedlings. Furthermore, the *Arabidopsis PXA1* mutant under prolonged dark conditions exhibited an accumulation of free fatty acids, including palmitic acid, 7,10,13-hexadecatrienoic acid, and, α -linolenic acid, in mature leaves [38]. These findings also indicate that ABCD1 is involved in the uptake of activated VLCFAs across peroxisomal membranes.

MUTATION OF ABCD1 AND ADRENOLEUKODYSTROPHY

Mutations in ABCD1

The gene that is defective in X-ALD was mapped to Xq28 [39] and isolated and cloned by Aubourg and his colleagues [3]. The gene referred to as *ABCD1* is composed of 10 exons, and it codes for an mRNA of 4.3 kb, with a protein of 745 amino acids that is referred to as ABCD1. Thus far, more than 500 mutations widely distributed over the *ABCD1* gene have been identified (<http://www.x-ald.nl>). Missense mutations comprise ~60% of all of the mutations. The mutations have been found throughout the entire gene, although there is a clustering of mutations in the NH_2 -terminal half of ABCD1, including TMD1-6, loop1-5 (40%) and NBD (30%) [40]. We have been analyzing the mutations of ABCD1 in Japanese probands with X-ALD and their families, and identified 55 mutations in 63 Japanese X-ALD kindreds, which included 35 missense mutations, 5 nonsense

mutations, 7 frame shift mutations, 3 amino acids deletions, 2 exon skip mutations and 3 large deletions

Among the missense mutation in X-ALD patients, it has been reported that ~50% of mutant ABCD1s were not detected and ~15% of them were reduced in amount in X-ALD fibroblasts, based on immunofluorescent or immunoblot analysis. Recently, we showed that some mutant ABCD1s were degraded by proteasomes or additional protease(s) before or after transport to peroxisomes [41].

Clinical Symptoms

There are various clinical phenotypes, such as the childhood cerebral form with cerebral demyelination and childhood onset (CCALD), the adolescent cerebral form (AdoCALD), the adult cerebral form (ACALD), adrenomyeloneuropathy (AMN) with axonopathy of the pyramidal and somatosensory tracts along with peripheral neuropathy, the olivo-ponto-cerebellar form (OPC), and Addison's disease alone [42]. We performed a retrospective nation-wide epidemiological survey of X-ALD in Japan during the 1990s [43]. However, no consistent correlation between phenotype and either specific mutations or ABCD1 expression was documented. The existence of modifier genes has been postulated [44].

CCALD is the most common phenotype, and is characterized by a progression of intellectual, psychological, visual and gait disturbances which first appear during the period school age. Patients are often misdiagnosed as having attention-deficit hyperactivity disorder, psychological problems, or either ophthalmic or ear abnormalities at the onset of the initial symptoms. Therefore, quite a number of patients are not diagnosed until further symptoms manifest, such as seizures, gait disturbances and/or other neurological symptoms. Brain MRI findings characteristically show an enhanced T2 signal, even at the early stage of the disease, suggesting extensive demyelination has occurred. It is hypothesized that the demyelination is associated with the cerebral inflammation. The prognosis is generally very poor and patients are considered likely to die within a few years, although recently good general care has apparently improved this unfavorable prognosis.

AdoCALD is similar to CCALD, but the appearance of symptoms occurs later than in CCALD, and develop more slowly. The age of onset is 11-21 years. ACALD is characterized by a slower progression of psychological symptoms, is more common in Japan than in Western countries [43], and is sometimes misdiagnosed as dementia or a psychological disorder. Most AMN patients manifest slowly progressive gait disturbances as the initial symptom, while sensory and autonomic disturbances occur in some patients. The mean age of onset of AMN was reported to be 30.2 (13-51) years in Japan [43], and about half of these patients exhibited cerebral involvement approximately 10 years after onset. OPC is characterized by cerebellar ataxia and pyramidal tract involvement, manifest gait disturbance as the initial symptom, and cerebellar ataxia which becomes evident several months to 1 year after onset. Approximately half of all OPC patients also display cerebral involvement, with concomitant symptoms such as intellectual and psychological problems [45]. This OPC form has been reported

predominantly in Japan [46]. Patients with Addison's disease alone manifest adrenal insufficiency, including unexplained vomiting and weakness or coma, with first appearance occurring somewhere between childhood and adulthood.

Pre- or asymptomatic patients will progress to the various phenotypes described above between 3 and 50 years old. Therefore, it is important to provide information to patients and family members by means of genetic counseling. Diagnosis of pre-symptomatic boys before 3 years old, and long-term follow-up using subtle neuropsychological signs, brain MRI, electrophysiological investigation, adrenal function tests, and HSCT are of benefit. About half of the female carriers over the age of 40 years will develop mild to severe neurological symptoms caused by the spinal cord and peripheral nerve abnormalities, such as weakness and spasticity of the legs, impaired sensation of the lower limbs and autonomic disturbances, similar to AMN.

Pathogenesis of X-ALD

Mutations in the *ABCD1* gene result in increased VLCFA in tissues and body fluids of patients with X-ALD, and reduced VLCFA β -oxidation in peroxisomes. Impaired transport of very long chain acyl-CoA by ABCD1 explains, in part, the biochemical defects. Recently Ofman *et al.* have shown that a deficiency of ABCD1 raised cytosolic levels of very long chain acyl-CoAs in X-ALD fibroblasts and the substrates were then further elongated by the enzyme called elongation of very long chain fatty acids-1 (ELOVL1), which catalyzes the synthesis of both saturated (C26:0) and mono-unsaturated VLCFA (C26:1) [47]. In addition, knockdown of ELOVL1 reduced the elongation of C22:0 to C26:0 and lowered C26:0 levels in X-ALD fibroblasts, suggesting that ELOVL1, in addition to ABCD1, is important for the accumulation of VLCFA.

The mechanism by which the accumulation of VLCFA in the brain causes neurodegeneration, especially demyelination, remains obscure. However, the association of VLCFA accumulation with the immunoresponse is thought to be important (Fig. 2). It has been demonstrated that VLCFA accumulation subsequently leads to a neuroinflammatory response, with the production of proinflammatory cytokines by activated astrocytes and microglial cells together with demyelination and a loss of oligodendrocytes [48]. Direct toxicity of VLCFA has been demonstrated to result from an alteration of membrane fluidity in erythrocytes [49]. Recently, Hein *et al.* have shown a direct toxic effect of C26:0 on primary neurons and glial cells, especially oligodendrocytes, via mitochondrial dysfunction and Ca^{2+} deregulation [50]. The presence of less mature myelin in weaning and postweaning rats treated with hexacosanoic acid [51], suggests that an increase of VLCFA may lead to myelin instability, followed by an inflammatory or immune-mediated process, and therefore contribute, at least in part, to the loss of oligodendrocytes observed in the plaques of X-ALD brains. Moreover, the down-regulation of peroxisomal VLCFA β -oxidation may lead to a decrease of docosahexaenoic acid (DHA) synthesis. DHA is not directly incorporated into the myelin sheath, but its presence is crucial for oligodendrocyte maturation and the formation of mature myelin. The complex lipids of VLCFAs or VLCFA-phospholipids could serve as an antigen recognized by the

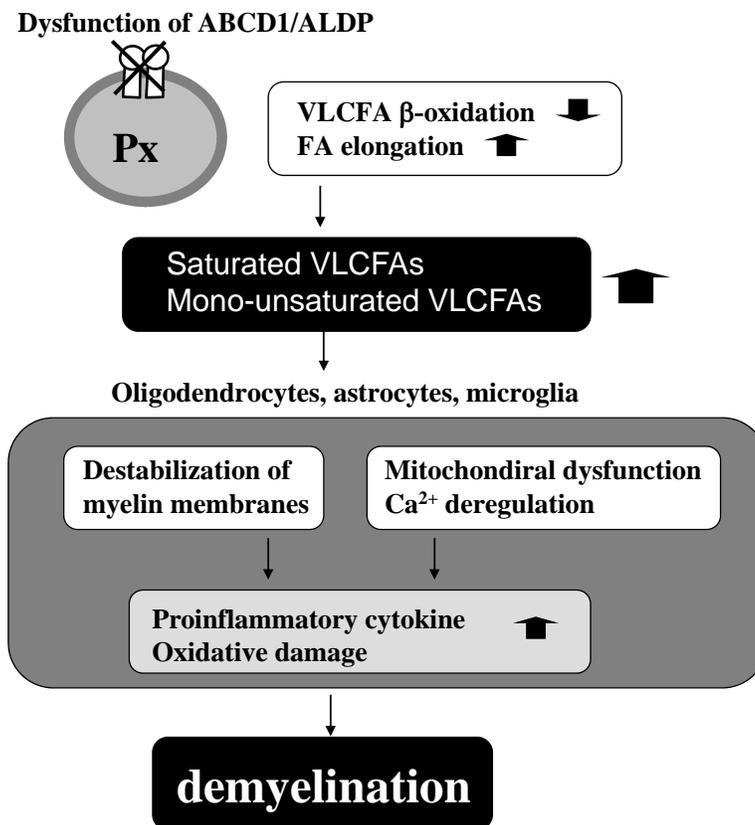


Fig. (2). A putative mechanism of neurodegeneration in X-ALD. In the CNS, abnormal accumulation of VLCFAs, which is caused by the dysfunction of ABCD1 followed by a reduction in peroxisomal VLCFA β -oxidation and/or an induction of fatty acid elongation, might result in the destabilization of myelin membranes and/or mitochondrial dysfunction. The myelin membrane fragments activate the astrocytes and/or microglia, which event leads to the inflammatory reaction. Proinflammatory cytokines are known to have negative affects on oligodendrocytes. Alternatively, an excess of VLCFA might adversely affect mitochondria, which would exacerbate oxidative stress in oligodendrocytes.

CD1 pathway, which would also be a plausible candidate to trigger inflammatory demyelination [52]. In addition, there is increasing evidence that oxidative stress contributes to the pathogenesis of the cerebral inflammatory phenotype, and possibly AMN. Gilg *et al.* demonstrated increased levels of inducible nitrous oxide synthase, and the presence of nitrosylated proteins in astrocytes and macrophages, in affected post-mortem tissue [53]. Powers *et al.* found convincing evidence of oxidative stress (increased levels of hemoxygenase 1 and manganese-superoxide dismutase) and oxidative damage from lipid peroxidation (4-hydroxynonal and malondialdehyde), as well as nitrosylated proteins, in the post-mortem brain tissue of four X-ALD patients with the cerebral inflammatory phenotype [54].

Diagnosis

The definitive diagnosis of X-ALD, as well as female carrier detection is achieved by demonstration of the biochemical defect along with mutation analysis of the *ABCD1* gene. An elevated VLCFA confirms the diagnosis of both X-ALD and the carrier, but there can be overlap of the C24:0/C22:0, C25:0/C22:0 and C26:0/C22:0 ranges between healthy controls and at least 10% of the carriers. Further-

more, 3 to 7 percent of patients with X-ALD are the result of a spontaneous mutation of the *ABCD1* gene, and thus the mothers of these patients are not carriers (<http://www.x-ald.nl>). For these reasons, mutation analysis of the *ABCD1* gene is necessary not only for carrier detection, but also for detecting a spontaneous mutation. Carrier detection is very important to identify pre-symptomatic patients and female carriers within their kindred. Newborn screening may be a potential method for widely identifying pre-symptomatic ALD patients and female carriers. Hubbard *et al.* have undertaken a mass screening pilot study by the detection of 1-hexacosanoyl-2-lyso-sn-3-glycerophosphorylcholine (26:0-lyso-PC) using a combined liquid chromatography-tandem mass spectrometry (LC-MS/MS) method [55].

Additionally, the establishment of a long-term follow-up system for these pre-symptomatic patients is needed, because we cannot at present predict the timing for HSCT so as to get beneficial effects or achieve a good clinical outcome. In our nationwide survey in Japan, approximately 30% of the X-ALD cases exhibited cerebral symptoms before the age of ten years, but nearly 50% of the patients had no obvious symptoms until they were twenty years old [43]. Thus, further break-throughs in diagnostic and therapeutic

approaches for X-ALD are keenly anticipated. In particular, the identification of predictive factors for the onset of brain involvement is of critical importance.

Therapeutic Approaches

The level of VLCFA does not predict the development of the disease state in X-ALD, and the exact link between abnormal VLCFA accumulation and the pathogenesis is still unclear at present. However, abnormal accumulation of VLCFA in brain is the most likely culprit for the initiation and progression of the disease.

Dietary therapy with Lorenzo's oil is now a commonly accepted treatment for X-ALD patients. Lorenzo's oil does not halt the progression of the cerebral phenotype X-ALD patients who were already symptomatic when the treatment was initiated. Recent reports, however, suggest that Lorenzo's oil might have demonstrated a preventive effect in asymptomatic boys whose brain MRI is normal [56, 57], and preliminary data from dietary therapy with Lorenzo's oil indicates that it seems to reduce the progression of pure

AMN [58]. Golovko and Murphy reported that erucic acid (22:1n-9) can cross the blood-brain barrier, and is incorporated into cholesterol esters, triacylglycerols and phospholipids pools as either 22:1n-9 or its chain-shortened metabolites, 20:1n-9 and 18:1n-9, due to the chain shortening of 22:1n-9 [59]. It is thus possible that adverse structural changes in certain lipid classes in the X-ALD brain are attenuated by treatment with Lorenzo's oil, which might help prevent the disease onset. Elucidation of the precise mechanisms of Lorenzo's oil effect in the CNS could provide important clues to finding targets for the initiation of cerebral ALD, such as CCALD.

Lovastatin, an HMG-CoA inhibitor, is reportedly a potential therapeutic drug for X-ALD since it decreases plasma VLCFA in X-ALD patient [60]. However, in a comparable study using lovastatin and simvastatin, the VLCFA content could not be normalized in tissues and plasma of *Abcd1(-/-)* mice [61, 62]. It is hypothesized that lovastatin additionally may have a favorable effect, since it down-regulated the synthesis of NO in X-ALD lymphoblasts along with the decrease in VLCFA levels [63]. However, the

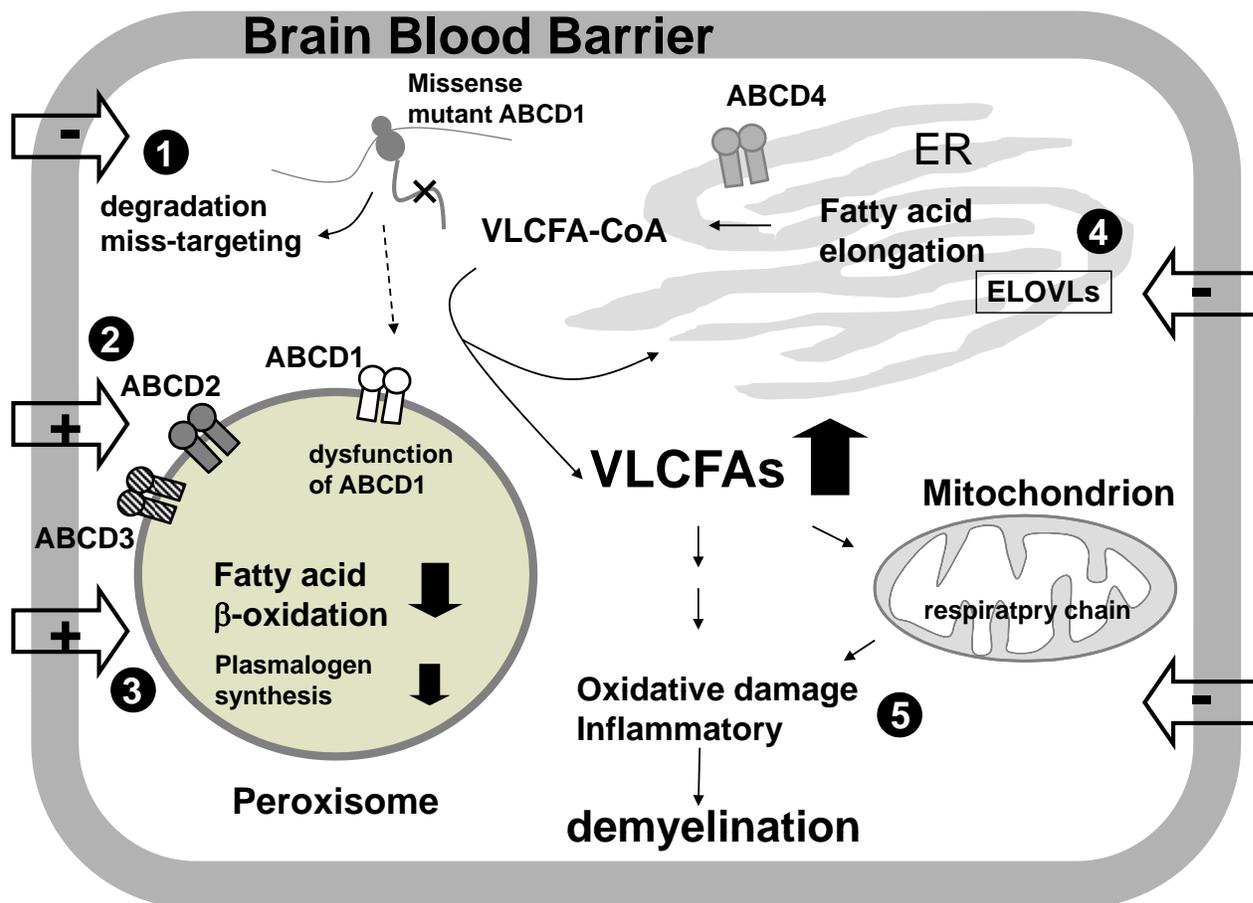


Fig. (3). Possible targets for X-ALD therapy. Mutation of ABCD1 leads to the dysfunction of ABCD1, which results in a decrease in VLCFA β -oxidation. The decrease in VLCFA β -oxidation leads to an increase in the VLCFA-CoA level, which is the substrate for fatty acid elongation in the ER. As a result, the VLCFA level is increased and the subsequent abnormal accumulation of VLCFAs results in oxidative damage. Stabilization and correct subcellular localization of missense mutants of ABCD1 with residual activity might be an effective way to recover the dysfunction of ABCD1 ①. Induction of *ABCD2* expression ②, stimulation of peroxisomal fatty acid β -oxidation ③ or inhibition of microsomal fatty acid elongation ④ are attractive approaches to reduce excess VLCFA levels. Attenuation of the dysregulated response to oxidative stress also has potential as an effective approach in X-ALD ⑤. Chemical compounds that can pass through brain-blood barrier and act on these targets would be promising drugs for X-ALD therapy.

clinical efficacy of lovastatin has not yet been clarified. Very recently Engelen *et al.* demonstrated lovastatin leads only to a small decrease of plasma C24:0 and C26:0 in X-ALD patients by a nonspecific result of the decrease in the level of LDL cholesterol and they indicated that lovastatin should not be prescribed as a therapy to lower levels of VLCFA in patients with X-ALD [64]. In the following sections, we will focus our attention on other attractive X-ALD therapeutic targets over the past few years (Fig. 3).

ABCD2 as a Target Molecule

Among the members of ABC protein subfamily D, ABCD2 shows the highest similarity (88%) to ABCD1. Overexpression of ABCD2 prevents the accumulation of saturated VLCFA in the adrenal gland and brain, and neurological signs of disease, which are observed in *Abcd1(-/-)* mice [65]. In addition, the X-ALD phenotype is independent of the *ABCD2* genotype, and *ABCD2* can be excluded as a modifier locus for clinical diversity in X-ALD [66]. Taken together, the pharmacological induction of expression is a reasonable therapeutic strategy for X-ALD.

ABCD2 transcription is regulated by nuclear factors such as the peroxisome proliferator-activated receptor (PPAR α), retinoid X receptor (RXR), thyroid hormone receptor (TR β) and sterol regulatory element (SRE) binding proteins (SREBP1a, SERBP1c and SREBP2). The SRE located in the *ABCD2* promoter overlaps with a direct repeat separated by 4 nucleotides (DR-4), suggesting cross talk between SREBPs and liver X receptor α (LXR α) or TR β , which are known to be dimerized with RXR α [67]. Weinhofer *et al.* demonstrated that depression of the cholesterol content results in a decrease in the C26:0 level, because the expression of *ABCD2* gene is up-regulated via the activation of the SREBPs [68, 69]. In addition, the *ABCD2* promoter contains a functional thyroid hormone response element (TRE) by which thyroid hormone can induce the *ABCD2* gene both *in vitro* and *in vivo*. Recently, it was shown that the ligand-activated thyroid hormone receptors TR α and TR β stimulate or derepress, respectively, the SREBP1-dependent induction of the *ABCD2* promoter [67]. These thyroid hormone receptors bind the SRE/DR-4 motif. Therefore, novel tissue-specific ligands for TR α , TR β , or other DR-4 binding factors that interact with SREBP1, might enhance *ABCD2* expression in the brain. Recently, Genin *et al.* have reported that *ABCD2* gene is induced by the administration of halogen-free thymomimetics (GC-1 and CGS 23425) specific for TR β receptors in *Abcd1(-/-)* mice. GC-1 was shown to be able to enter the brain and thus might be effective to induce gene expression, especially in oligodendrocytes. Therefore, these thymomimetics specific for TR β may have the capacity to induce *ABCD2* genes in the brain [70] and therefore, could be attractive candidates for X-ALD therapy.

Dehydroepiandrosterone (DHEA), the most abundant steroid in humans, was reported to be a novel inducer of the *ABCD2* gene. Guegnon *et al.* reported that DHEA directly induced *Abcd2* expression and that short-term treatment of mice with DHEA led to the induction of *Abcd2* expression independently of PPAR α . Although the induction was only reportedly detected in the liver in their experiments, the long-term administration of DHEA may be beneficial for X-ALD patients [71].

Concerning the induction of *ABCD2*, 4-phenylbutyrate (4-PBA) and valproic acid, which are categorized as histone deacetylase (HDAC) inhibitors [72], are potential candidates for the treatment of X-ALD. 4-PBA crosses the blood-brain barrier, and the administration of 4-PBA to *Abcd1(-/-)* mice resulted in the decrease in VLCFA level in the brain and adrenal glands via the induction of *ABCD2* expression or the activation of mitochondrial fatty acid β -oxidation [73]. However, this drug is very rapidly metabolized in the liver and has a very short half-life *in vivo*, indicating that the dosage required for a biological effect in humans makes it unpractical for clinical applications. Actually 4-PBA did not result in a decreased VLCFA levels in a small clinical trial with AMN patients. Therefore, structural analogs of 4-PBA with a longer half-life *in vivo* would be a more attractive candidate for X-ALD therapy [74]. Recently, Fourcade *et al.* have reported that valproic acid induced the expression of the *ABCD2* gene, reduced the level of monounsaturated VLCFA (C26:1) and induced antioxidant effects *in vivo* and *ex vivo* experiments [75]. Since valproic acid is known to be able to cross the blood-brain barrier and is already considered a very safe drug, valproic acid is a promising candidate for X-ALD.

The differences in the expression patterns and phenotypes of *Abcd1(-/-)* and *Abcd2(-/-)* mice suggest other specific roles for ABCD2 in lipid metabolism. Engelen *et al.* reported that cholesterol-deprivation led to the reduction of the C26:0 level along with the increase in *ABCD2* expression in X-ALD fibroblasts [76]. However, the depression of cholesterol resulted in an increased expression of stearyl-CoA desaturase and increased mono-unsaturated VLCFA level, but did not show any increase in [1-¹⁴C]C26:0 β -oxidation. Taken together, ABCD2 may be involved in other forms of metabolism than just C26:0 β -oxidation [76].

Leclercq *et al.* have reported that *ABCD2* expression in the liver is higher in n-3 polyunsaturated fatty acid (PUFA)-deficient rats than rats fed α -linoleic acid or docosahexaenoic acid, presumably because of feedback regulation. They speculated that ABCD2 is involved in the transport of specific classes of fatty acids related to PUFA metabolism into peroxisomes [77]. Fourcade *et al.* have also suggested that ABCD2 might play a role in the transport of DHA or docosapentaenoic acid (DPA) precursors (C24:5n-6 and C24:6n-3) and monounsaturated VLCFAs. They suggested that monounsaturated VLCFAs (C26:1) might be involved in oxidative damage to proteins [78]. These results are consistent with the report by Powers *et al.* that in *Abcd2(-/-)* mice, the oxidative stress in adrenal cells was greater than that in *Abcd1(-/-)* mice, suggesting that ABCD2 might be more important for the control of oxidative stress than ABCD1, at least in the adrenal glands [79].

Taken together, ABCD2 appears to have a central role in the metabolism of unsaturated rather than saturated VLCFAs, which pattern involves the synthesis of DHA and oxidative stress. Since DHA is correlated with the incidence of Alzheimer's disease, ABCD2 may serve as a therapeutic target in common human neurodegenerative disorders [78]. Although the precise role of ABCD2 remains to be elucidated, ABCD2 is a most promising target molecule for X-ALD therapy.

ABCD3 and ABCD4 as a Target Molecule

It has been reported that overexpression of ABCD3 restores VLCFA β -oxidation in X-ALD fibroblasts [10]. Furthermore, stimulation of ABCD3 through the activation of PPAR α with fenofibrate overcame the peroxisomal β -oxidation defect in the liver of *Abcd1*(-/-) mice [12]. ABCD3 knockdown generated oxidative stress and pro-inflammatory cytokine production in C6 glial cells [80]. These results suggest that a correction of the biochemical defect in X-ALD should be possible by drug-induced overexpression or ectopic expression of ABCD3. Asheuer *et al.* studied the expression of *ABCD1-4* and two VLCFA synthetase genes (*VLC5* and *BGI*) involved in VLCFA metabolism to elucidate the mechanisms underlying the phenotypic variability of X-ALD. Among these genes, the expression of *ABCD4* and *BGI* tends to be decreased with the severity of the disease, acting early in the pathogenesis of X-ALD [81]. Therefore, ABCD3 and ABCD4 might be target molecules for X-ALD therapy. At present, however, approaches to induce ABCD3 and ABCD4 expression are not realistic since there is no report about induction of these expressions in mice and human brain.

Stability of Mutant ABCD1

It has been reported that ~70% of mutant ABCD1 with a missense mutation were either not detected or were reduced in X-ALD fibroblasts. We found that mutant ABCD1s with the missense mutation in the C-terminal half of ABCD1 were degraded by a protein quality control system associated with proteasomes, and ABCD1 mutants within the loop between TMD2 and 3 resulted in a deficiency in peroxisomal targeting [41]. Therefore, in the case where mutant ABCD1 has residual biological activities, stabilizing or correcting the subcellular localization of the mutant ABCD1 could restore its function. In cystic fibrosis and congenital nephritic syndrome of the Finnish type, defective trafficking of the missense mutants of transmembrane conductance regulator (CFTR) and nephrin, respectively, was rescued by a chemical chaperone, such as 4-PBA or flavonoids [82, 83]. Screening of small molecule libraries to stabilize or to correct the subcellular localization of functionally active ABCD1 mutants might be a beneficial approach for some X-ALD patients bearing a missense mutation. However, a very long way seems to be required to develop useful drugs from this point of view.

Stimulation of Peroxisomal β -Oxidation

Fatty acid β -oxidation activity in peroxisomes is thought to depend on the active transport of acyl-CoA across membranes and passive diffusion of free fatty acids within membranes. In the latter case, fatty acids are activated to acyl-CoA by very long chain acyl-CoA synthetases, such as ACSVL1 and ACSVL5, on the luminal side of peroxisomes. Pillia *et al.* demonstrated that free VLCFA diffuses rapidly through the lipid barrier [84]. Therefore, the activation of very long chain acyl-CoA synthetases in peroxisomes appears to be a target for X-ALD therapy. Recently we found that baicalein 5,6,7-trimethylether, a plant flavonoid, has the capacity to attenuate VLCFA metabolism in X-ALD fibroblasts [85]. This flavonoid activated peroxisomal fatty acid β -oxidation regardless of the carbon chain length. The

activation appeared to be caused, at least in part, by the up-regulation of peroxisomal *ACSVL1*, but not *ABCD2*. Therefore, we speculated that baicalein 5,6,7-trimethylether activates peroxisomal fatty acid β -oxidation via an ABCD1-independent pathway [86]. The methoxy residues are important for the activity, suggesting the possibility that structural analogs may attain a greater potency. Further studies of flavonoids and chemically modified derivatives should help provide a new, metabolism-based therapeutic approach for X-ALD therapy.

Suppression of Fatty Acid Elongation

Saturated VLCFAs in the body are derived from both the diet and *de novo* synthesis. In contrast, excessive VLCFAs in X-ALD are largely derived from endogenous synthesis through fatty acid elongation in the ER. Reduction of saturated VLCFAs in plasma by Lorenzo's oil is presumably caused by competitive inhibition of the microsomal fatty acid elongation activity [87-89]. It is thus likely that the suppression of VLCFA synthesis provides a new approach for X-ALD.

The fatty acid elongation reaction in ER consists of 4 sequential reactions. In mammals, seven enzymes (ELOVL1-7) have been identified, which are responsible for the first and rate-limiting step in this reaction cycle [90]. High-density assay, a convenient assay method for measuring the elongation of VLCFA using a Unifilter-96 GF/C plate, revealed that ELOVL1, 3 and 6 preferentially elongated the saturated acyl-CoAs, while ELOVL2 and 5 elongated the unsaturated acyl-CoA [91]. Recently, ELOVL7 was shown to be involved in the elongation of saturated acyl-CoAs (C20:0 ~) [92].

Kemp and colleagues reported enhanced VLCFA elongation activity in X-ALD patient fibroblasts and speculated that they do not result from impaired peroxisomal β -oxidation alone, but also because of the additional effect of unchecked chain elongation [93]. As judged from the substrate specificity, ELOVL1 and ELOVL3 would be the most attractive candidate elongases for enhancing fatty acid elongation in X-ALD. Recently, it was reported that ELOVL1 expression is not increased in X-ALD fibroblasts, but the increased cytosolic very long chain acyl-CoA due to the reduction of peroxisomal fatty acid β -oxidation resulted in an increase in further elongation [47]. They also demonstrated that saturated VLCFA are synthesized via the concerted reaction of ELOVL6 and ELOVL1 and the silencing of ELOVL1 expression led to a decrease in C26:0 levels. The expression of *Elovl1* mRNA is ubiquitous in murine tissues, but potent expression is found in the myelinated parts of the CNS [90]. Although the expression of *ELOVL6* gene was reported to be regulated directly by SREBP1c [94], the transcriptional regulation of *ELOVL1* is still unclear. Down-regulation of *ELOVL1* and/or *ELOVL6* expression or identification of a specific inhibitor of ELOVL1 and/or ELOVL6 might be effective for the reduction of VLCFA.

Oxidative Damage

Several groups have proposed that oxidative stress might be involved in the pathogenesis of X-ALD. Although it is

not clear whether it is primary or secondary in the pathogenesis of X-ALD, the protection of the CNS from oxidative stress is suggested to be a useful therapeutic standpoint. Oxidative damage was demonstrated by the increased markers of lipoxidative damage in post mortem brain samples from cerebral X-ALD patients [54]. In *Abcd1(-/-)* mice, oxidative damage in the spinal cord was observed at as early as 3.5 months of age, more than 1 year before the neuropathological signs appear [95]. Deon *et al.* have reported that the total antioxidant defense was decreased in symptomatic but not in asymptomatic X-ALD patients, suggesting that asymptomatic patients might be protected against oxidative stress because of their normally functioning antioxidant defense systems [96, 97]. Taken together, the functional loss of ABCD1 could correlate with a defective antioxidant response, and oxidative damage may be linked to both the initiation and the progression of X-ALD demyelination.

In X-ALD patients, the plasmalogen level in the white matter was reported to be reduced, which might result in a susceptibility to oxidative stress [98]. Recently, it has been reported that plasmalogen functions in the protection of cells from the oxidative damage caused by VLCFA accumulation [99]. In fact, the myelin abnormalities were observed in *Abcd1(-/-)/Pex7(-/-)* mice had plasmalogen synthesis defects in addition to VLCFA accumulation. Taken together, it seems likely that disruption of the endogenous antioxidant system leads to reactive oxygen species (ROS) production and myelin membrane lipid oxidation, which in turn results in the inflammatory disease process.

Furthermore, Singh and colleagues have published several reports about the association of oxidative stress with the pathogenesis of X-ALD [63, 98, 100-102]. Lymphocytes from X-ALD tend to synthesize NADPH oxidase-dependent free radicals, nitric oxide and cytokines. Inflammatory cytokine expression and inducible nitrous oxide systems (NOS) were induced in *Abcd1/Abcd2*-double knockdown primary astrocytes, accompanied by an increase in C26:0 levels. Recently it was also reported that increased leukotrienes and enhanced expression of 5-lipoxygenase (5-LOX) were detected in CCALD brain, which indicated that 5-LOX might have a role in the pathology of inflammatory demyelination in CCALD [102]. VLCFA accumulation directly increases 5-LOX expression and thereby mediates the production of leukotrienes, which might be involved in astrocytic proliferation and in the cell death of oligodendrocytes. Therefore, 5-LOX might be a new target for intervention in CCALD.

In oligodendrocytes, an excess of VLCFA might trigger ROS production or reduce the resistance against oxidative stress. It is possible that a dysfunction of ABCD1 has an adverse affect on mitochondria due to the accumulation of cellular acyl-CoA, which exacerbates oxidative stress in oligodendrocyte. By selectively inactivating *PEX5* in oligodendrocytes, Kassmann *et al.* showed that the peroxisomes in oligodendrocytes are essential for the preservation of axons and the maintenance of myelin [103]. Recently, Mastroeni *et al.* have reported that viral-based delivery of insulin-like growth factor-1 and neurotrophin-3, two potent inducers of myelination and oligodendrocyte survival, respectively, halt the progression of the disease [104].

Therefore, such a functional defect of oligodendrocytes would be a target for intervention in X-ALD patients. In CCALD, however, the process of demyelination might not be cell-autonomous, because astrocytes and microglia are known to be important for the support of axonal growth and myelination. In the CNS, the expression of ABCD1 occurs mostly in astrocytes and microglia in the subcortical and cerebellar white matter. Astrocytes play a major role against oxidative stress and protect oligodendrocytes and neurons. In addition, the remarkable efficacy of HSCT in halting cerebral demyelination suggests that a dysfunction of microglial ABCD1 could contribute to demyelination, because microglial cells are derived from myelo-monocytic hematopoietic cells. Eichler *et al.* have suggested that microglial apoptosis in perilesional white matter represents an early pathogenic change in CCALD [105]. Although the exact pathway for the production of ROS in X-ALD needs to be elucidated, chemical compounds that reverse the deregulated response to oxidative stress might provide a new therapeutic approach in X-ALD. Recently, it has been reported that valproic acid has a capacity to reduce the oxidative damage in peripheral mononuclear cells from X-ALD patients. Interestingly, valproic acid did not reduce saturated VLCFA, but did reduce monounsaturated VLCFA (C26:1), suggesting that mono-unsaturated rather than saturated VLCFA is involved in the production of ROS [75]. Therefore, this drug could be an attractive candidate for X-ALD.

FUTURE APPROACHES

At present allogeneic HSCT is the only effective treatment, when performed in the early stage of cerebral demyelination, although HSCT remains associated with a high mortality risk. Furthermore, recently Cartier *et al.* have reported that lentiviral-mediated gene therapy of hematopoietic stem cells (HSC) halted the progression of X-ALD in two X-ALD boys [106]. Cerebral demyelination was arrested 14 to 16 months after engraftment, and neurological and cognitive functions remained stable. Compared with allogeneic HSCT, the HSC-based gene therapy, if feasible, will provide more preferable treatment for CCALD. In addition to gene therapy, pharmacological therapies are of importance in the effort to delay of onset and the progression of the disease. Considering application of various type of X-ALD, reduction of cost and risk for the therapy, and protection effect against onset of the disease, development of pharmacological therapies has many advantages. As mentioned above, pharmacological up-regulation of *ABCD2*, stimulation of peroxisomal β -oxidation, suppression of VLCFA synthesis, and reduction of oxidative damage are all promising targets for future X-ALD therapy. As target proteins for X-ALD therapy have been highlighted by recent studies, effective compounds may be identified by means of high throughput screening. At the same time, as some candidate compounds have already been found, the synthesis and evaluation of the biological activities of their derivatives should be carried forward. However, the costs in time and money to develop a new drug are formidable. The screening of well-established drug substances thus seems to be a useful means to find candidate compounds for X-ALD, since it is expected that some of these compounds will exhibit some

previously unknown efficacy against the therapeutic targets of X-ALD.

Abnormal VLCFA accumulation seems to be necessary but not sufficient for the progression of the X-ALD pathologies. It has been speculated that in addition to the defect in the *ABCD1* gene, environmental factors and/or unidentified modifier genes modulate the disease severity, since the progression and clinical symptoms of the disease in brothers with the same mutation of the *ABCD1* gene were different. Therefore, one of the factors we must keep in mind are modifier genes. However to date, while several genes have been reported to be candidates, none have been identified as actual modifier genes. The identification of triggering and/or modifying factors is important for understanding both the pathogenic mechanisms and the targeting of therapeutic compounds.

Although the precise mechanism by which the degeneration of the CNS is effected in X-ALD patients remains to be elucidated, it seems likely that an attenuation of disrupted VLCFA metabolism and a decrease in oxidative damage in the CNS have the potential to both help delay the onset of disease and prevent the progression of neurological symptoms in X-ALD. At present, pharmacological induction of *ABCD2* expression is a most reasonable and feasible therapeutic strategy for X-ALD. From a practical point of view, chemical compounds with the capacity to cross the blood-brain barrier and to induce *ABCD2* gene in brain, such as halogen-free thymomimetics and histone deacetylase inhibitors, is worthwhile for a realistic application for X-ALD patients.

FOOTNOTES

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ABBREVIATIONS

ALDP	= Adrenoleukodystrophy protein
ABC	= ATP-binding cassette
CNS	= Central nervous system
DHEA	= Dehydroepiandrosterone
DHA	= Docosahexaenoic acid
ELVOL	= Elongation of very long chain fatty acids
ER	= Endoplasmic reticulum
HSCT	= Hematopoietic stem cell transplantation
IBA	= Indole-3-butyric acid
5-LOX	= 5-Lipoxygenase
NBD	= Nucleotide binding domain
4-PBA	= 4-Phenylbutyrate
PPAR	= Peroxisome proliferators-activated receptor

PMP70	= 70-kDa Peroxisomal membrane protein
PUFA	= Polyunsaturated fatty acid
ROS	= Reactive oxygen species
SREBP	= Sterol regulatory element binding protein
TDM	= Transmembrane domain
TR	= Thyroid hormone receptor
VLCFA	= Very long chain fatty acid
X-ALD	= X-Linked adrenoleukodystrophy

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